

10-27-2003



10/21/03

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Form PTO-1595
(Rev. 03/01)

RE-

PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

OMB No. 0651-0027 (exp. 5/31/2002)

Tab settings ⇨ ⇨ ⇨ ▼ ▼ ▼ ▼ ▼ ▼ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Richard C. Ewers
Boris Reydel
Cang Lam
Eugene Chen
Brent Seybold
Rodney Brennenman
Vahid SaadatAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: September 15, 2003

2. Name and address of receiving party(ies)

Name: USGI Medical, Inc.

Address: 940 Calle Amanecer, Suite A & B
San Clemente, CA 92673

Name: _____

Address: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/639,162

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Nicola A. Pisano

Internal Address: _____

Street Address: Luce, Forward, Hamilton & Scripps

11988 El Camino Real, Ste. 200

City: San Diego State: CA Zip: 92130

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

50-2298

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Nicola A. Pisano

Name of Person Signing

Signature

Date

10-21-03

Total number of pages including cover sheet, attachments, and documents: 1

USGI-005

10/24/2003 ECDPER 00000024 502298

10639162 documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services, Director of the US Patent and Trademark Office
P.O. Box 1450, Alexandria, VA 22313-1450

01 FC:8021

40.00 DA

PATENT
REEL: 014630 FRAME: 0724

ASSIGNMENT

WHEREAS, We, Richard C. Ewers, Boris Reydel, Cang Lam, Eugene Chen, Brent Seybold, Rodney Brenneman, and Vahid Saadat, residing respectively, at 1437 West Malvern Avenue, Fullerton, California 92833; 8 Woodland Rd., West Caldwell, New Jersey 07006; 74 Standford Ct., Irvine, California 92512; 3600 Corte Castillo, Carlsbad, California 92009; 2435 Armstrong Place, Santa Clara, California 95050; 34002 Las Palmas Del Mar, San Juan Capistrano, California 92675; and 12679 Kane Drive, Saratoga, California 95070; have made an invention entitled:

**APPARATUS AND METHODS FOR FORMING
GASTROINTESTINAL TISSUE APPROXIMATIONS**

and are making an application for United States Letters Patent therefor filed herewith; and

WHEREAS, USGI MEDICAL, INC. a corporation organized and existing under the laws of the country of The United States and having an address of 940 Calle Amanecer, Suite A&B, San Clemente, California 92673, The United States, is desirous of acquiring the entire interest in said invention, said United States patent application and in any Letters Patent which may issue thereon;

NOW, THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) paid, and other good and valuable consideration, the receipt of sufficiency of which is hereby acknowledged, we do hereby sell, assign and transfer unto the said USGI MEDICAL, INC., its successors, assigns and legal representatives, all right, title and interest in and to said inventions and any improvements thereon for all countries of the world, and in and to said

United States patent application, including any patent applications claiming the benefit thereof and any continuations and divisions thereof, and any substitute applications therefor, and any patent which may issue thereon, and any reissues or reexaminations of the same, and including the right to claim priority under the International Convention based on said patent application; and all right, title and interest in and to every patent application filed or to be filed on said invention in any other country, including renewals, revivals, continuations and divisions thereof, and any substitute applications therefor, and any and all patents which may issue thereon, and any reissues and extensions of the same;

and we hereby authorize and request competent authorities to grant and issue any and all patents on said invention to the said USGI MEDICAL, INC. as the assignee of the entire interest therein; and we further agree to execute upon request of the assignee such additional documents, if any, as are necessary and proper to secure patent protection on said invention throughout all countries of the world, and to otherwise give full effect to and perfect the rights of the assignee under this Assignment.

IN TESTIMONY WHEREOF, we have hereunto signed our
names on the dates indicated hereinafter.

Richard Ewers

On this _____ day of August, 2003 Richard Ewers
personally appeared before me, a Notary Public in and for
_____, and executed the foregoing Assignment
and duly acknowledged to me that such Assignment was executed
for the uses and purposes therein expressed.

NOTARY PUBLIC

Boris Reydel

On this _____ day of August, 2003 Boris Reydel
personally appeared before me, a Notary Public in and for
_____, and executed the foregoing Assignment
and duly acknowledged to me that such Assignment was executed
for the uses and purposes therein expressed.

NOTARY PUBLIC

Cang Lam

On this _____ day of August, 2003 Cang Lam
personally appeared before me, a Notary Public in and for
_____, and executed the foregoing Assignment
and duly acknowledged to me that such Assignment was executed
for the uses and purposes therein expressed.

NOTARY PUBLIC

Eugene Chen

On this _____ day of August, 2003 Eugene Chen personally appeared before me, a Notary Public in and for _____, and executed the foregoing Assignment and duly acknowledged to me that such Assignment was executed for the uses and purposes therein expressed.

NOTARY PUBLIC

Brent Seybold

On this _____ day of August, 2003 Brent Seybold personally appeared before me, a Notary Public in and for _____, and executed the foregoing Assignment and duly acknowledged to me that such Assignment was executed for the uses and purposes therein expressed.

NOTARY PUBLIC

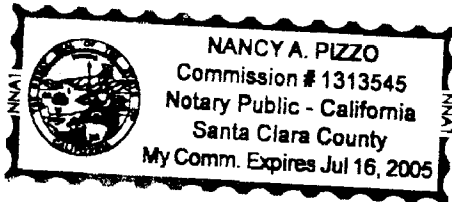
Rodney Brenneman

On this _____ day of August, 2003 Rodney Brenneman personally appeared before me, a Notary Public in and for _____, and executed the foregoing Assignment and duly acknowledged to me that such Assignment was executed for the uses and purposes therein expressed.

NOTARY PUBLIC

Vahid Saadat
Vahid Saadat

On this 15th day of June, 2003 Vahid Saadat personally appeared before me, a Notary Public in and for Santa Clara County, CA, and executed the foregoing Assignment and duly acknowledged to me that such Assignment was executed for the uses and purposes therein expressed.



Nancy A. Pizzo
NOTARY PUBLIC
Nancy A. Pizzo

ASSIGNMENT

WHEREAS, We, Richard C. Ewers, Boris Reydel, Cang Lam, Eugene Chen, Brent Seybold, Rodney Brenneman, and Vahid Saadat, residing respectively, at 1437 West Malvern Avenue, Fullerton, California 92833; 8 Woodland Rd., West Caldwell, New Jersey 07006; 74 ~~Stanford~~ ^{Stanford} Ct., Irvine, California ~~92512~~ ⁹²⁶¹²; 3600 Corte Castillo, Carlsbad, California 92009; 2435 Armstrong Place, Santa Clara, California 95050; 34002 Las Palmas Del Mar, San Juan Capistrano, California 92675; and 12679 Kane Drive, Saratoga, California 95070; have made an invention entitled:

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NOW, THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) paid, and other good and valuable consideration, the receipt of sufficiency of which is hereby acknowledged, we do hereby sell, assign and transfer unto the said USGI MEDICAL, INC., its successors, assigns and legal representatives, all right, title and interest in and to said inventions and any improvements thereon for all countries of the world, and in and to said

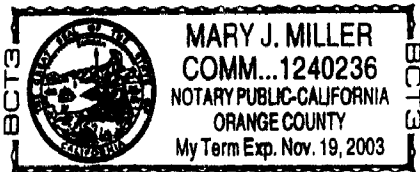
United States patent application, including any patent applications claiming the benefit thereof and any continuations and divisions thereof, and any substitute applications therefor, and any patent which may issue thereon, and any reissues or reexaminations of the same, and including the right to claim priority under the International Convention based on said patent application; and all right, title and interest in and to every patent application filed or to be filed on said invention in any other country, including renewals, revivals, continuations and divisions thereof, and any substitute applications therefor, and any and all patents which may issue thereon, and any reissues and extensions of the same;

and we hereby authorize and request competent authorities to grant and issue any and all patents on said invention to the said USGI MEDICAL, INC. as the assignee of the entire interest therein; and we further agree to execute upon request of the assignee such additional documents, if any, as are necessary and proper to secure patent protection on said invention throughout all countries of the world, and to otherwise give full effect to and perfect the rights of the assignee under this Assignment.

IN TESTIMONY WHEREOF, we have hereunto signed our
names on the dates indicated hereinafter.

Richard J Ewers
Richard Ewers (NP)

On this 15th day of September, 2003 Richard Ewers
personally appeared before me, a Notary Public in and for
St. of Ca, and executed the foregoing Assignment
and duly acknowledged to me that such Assignment was executed
for the uses and purposes therein expressed.



Mary J Miller
NOTARY PUBLIC

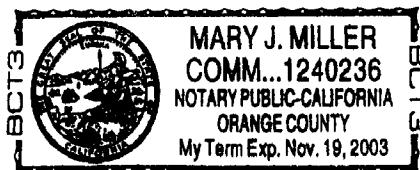
Boris Reydel

On this _____ day of August, 2003 Boris Reydel
personally appeared before me, a Notary Public in and for
_____, and executed the foregoing Assignment
and duly acknowledged to me that such Assignment was executed
for the uses and purposes therein expressed.

NOTARY PUBLIC

Cang Lam
Cang Lam (NP)

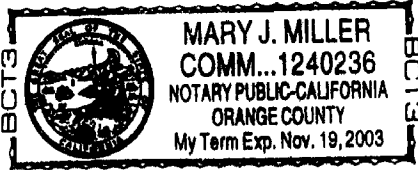
On this 15th day of September, 2003 Cang Lam
personally appeared before me, a Notary Public in and for
St. of Ca, and executed the foregoing Assignment
and duly acknowledged to me that such Assignment was executed
for the uses and purposes therein expressed.



Mary J Miller
NOTARY PUBLIC

Eugene Chen
Eugene Chen

On this 15th day of September, 2003 Eugene Chen personally appeared before me, a Notary Public in and for St. of Ca, and executed the foregoing Assignment and duly acknowledged to me that such Assignment was executed for the uses and purposes therein expressed.



Mary J. Miller
NOTARY PUBLIC

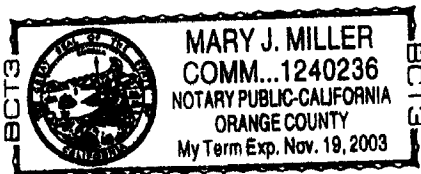
Brent Seybold
Brent Seybold

On this _____ day of August, 2003 Brent Seybold personally appeared before me, a Notary Public in and for _____, and executed the foregoing Assignment and duly acknowledged to me that such Assignment was executed for the uses and purposes therein expressed.

NOTARY PUBLIC

Rodney Brenneman
Rodney Brenneman

On this 15th day of September, 2003 Rodney Brenneman personally appeared before me, a Notary Public in and for St. of Ca, and executed the foregoing Assignment and duly acknowledged to me that such Assignment was executed for the uses and purposes therein expressed.



Mary J. Miller
NOTARY PUBLIC

Vahid Saadat

On this _____ day of June, 2003 Vahid Saadat personally appeared before me, a Notary Public in and for _____, and executed the foregoing Assignment and duly acknowledged to me that such Assignment was executed for the uses and purposes therein expressed.

NOTARY PUBLIC

ASSIGNMENT

WHEREAS, We, Richard C. Ewers, Boris Reydel, Cang Lam, Eugene Chen, Brent Seybold, Rodney Brenneman, and Vahid Saadat, residing respectively, at 1437 West Malvern Avenue, Fullerton, California 92833; 8 Woodland Rd., West Caldwell, New Jersey 07006; 74 Standford Ct., Irvine, California 92512; 3600 Corte Castillo, Carlsbad, California 92009; 2435 Armstrong Place, Santa Clara, California 95050; 34002 Las Palmas Del Mar, San Juan Capistrano, California 92675; and 12679 Kane Drive, Saratoga, California 95070; have made an invention entitled:

**APPARATUS AND METHODS FOR FORMING
GASTROINTESTINAL TISSUE APPROXIMATIONS**

and are making an application for United States Letters Patent therefor filed herewith; and

WHEREAS, USGI MEDICAL, INC. a corporation organized and existing under the laws of the country of The United States and having an address of 940 Calle Amanecer, Suite A&B, San Clemente, California 92673, The United States, is desirous of acquiring the entire interest in said invention, said United States patent application and in any Letters Patent which may issue thereon;

NOW, THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) paid, and other good and valuable consideration, the receipt of sufficiency of which is hereby acknowledged, we do hereby sell, assign and transfer unto the said USGI MEDICAL, INC., its successors, assigns and legal representatives, all right, title and interest in and to said inventions and any improvements thereon for all countries of the world, and in and to said

United States patent application, including any patent applications claiming the benefit thereof and any continuations and divisions thereof, and any substitute applications therefor, and any patent which may issue thereon, and any reissues or reexaminations of the same, and including the right to claim priority under the International Convention based on said patent application; and all right, title and interest in and to every patent application filed or to be filed on said invention in any other country, including renewals, revivals, continuations and divisions thereof, and any substitute applications therefor, and any and all patents which may issue thereon, and any reissues and extensions of the same;

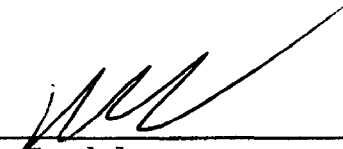
and we hereby authorize and request competent authorities to grant and issue any and all patents on said invention to the said USGI MEDICAL, INC. as the assignee of the entire interest therein; and we further agree to execute upon request of the assignee such additional documents, if any, as are necessary and proper to secure patent protection on said invention throughout all countries of the world, and to otherwise give full effect to and perfect the rights of the assignee under this Assignment.

IN TESTIMONY WHEREOF, we have hereunto signed our names on the dates indicated hereinafter.

Richard Ewers

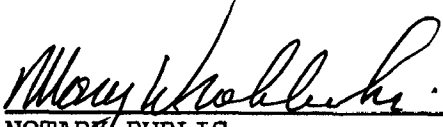
On this 29 day of August, 2003 Richard Ewers personally appeared before me, a Notary Public in and for _____, and executed the foregoing Assignment and duly acknowledged to me that such Assignment was executed for the uses and purposes therein expressed.

NOTARY PUBLIC



Boris Reydel

On this 29th day of August, 2003 Boris Reydel personally appeared before me, a Notary Public in and for D.R. Boris Reydel and executed the foregoing Assignment and duly acknowledged to me that such Assignment was executed for the uses and purposes therein expressed.



NOTARY PUBLIC
MARY WROBLEWSKI
NOTARY PUBLIC OF NEW JERSEY
MY COMMISSION EXPIRES NOV 21 2005

Cang Lam

On this _____ day of August, 2003 Cang Lam personally appeared before me, a Notary Public in and for _____, and executed the foregoing Assignment and duly acknowledged to me that such Assignment was executed for the uses and purposes therein expressed.

NOTARY PUBLIC

ASSIGNMENT

WHEREAS, We, Richard C. Ewers, Boris Reydel, Cang Lam, Eugene Chen, Brent Seybold, Rodney Brenneman, and Vahid Saadat, residing respectively, at 1437 West Malvern Avenue, Fullerton, California 92833; 8 Woodland Rd., West Caldwell, New Jersey 07006; 74 Standford Ct., Irvine, California 92512; 3600 Corte Castillo, Carlsbad, California 92009; 2435 Armstrong Place, Santa Clara, California 95050; 34002 Las Palmas Del Mar, San Juan Capistrano, California 92675; and 12679 Kane Drive, Saratoga, California 95070; have made an invention entitled:

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NOW, THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) paid, and other good and valuable consideration, the receipt of sufficiency of which is hereby acknowledged, we do hereby sell, assign and transfer unto the said USGI MEDICAL, INC., its successors, assigns and legal representatives, all right, title and interest in and to said inventions and any improvements thereon for all countries of the world, and in and to said


9-2-03

United States patent application, including any patent applications claiming the benefit thereof and any continuations and divisions thereof, and any substitute applications therefor, and any patent which may issue thereon, and any reissues or reexaminations of the same, and including the right to claim priority under the International Convention based on said patent application; and all right, title and interest in and to every patent application filed or to be filed on said invention in any other country, including renewals, revivals, continuations and divisions thereof, and any substitute applications therefor, and any and all patents which may issue thereon, and any reissues and extensions of the same;

and we hereby authorize and request competent authorities to grant and issue any and all patents on said invention to the said USGI MEDICAL, INC. as the assignee of the entire interest therein; and we further agree to execute upon request of the assignee such additional documents, if any, as are necessary and proper to secure patent protection on said invention throughout all countries of the world, and to otherwise give full effect to and perfect the rights of the assignee under this Assignment.



9-2-03

IN TESTIMONY WHEREOF, we have hereunto signed our names on the dates indicated hereinafter.

Richard Ewers

On this _____ day of August, 2003 Richard Ewers personally appeared before me, a Notary Public in and for _____, and executed the foregoing Assignment and duly acknowledged to me that such Assignment was executed for the uses and purposes therein expressed.

NOTARY PUBLIC

Boris Reydel

On this _____ day of August, 2003 Boris Reydel personally appeared before me, a Notary Public in and for _____, and executed the foregoing Assignment and duly acknowledged to me that such Assignment was executed for the uses and purposes therein expressed.

NOTARY PUBLIC

Cang Lam

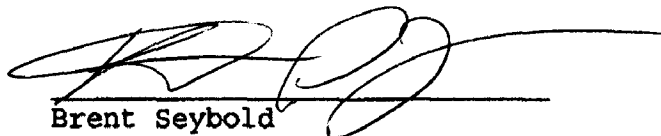
On this _____ day of August, 2003 Cang Lam personally appeared before me, a Notary Public in and for _____, and executed the foregoing Assignment and duly acknowledged to me that such Assignment was executed for the uses and purposes therein expressed.

NOTARY PUBLIC

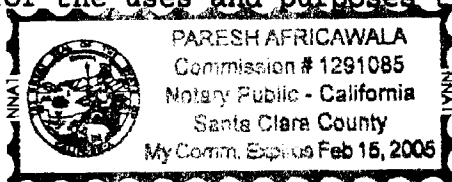
Eugene Chen

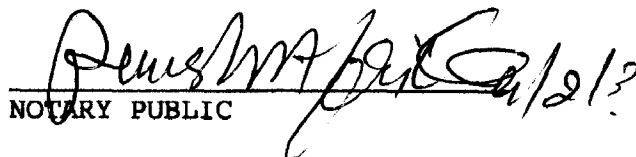
On this _____ day of August, 2003 Eugene Chen personally appeared before me, a Notary Public in and for _____, and executed the foregoing Assignment and duly acknowledged to me that such Assignment was executed for the uses and purposes therein expressed.

NOTARY PUBLIC


Brent Seybold

On this 2nd day of Sept, 2003 Brent Seybold personally appeared before me, a Notary Public in and for Shirley Williams and executed the foregoing Assignment and duly acknowledged to me that such Assignment was executed for the uses and purposes therein expressed.




NOTARY PUBLIC

Rodney Brenneman

On this _____ day of August, 2003 Rodney Brenneman personally appeared before me, a Notary Public in and for _____, and executed the foregoing Assignment and duly acknowledged to me that such Assignment was executed for the uses and purposes therein expressed.

NOTARY PUBLIC

Vahid Saadat

On this _____ day of June, 2003 Vahid Saadat personally appeared before me, a Notary Public in and for _____, and executed the foregoing Assignment and duly acknowledged to me that such Assignment was executed for the uses and purposes therein expressed.

NOTARY PUBLIC