

Form PTO-1595
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OMB No. 0651-0027 (exp. 6/30/2005)

PATENTS ONLY

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Patrick M. McCarthy

2. Name and address of receiving party(ies)

Name: The Cleveland Clinic Foundation

Internal Address:

Street Address: 9500 Euclid Avenue

City: Cleveland State: OH Zip: 44195

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other

Execution Date: 11/04/2003

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 10/418,663

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michelle C. Kim

Internal Address:

Street Address: JONES DAY

555 W. Fifth St., Suite 4600

City: Los Angeles State: CA Zip: 90013

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

50-2468

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9. Signature.

Michelle C. Kim

Name of Person Signing



Signature

May 12, 2004

Date

Total number of pages including cover sheet, attachments, and documents: 2

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

Assignment

Patrick M. McCarthy, M.D. ("Assignor"), an individual residing at 45002 Falls Road, Hunting Valley, Ohio 44022, for good and valuable consideration, receipt and sufficiency of which is hereby acknowledged, has assigned and hereby does assign, to The Cleveland Clinic Foundation, an Ohio nonprofit corporation having a place of business at 9500 Euclid Avenue, Cleveland, Ohio 44195, its successors, assigns, and legal representatives the following:

(a) the entire, right, title, and interest in and to all subject matter disclosed in United States Patent Application Number 10/418,667 filed on April 17th, 2003 and entitled "Device for Reduction of Pressure Effects of Cardiac Tricuspid Valve Regurgitation," and in United States Patent Application Number 10/418,663 filed on April 17th, 2003 and entitled "Methods for Reduction of Pressure Effects of Cardiac Tricuspid Valve Regurgitation," as well as the inventions and improvements described therein, and divisionals, continuations, continuations-in-part thereof, patent applications claiming priority therefrom, patents that may be granted thereon, any rights of priority, and any reissues, reexaminations and extensions thereof; and

(b) the entire right, title, and interest in the aforementioned inventions and improvements in any and all foreign countries, and to all Letters Patent and all convention and Treaty rights of all kinds, in all countries throughout the world, for such subject matter.

Assignor agrees to sign all documents reasonably necessary to secure all said Letters Patent and rights, and request issuance of all said Letters Patent to the above Assignee in accordance with this Assignment.

Assignor has executed this Assignment this 31st day of October, 2003.


By: 
Name: Patrick M. McCarthy, M.D.

Date: 11-4, 2003

STATE OF OHIO)
COUNTY OF CUYAHOGA) SS

On this 4th day of November, 2003, before me appeared the person mentioned in and who signed this Assignment, who acknowledged that he executed the same as a free act for the use and purposes therein mentioned.

SEAL


Notary Public

DAVID JAMES STEIN, ATTY.
NOTARY PUBLIC - STATE OF OHIO
My commission has no expiration date
Section 147.03 O.R.C. **PATENT**