

10-24-2003

1-31-92

Docket 3260-01/3259-01/3261

102583182

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Lubrizol Foam Control Additives, Inc.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: THE LUBRIZOL CORPORATIONStreet Address: 29400 LAKELAND BOULEVARDCity: WICKLIFFE State: OHIO ZIP: 44092-2298

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: October 7, 2003

Additional name(s) &amp; address(es) attached?

☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

Serial No. if applicable

B. Patent No.(s)

5,077,421 issued 12/31/91,  
 5,248,789 issued 9/28/93 and  
 5,260,469 issued 11/9/93

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: THE LUBRIZOL CORPORATIONInternal Address: PATENT ADMINISTRATOR/022BStreet Address: 29400 LAKELAND BOULEVARDCity: WICKLIFFE State: OHIO ZIP: 44092-2298

## 6. Total number of applications and patents involved: 3

7. Total fee (37 CFR 3.41):.....\$ 120.00☐ Enclosed☒ Authorized to be charged to deposit account8. Deposit account number: 12-2275

DO NOT USE THIS SPACE

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## 9. Statement and signature

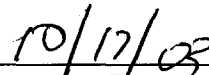
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Teresan W. Gilbert

Name of Person Signing



Signature



Date

Total number of pages including cover sheet, attachments and document: 4

**PATENT ASSIGNMENT**

THIS ASSIGNMENT is made this 7<sup>th</sup> day of October, 2003 by and between LUBRIZOL FOAM CONTROL ADDITIVES, INC., a South Carolina corporation ("ASSIGNOR-LFCA"), and THE LUBRIZOL CORPORATION, an Ohio corporation ("ASSIGNEE-LUBRIZOL").

WHEREAS, ASSIGNOR-LFCA is the owner of patents and patent applications as set forth on the attached Schedule I ("Patents"); and

WHEREAS, ASSIGNEE-LUBRIZOL is desirous of acquiring the entire right, title and interest of ASSIGNOR-LFCA in and to the Patents; and

WHEREAS, ASSIGNOR-LFCA has agreed to the transfer of its entire right, title and interest in and to the Patents to ASSIGNEE-LUBRIZOL.

NOW, THEREFORE for good and valuable consideration [REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED] the receipt and sufficiency of which are hereby acknowledged by the parties, the ASSIGNOR-LFCA does hereby assign, sell, transfer and convey to ASSIGNEE-LUBRIZOL, its successors and/or assigns its entire right, title and undivided interest in and to the Patents, in the United States of America and its territorial possession and in all foreign countries, and the legal equivalents of the Patents such as registrations, utility models, design patents, inventor's certificates and the like, and to all Patents, continuations, divisions, registrations, renewals and/or any reissue or reexamination resulting therefrom, including the right to claim priority.

ASSIGNOR-LFCA further does assign, sell, transfer and convey to ASSIGNEE-LUBRIZOL its right to enforce the Patents against any third party for any and all claims for damages by reason of past infringement of the Patents with the right to sue for and collect the same for its own use and enjoyment and for the use and enjoyment of its successors and/or assigns.

ASSIGNOR-LFCA does hereby covenant and agree that it will at any time, upon request and at the expense of ASSIGNOR-LFCA, execute and deliver any and all papers and do all lawful acts that may be necessary or desirable to confirm and/or perfect title to the Patents in and to ASSIGNOR-LFCA.

IN WITNESS WHEREOF, the parties hereto have caused this ASSIGNMENT to be executed the date first above written.

**LUBRIZOL FOAM CONTROL ADDITIVES, INC.**

(Assignor)

By: 

Name: Roman M. Radekevich

Title: Chief Executive Officer

**THE STATE OF SOUTH CAROLINA** }

}

**COUNTY OF Spartanburg** }

}

**BEFORE ME**, the undersigned authority, on this day personally appeared Roman M. Radekevich, known to me to be the Lubrizol Foam Control Additives, Inc. person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same for the purposes and consideration therein expressed.

**GIVEN** under my hand and seal of this office this 7<sup>th</sup> day of October, 2003.

  
NOTARY PUBLIC

(NOTARY SEAL)

**Assignment Accepted by:**  
**THE LUBRIZOL CORPORATION**  
(Assignee)

By: 

Name: Donald W. Bogus

Title: Vice President

## **SCHEDULE I**

### **PATENTS**

United States patent entitled Process for Preparing Aminofunctional Silicone Acid and issued on December 31, 1991 as U.S. Patent Number 5,077,421

United States patent entitled Epoxy Silicone and issued on September 28, 1993 as U.S. Patent Number 5,248,789,

United States patent entitled Organo-Siloxane with Modified Solubility and issued on November 9, 1993 as U.S. Patent Number 5,260,469,