

10-1-03

10-17-2003

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
 David V. Smith  
 Lee Roy Carstensen

Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies)  
 Name: Smith-Root, Inc.  
 Internal Address: \_\_\_\_\_  
 \_\_\_\_\_  
 Street Address: 14014 N.E. Salmon Creek Avenue  
 \_\_\_\_\_  
 City: Vancouver State: WA Zip: 98686

Additional name(s) & address(es) attached?  Yes  No

03940 U.S. PTO 10/677399



3. Nature of conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_

Execution Date: 09/10/2003

4. Application number(s) or patent number(s): 10677399  
 If this document is being filed together with a new application, the execution date of the application is: 09/10/2003

A. Patent Application No.(s) \_\_\_\_\_  
 B. Patent No.(s) \_\_\_\_\_

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:  
 Name: Kenneth J. Hovet  
 Internal Address: \_\_\_\_\_  
 \_\_\_\_\_  
 Street Address: Nordman, Cormany, Hair et al.  
P.O. Box 9100  
 City: Oxnard State: CA Zip: 93031-9100

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00  
 Enclosed  
 Authorized to be charged to deposit account

8. Deposit account number: \_\_\_\_\_

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9. Signature  
 FFANAEIA 00000058 10677399  
40.00 OP  
Kenneth J. Hovet  
 Name of Person Signing

Kenneth J. Hovet  
Signature

September 30, 2003  
Date

Total number of pages including cover sheet, attachments, and documents: 2

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

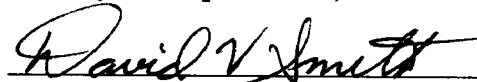
10/08/2003  
03 FC:8021

## ASSIGNMENT

We, David V. Smith, residing at 1705 La Mirada Street, Carpinteria, California 93013, and Lee Roy Carstensen, with an address of 14014 N.E. Salmon Creek Avenue, Vancouver, Washington 98686, do hereby assign unto Smith-Root, Inc., a Washington corporation having an address of 14014 N.E. Salmon Creek Avenue, Vancouver, Washington 98686 (Assignee), our entire right, title and interest, together with all rights of priority, in and to the invention entitled ELECTRIC FISH BARRIER FOR WATER INTAKES AT VARYING DEPTHS, as described in the Application for United States Patent, Attorney's Docket No. HM-87423, which was executed by us as joint inventors on September 10, 2003, and in any and all other applications for patent based thereon, including divisions, continuations and reissues thereof, as well as all foreign counterparts together with all patents issuing on any of the aforesaid applications, the same to be held and enjoyed by Assignee, its successors, assigns or other legal representatives, throughout the full terms of all said patents which may be granted.

We hereby agree that on request, but at the expense of Assignee, we will communicate to Assignee or its representatives, any facts known to us respecting said invention and testify in any legal proceedings, sign all lawful papers, execute all documents and applications, make all rightful oaths and generally aid said Assignee, its successors, assigns and nominees, to obtain and enforce proper patent protection for said invention in all countries.

Signed at Carpinteria, California this 5 day of September, 2003.

  
\_\_\_\_\_  
David V. Smith

Signed at Vancouver, Washington this 10 day of September, 2003.

  
\_\_\_\_\_  
Lee Roy Carstensen