

FORM PTO-1595  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)

RECO

10-20-2003

U.S. Department of Commerce  
Patent and Trademark Office



102577986

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party:

70-14-03

Peter DJUROVICH

Additional name(s) of conveying parties attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☒ Other: Assignment and Acknowledgment of Assignment

Execution date: February 26, 2003

2. Name and address of receiving party:

Name: THE UNIVERSITY OF SOUTHERN CALIFORNIA

Address: The University of Southern California Park  
Los Angeles, California 90089Additional name(s) & address(es) attached? ☒ Yes ☐ No
 OFFICE OF RECORDS & COMMUNICATIONS  
 FINANCE SECTION  
 OCT 14 PM 2:41

4. Application numbers or patent numbers:

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Applications

09/883,734

B. Patent No.(s)

Additional Numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas F. Meagher

Internal Address: KENYON &amp; KENYON

Street Address: One Broadway

City: New York State: New York Zip Code: 10004

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. 3.41) ..... \$ 40.00

- ☐ Enclosed  
☒ Authorized to be charged to deposit account

8. Deposit account number:

11-0600

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Thomas F. Meagher (Reg. No. 29,831)

Name of Person Signing

Signature

10/10/03

Date

Total Number of pages comprising document and cover sheet: 4

OMB No. 0651-0011 (exp. 4/94)

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services  
 Director of the U.S. Patent and Trademark Office  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

10/17/2003 6TDM11 00000034 110600 09883734

01 FC:8021 40.00 DA

**ASSIGNMENT AND ACKNOWLEDGMENT OF ASSIGNMENT**

WHEREAS, I,

**Peter DJUROVICH**  
**1723½ E. Second Street**  
**Long Beach, California 90802**

**Citizenship: United States**

have made new and useful improvements in: (1) **ORGANOMETALLIC COMPLEXES AS PHOSPHORESCENT EMITTERS IN ORGANIC LEDS**, for which an application for Letters Patent was filed with the U.S. Patent and Trademark Office on **June 18, 2001** as Application Serial No. **09/883,734**; (2) **VERY HIGH EFFICIENCY ORGANIC LIGHT EMITTING DEVICES BASED ON ELECTROPHOSPHORESCENCE**, for which an application for Letters Patent was filed on **May 13, 1999** as Application Serial No. **09/311,126**; and (3) **COMPLEXES OF FORM  $L_2MX$  AS PHOSPHORESCENT DOPANTS FOR ORGANIC LEDs**, for which an application for Letters Patent was filed on **December 1, 1999** as Application Serial No. **09/452,346** (collectively referred to herein as the "applications for Letters Patent of the United States of America"); wherein Application Serial No. **09/883,734** is a continuation-in-part application of Application Serial No. **09/311,126**, Application Serial No. **09/452,346**, and U.S. Patent Application Serial No. **09/274,609** filed March 23, 1999; and

WHEREAS **THE UNIVERSITY OF SOUTHERN CALIFORNIA**, having a place of business at The University of Southern California Park, Los Angeles, California 90089, and who, together with its successors and assigns, is hereinafter called "Assignee," is desirous of acquiring the title, rights, benefits, and privileges hereinafter recited,

NOW, THEREFORE, for valuable consideration furnished by Assignee to me, receipt and sufficiency of which I hereby acknowledge, I hereby, without reservation:

1. Assign, transfer, and convey to Assignee my entire right, title, and interest in and to said inventions and discoveries, said applications for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in any and all countries, including all divisional, renewal, substitute, continuation, and Convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues, and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications, and said Letters Patent.

2. Authorize Assignee to file patent applications in any or all countries for any or all of said inventions and discoveries in my name or in the name of Assignee or otherwise as Assignee may

deem advisable, under an International Convention or otherwise.

3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of my entire right, title, and interest therein or otherwise as Assignee may direct.

4. Warrant that I have not conveyed to others any right, title, or interest in said inventions, discoveries, applications, or patents or any license to use the same or to make, use, or sell anything embodying or utilizing any of said inventions or discoveries; that I have good right to assign the same to Assignee without encumbrance; and that I am aware of no claim to the contrary.

5. Bind my heirs, legal representatives, and assigns, as well as myself, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to me or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications, and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by me, my heirs, legal representatives, and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to me relating to said inventions and discoveries or the history thereof; to furnish Assignee with any and all documents, photographs, models, samples, and other physical exhibits in my control or in the control of my heirs, legal representatives, or assigns which may be useful for establishing the facts of my conceptions, disclosures, and reduction to practice of said inventions and discoveries; and to testify to the same in any interference, arbitration, or litigation.