

SPRU-0005

RECORDATION FORM COVER SHEET  
PATENTS ONLY

To The Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

David MCCONCHIE

First Assignor

26 May 2003

Date of Execution

Malcolm William CLARK

Second Assignor

26 May 2003

Date of Execution

Fiona Gaye DAVIES-MCCONCHIE

Third Assignor

26 May 2003

Date of Execution

Additional name(s) of conveying party(ies) attached? ☐ YES ☒ NO

## 2. Name and address of receiving party(ies):

Name: **Nauveau Technology Investments**Street Address: **Moores Rowland Vanuatu, Windsor House**City: **Port Vila**Country: **VANUATU**Additional name(s) & address attached? ☐ YES ☒ NO

## 3. Nature of Conveyance (check only one):

☒ New Assignment☐ License Agreement☐ Change of Name☐ Merger☐ Security Agreement☐ Other:

10-16-2003



102705423

## 4. Identification of Application number(s), patent number(s) OR date of execution of application to which assignment refers:

Application Nos.:

10/415,239

Patent Nos.:

Date application was signed by the first  
named executing inventor:

May 26, 2003

(Fill-in this date only if assignment is filed  
together with a new application)5. Name and address of party to whom correspondence  
concerning documents should be mailed:Name: John W. Caldwell  
WOODCOCK WASHBURN LLP  
One Liberty Place - 46th Floor  
Philadelphia, Pennsylvania 19103-7301  
215-568-31006. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☐ Enclosed☒ Authorized to be charged to Deposit Account Number  
23-30508. Please charge any deficiency or credit any overpayment to  
Deposit Account Number 23-3050

DO NOT USE THIS SPACE

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Andrew T. Serafini, Reg.No. 421,303

Name of Person Signing/Reg. No.

Signature

Date

Total number of pages including cover sheet, assignment document and additional pages attached thereto: 2

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## ASSIGNMENT OF PATENT RIGHTS FOR THE UNITED STATES

**FOR VALUE RECEIVED, WE** David MCCONCHIE an Australian citizen,  
Malcolm William CLARK an Australian citizen,  
Fiona Gaye DAVIES-MCCONCHIE an Australian citizen respectively  
residing at 14 Windsor Court, Goonellabah, New South Wales 2480, Australia,  
7/85-87 Wyrallah Road, Lismore, New South Wales 2480, Australia,  
14 Windsor Court, Goonellabah, New South Wales 2480, Australia respectively

hereby sell, assign, transfer and convey unto Nauveau Technology Investments

a corporation of organised under the law of Vanuatu

having a place of business at c/o Moores Rowland Vanuatu, Windsor House, Port Vila, Vanuatu

its successors, assigns and legal representatives (hereinafter called the "Assignee"), the entire right, title and interest, for the United States, in and to certain inventions relating to

### Processes and Compositions for Water Treatment

and described in an application for an International (PCT) Patent Application designating the United States filed by us on 26 October 2001

and which has been accorded Application No. PCT/AU01/01383

and in and to said application, and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted, thereon, and all reissues and extensions thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue all Letters Patent upon said inventions to the Assignee or to such nominees as it may designate.

AND we authorize and empower the said Assignee or nominees to invoke and claim for any application for patent or other form of protection for said inventions, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

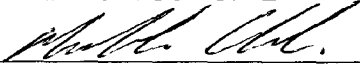
AND we hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in the United States for any purpose and more particularly in proof of the right of said Assignee or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property as amended, or by any convention which may henceforth be substituted for it.

AND we hereby covenant that we have the full right to convey the entire right, title and interest herein assigned and that we have not executed and will not execute any agreement in conflict herewith.

AND we hereby covenant and agree that we will communicate to said Assignee or nominees all facts known to us pertaining to said inventions, and testify in all legal proceedings, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and declarations and in general perform all lawful acts necessary or proper to aid said Assignee or nominees in obtaining, maintaining and enforcing all lawful patent protection for said inventions in the United States.

By:   
David MCCONCHIE

Date: 26<sup>th</sup> May 2003

By:   
Malcolm William CLARK

Date: 26 May 2003

By:   
Fiona Gaye DAVIES-MCCONCHIE

Date: 26<sup>th</sup> May 2003