

10/20/03

10-22-2003

NO. A35813 PCT USA - 066340.0167

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To the Honorable Commissioner of Patents and Trademarks, **102581294** al documents or copy thereof.

1. Name of conveying party(ies):  
**Martin Pfeiffer, Karl Leo, Jan Blochwitz-Niemoth, Xiang Zhou**  
Additional name(s) of conveying party(ies) attached?  
☐ Yes ☒ No

2. Name and address of receiving party(ies)  
Name: **NovaLED GmbH**

Address: **Zellescher Weg 17  
01069 Dresden, Germany**

3. Nature of conveyance:  
☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name

City: State: Zip: **103 OCT 20 PM 12:10**  
Additional name(s) and address(es) attached? Country: **FINANCE SECTION**  
☐ Yes ☒ No

Execution Date: 9-1-03; 8-19-03; 9-4-03; 8-27-03

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No.(s)  
**10/432,173 filed May 20, 2003**

B. Patent No.(s)

Additional numbers attached?

☐ Yes

☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name:

**BAKER BOTTS L.L.P.  
30 Rockefeller Plaza - 44 Fl.  
New York, NY 10112**

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40

☒ Enclosed  
☐ Authorized to be charged to deposit account

8. Deposit account number :  
02-4377 (Charge any additional fees to this account)  
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9. Statement and signature

*To the best of my knowledge and belief, the foregoing instrumentation is true and correct and any attached copy is a true copy of the original document.*

**James J. Maune, PTO#26,946**

Name of Person Signing

Signature

**10-16-2003**

Date

Page \_\_\_\_ of \_\_\_\_

Total number of pages including cover sheet, attachments and document:

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A S S I G N M E N T

WHEREAS, WE, MARTIN PFEIFFER, KARL LEO, JAN BLOCHWITZ-NIEMOTH, citizens of Germany, and XIANG ZHOU, a citizen of China, whose post office addresses are Scharfenberger Str. 1, 01139 Dresden, Germany; Hermannstr. 5, 01219 Dresden, Germany; Louisestr. 8, 01099 Dresden, Germany; and Ackermannstr. 19, 01217 Dresden, Germany, respectively, have made an invention entitled "LIGHT-EMITTING-COMPONENT COMPRISING ORGANIC LAYERS", as set forth and described in U.S. Patent Application, Serial No. 10/432,173, filed May 20, 2003, and

WHEREAS, NovaLED GmbH, a corporation organized and existing under the laws of Germany, and having an office for the transaction of business at Zellescher Weg 17, 01069 Dresden, Germany, is desirous of acquiring the entire right, title and interest in and to said invention and any improvements thereon, and in and to the said application for Letters Patent therefor, and any Letters Patent which may be obtained therefor;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that WE, the said MARTIN PFEIFFER, KARL LEO, JAN BLOCHWITZ-NIEMOTH and XIANG ZHOU, for and in consideration of the sum of One Dollar (\$1.00), lawful money of the United States, to me in hand paid by said NovaLED GmbH, and other valuable considerations unto me moving from said NovaLED GmbH, at or before the ensealing and delivery of these presents, the receipt of which is hereby acknowledged, have sold, assigned, transferred and conveyed and by these presents do sell, assign, transfer and convey, unto said NovaLED GmbH, its successors and assigns, the entire right, title and interest in and to the said invention entitled "LIGHT-EMITTING-COMPONENT COMPRISING ORGANIC LAYERS", as set forth and described in U.S. Patent Application, Serial No. 10/432,173, filed May 20, 2003, and any and all improvements thereon, and in and to said application and any division, continuation or continuation-in-part thereof, and in and to any Letters Patent of the United States which may be issued on any of said applications, and any reissues thereof, and in and to any and all applications for Letters Patent filed in foreign countries for said invention or improvements, including all priority rights under the Paris Convention, and any and all Letters Patent which may be granted in foreign countries therefor, TO HAVE AND TO HOLD THE SAME to the full end of the term or terms for which any and all said Letters Patent may be granted;

AND WE, the said MARTIN PFEIFFER, KARL LEO, JAN BLOCHWITZ-NIEMOTH and XIANG ZHOU, do hereby authorize and request the Commissioner of Patents and Trademarks to issue the said Letters Patent of the United States to said INFINEON TECHNOLOGIES AG, as the assignee of the entire right, title and interest in and to the same, for the sole use and behoof of said NovaLED GmbH, its successors and assigns;

NY02:448605.1

AND WE, the said MARTIN PFEIFFER, KARL LEO, JAN BLOCHWITZ-NIEMOTH and XIANG ZHOU, for the considerations aforesaid, do hereby covenant and agree to and with said NovaLED GmbH, its successors and assigns, that we have the full power to make this assignment, and that the rights assigned are not encumbered by any grant, license or right heretofore given, and that we, my executors or administrators, shall and will do all lawful acts and things and make, execute and deliver without further compensation, any and all other instruments in writing, further applications, papers, affidavits, powers of attorney, assignments, and other documents which, in the opinion of counsel for said NovaLED GmbH, its successors and assigns, may be required or necessary to more effectively secure to and vest in said NovaLED GmbH, its successors and assigns, the entire right, title and interest in and to said invention and improvements, applications, Letters Patent, rights, benefits, privileges and advantages hereby sold, assigned, transferred and conveyed, and that we will sign any applications for reissue which may be desired by the owner of the patent or patents which may be issued for the said invention or improvements.

Subscribed and executed at Dresden  
(Place)

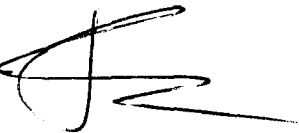
on 01.09.2003  
(Date)

  
MARTIN PFEIFFER

Witness: Ronny Schwarz  
(Type Name)

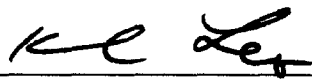


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(Type Name)



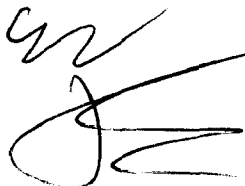
Subscribed and executed at Dresden  
(Place)

on 8/19/03  
(Date)


  
KARL LEO

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Witness: Ronny Schwarz  
(Type Name)

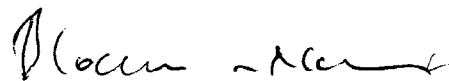


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on 04.09.2003  
(Date)



JAN BLOCHWITZ-NIEMOTH

Witness: Ronny Schwarz  
(Type Name)

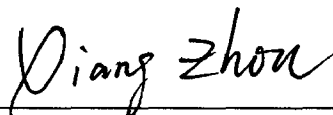


Witness: Wolfgang Schmidt  
(Type Name)



Subscribed and executed at Guangzhou  
(Place)

on 27.08.2003  
(Date)



XIANG ZHOU

Witness: Ronny Schwarz  
(Type Name)



Witness: Wolfgang Schmidt  
(Type Name)



NY02:448605.1