

10-31-2003



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Form PTO-1595

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

U.S. Department of Commerce

U.S. Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies): James M. Cook Qi Huang Xiaohui He</p> <p>Additional name(s) of conveying party(ies) attached? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies) Name: WiSys Technology Foundation, Inc. Internal Address: Street Address: 614 Walnut Street City: Madison State: WI Zip: 53726</p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>3. Nature of Conveyance:</p> <p><input type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name</p> <p><input checked="" type="checkbox"/> Other: Record to Correct first assignors name on assignment document previously recorded on Reel/Frame 014067/0332</p> <p>Execution Date: 1)6/3/03; 2)6/20/03; 3)6/26/03</p>	

10.30.03

2003 OCT 30 PM 2:51
OPR/FINANCE

4. Application number(s) or patent number(s):
If this document is being filed together with a new application, the execution date of the application is:

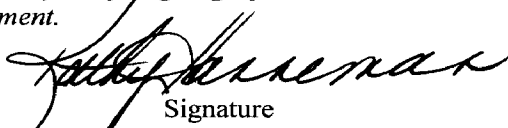
<p>A. Patent Application No.(s) 10/402538</p>	<p>B. Patent No.(s)</p>
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Additional numbers attached? Yes No

<p>5. Name and address of party to whom correspondence Concerning document should be mailed: Name: Kathy Hanneman Internal Address Wisconsin Alumni Research Foundation Street Address: 614 Walnut Street City: Madison State: WI Zip: 53726</p>	<p>6. Total number of applications and patents involved: 1</p> <p>7. Total fee (37 CFR 3.41).....\$00.00 <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account</p> <p>8. Deposit account number: 23-2545 (Attach duplicate copy of this page if paying by deposit account)</p>
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9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

<p>Kathy Hanneman Name of Person Signing</p>	 Signature	<p>10/24/2003 Date</p>
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Total number of pages including cover sheet, attachments, and documents: 16

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Commissioner of Patents & Trademarks, Box Assignments
Washington, DC 20231

T02017US

PATENT
REEL: 014638 FRAME: 0785

Conveying Party(ies)

Name: Xiaoyan Li

Execution Date: 6/3/03

Name: Jianming Yu

Execution Date: 6/3/03

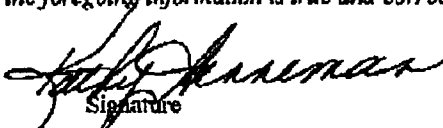
Name: Dongmei Han

Execution Date: 6/3/03

Name:

Execution Date:

10/22/2003
700049224

Form PTO-1595 (Rev. 03/01) OMB No. 0651-0027 (exp. 5/31/2002)		Recordation Form Cover Sheet PATENTS ONLY		U.S. Department of Commerce U.S. Patent and Trademark Office	
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1. Name of conveying party(ies): James M. Cook Qi Huang Xiaohui He Additional name(s) of conveying party(ies) attached? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		2. Name and address of receiving party(ies) Name: WiSys Technology Foundation, Inc. Internal Address: Street Address: 614 Walnut Street City: Madison State: WI Zip: 53726 Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
3. Nature of Conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other:		Execution Date: 1)6/3/03; 2)6/20/03; 3)6/26/03			
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____ A. Patent Application No.(s) 10/402538 B. Patent No.(s) Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
5. Name and address of party to whom correspondence Concerning document should be mailed: Name: Kathy Hanneman Internal Address WiSys Technology Foundation, Inc. Street Address: 614 Walnut Street City: Madison State: WI Zip: 53705		6. Total number of applications and patents involved: 1 7. Total fee (37 CFR 3.41).....\$40.00 <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: 501489 (Attach duplicate copy of this page if paying by deposit account)			
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9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> Kathy Hanneman Name of Person Signing  Signature 9/17/03 Date Total number of pages including cover sheet, attachments, and documents: 9					

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Washington, DC 20231

PH 50100 501489 10402538

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Conveying Party(ies)

Name: Xiaoyan Li

Execution Date: 6/3/03

Name: Jianming Yu

Execution Date: 6/3/03

Name: Dongmei Han

Execution Date: 6/3/03

Name:

Execution Date:

ASSIGNMENT
(Joint Inventors)

I am one of the persons identified as an inventor and who has contributed to a certain new and useful invention (the "Invention"), as set forth in an application for United States Letters Patent, bearing substantially the following title and bearing the following serial number. The application was executed by me, and it was filed on the indicated date:

Title: ANXIOLYTIC AGENTS WITH REDUCED SEDATIVE AND ATAXIC EFFECTS

Serial Number: 10/402538

Date Filed: 3-28-2003

The assignee under this agreement is hereby authorized to insert the filing date and serial number referred to above, when ascertained.

For good and valuable consideration, I do hereby sell, assign, and transfer to the WiSys Technology Foundation (hereinafter "WISYS") a non-stock, non-profit Wisconsin corporation, and to its legal representatives, successors, and assigns, my entire right, title and interest in and to the Invention and to all United States and foreign patent applications any of whose claims cover all or part of the Invention, together with all patents resulting from such applications. WISYS shall hold such right, title, and interest as fully and completely as they would have been held by me had this assignment and sale not been made.

I agree, upon WISYS' request, to execute or assent to such United States and foreign patent applications and to execute all separate assignments and other legal documents that WISYS may find necessary or desirable in its exercise of the right, title, and interest assigned above. I also agree to communicate to WISYS any facts relating to the Invention or to any of the patent applications or patents contemplated above that may be useful to WISYS and to testify as to such facts in any interferences or in litigation, if requested to do so. I shall do all these things without additional compensation but at no expense to me.

I hereby request the Commissioner of Patents to issue to WISYS, as the owner of my entire right, title, and interest therein, any Letters Patent of the United States that may be issued for the Invention.

Ref: T02017US
WiSys Technology Foundation

PATENT
REEL: 014638 FRAME: 0789

ASSIGNMENT

Wisys Technology Foundation

Ref: T02017US

App.#: , Filed: 3-28-2003

App.#: 10/402538

Inventor: James M. Cook

Date: 6/3/03

James M. Cook
6139 NorthLake Drive
Whitefish Bay, WI 53217

State of Wisconsin)
 MILWAUKEE)ss.
County of ~~Dane~~)

On this 3RD day of JUNE, 2003 before me personally appeared James M. Cook, known to me to be the person identified above as the "Inventor," who executed the above instrument and acknowledged to me that he or she executed the same for the uses and purposes therein set forth.

SEAL Barbara A. Patis
Notary Public

COMMISSION EXPIRES JULY 23, 2006

My Commission expires: _____

ASSIGNMENT
Wisys Technology Foundation
Ref: T02017US
App.#: , Filed: 3-28-2003
App.#: 10/402538

Inventor: *[Signature]*

Date: 6-20-03

Qi Huang
12308 Willow Forest Dr
Moonpark, CA 93021

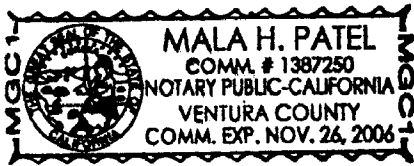
State of ~~Wisconsin~~) CALIFORNIA
)ss. *mp.*
County of ~~Dane~~) VENTURA

*mp.
moved to
me on the
basis of
satisfactory
evidence to be
SEAL*

On this 20 day of JUNE, 2003 before me personally appeared Qi Huang,
known to me to be the person identified above as the "Inventor," who executed the above
instrument and acknowledged to me that he or ~~she~~ executed the same for the uses and purposes
therein set forth.

Mala H. Patel
Notary Public

My Commission expires: NOV. 26, 2006.



ASSIGNMENT

Wisys Technology Foundation

Ref: T02017US

App.#: , Filed: 3-28-2003

App.#: 10/402538

Inventor: *[Signature]*

Date: 06/26/03

Xiaohui He
12461 Dormouse Road
San Diego, CA 92129

State of ~~Wisconsin~~ California
~~Wisconsin~~ CA
)ss.

County of ~~Dane~~ San Diego

On this 26th day of June, 2003 before me personally appeared Xiaohui He, known to me to be the person identified above as the "Inventor," who executed the above instrument and acknowledged to me that ~~he or she~~ executed the same for the uses and purposes therein set forth.

SEAL

[Signature]
Notary Public

My Commission expires: Oct. 21, 2006



ASSIGNMENT

Wisys Technology Foundation

Ref: T02017US

App.#: , Filed: 3-28-2003

App.#: 10/402538

Inventor: Xiaoyan Li

Date: 06/03/03

Xiaoyan Li
3438 N. Oakland Ave. #301
Milwaukee, WI 53211

State of Wisconsin)
 MILWAUKEE)ss.
County of ~~Dane~~)

On this 3rd day of JUNE, 2003 before me personally appeared Xiaoyan Li, known to me to be the person identified above as the "Inventor," who executed the above instrument and acknowledged to me that he or she executed the same for the uses and purposes therein set forth.

SEAL

Barbara C. Pater
Notary Public

COMMISSION EXPIRES JULY 23, 2006

My Commission expires: _____

ASSIGNMENT

Wisys Technology Foundation

Ref: T02017US

App.#: , Filed: 3-28-2003

App.#: 10/402538

Inventor: Yu Jianming

Date: 06/03/03

Jianming Yu
3438 N. Oakland Ave. #301
Milwaukee, WI 53211

State of Wisconsin)
MILWAUKEE)SS.
County of ~~Dane~~)

On this 3RD day of June, 2003 before me personally appeared Jianming Yu, known to me to be the person identified above as the "Inventor," who executed the above instrument and acknowledged to me that he or she executed the same for the uses and purposes therein set forth.

SEAL Barbara C. Patis
Notary Public

My Commission expires: COMMISSION EXPIRES JULY 23, 2006

ASSIGNMENT

Wisys Technology Foundation

Ref: T02017US

App.#: , Filed: 3-28-2003

App.#: 10/402538

Inventor: Dongmei Han

Date: 06/03/03

Dongmei Han
3438 N. Oakland Ave. #301
Milwaukee, WI 53211

State of Wisconsin)
)ss.
County of ~~Dane~~ MILWAUKEE)

On this 3RD day of June, 2003 before me personally appeared Dongmei Han, known to me to be the person identified above as the "Inventor," who executed the above instrument and acknowledged to me that he or she executed the same for the uses and purposes therein set forth.

SEAL

Barbara C. Patis

Notary Public

COMMISSION EXPIRES JULY 23, 2006

My Commission expires: _____

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Form PTO-1595

Recordation Form Cover Sheet

U.S. Department of Commerce

(Rev. 03/01)

PATENTS ONLY

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Name of Person Signing Signature Date

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Execution Date: 6/3/03

Name: Jianming Yu

Execution Date: 6/3/03

Name: Dongmei Han

Execution Date: 6/3/03

Name:

Execution Date:

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 James M. Cook
 Qi Huang
 Xiaohui He

Additional name(s) of conveying party(ies) attached? Yes No

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 Internal Address:

 Street Address: 614 Walnut Street

 City: Madison State: WI Zip: 53726

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of Conveyance:
 Assignment Merger
 Security Agreement Change of Name
 Other:

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 Street Address: 614 Walnut Street
 City: Madison State: WI Zip: 53705


6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$40.00
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Execution Date: 6/3/03

Name:

Execution Date: