

11-04-2003

Form PTO-1595
(Rev. 10/02)

REC

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

OMB No. 0651-0027 (exp. 6/30/2005)

Tab settings ⇌ ⇌ ⇌ ▼ ▼ ▼ ▼ ▼

102591442

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Douglas R. Swarts

10-24-03

2. Name and address of receiving party(ies)

Name: Phase Four Industries, Inc.

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other _____

Street Address: 837 Industrial Dr. Bldg. 1A

City: Hollister State: CA Zip: 95023

Execution Date: 10/24/03

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

10693719

If this document is being filed together with a new application, the execution date of the application is: 10/24/03

A. Patent Application No.(s) not yet assigned

B. Patent No.(s) none

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Alan Heimlich, Esq.

Internal Address: _____

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41).....\$ 40.00



Enclosed



Authorized to be charged to deposit account

8. Deposit account number: _____

Street Address: 5952 Dial Way

City: San Jose State: CA Zip: 95129

DO NOT USE THIS SPACE

9. Signature.

Douglas R. Swarts

Name of Person Signing

Signature

10/23/03

Date

Total number of pages including cover sheet, attachments, and documents: 2

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231PATENT
REEL: 014644 FRAME: 096215/67 U.S. PTO
10/693719

10/24/03

10/30/2003 RMEBRAHT 00000033 10693719

01 FC:021

40.00 DP

ASSIGNMENT OF APPLICATION

Docket Number (Optional)

006386. ~~2004~~ *P002*

Whereas, I/We, Douglas R. Swarts of Hollister, CA, hereafter referred to as applicant, have invented certain new and useful improvements in WASTE EVACUATION SYSTEM FOR A VEHICLE

☒ for which an application for a United States Patent was filed on 10/24/03
Application Number not yet assigned

☐ for which an application for a United States Patent was executed on _____, and
Whereas, Phase Four Industries, Inc. of Hollister, CA 95023 here referred to "assignee" whose mailing address is 837 Industrial Dr. Bldg. 1A is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of one dollars (\$ 1.00), the receipt whereof is acknowledge, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire rights, title and interest in and to any and all Patents which may be granted therefore in the United States. I/We hereby authorize and request the Director of the U.S. Patent and Trademark Office to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 23rd day of October, 20 03
at Hollister, CA


Signature

State of _____)
County of _____) SS: _____ Printed Name/Registration No., if applicable

Before me personally appeared said _____
and acknowledged the foregoing instrument to be his free act and deed this _____
day of _____, 20 _____.

Seal

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, See below*.

☒ Total of 1 forms are submitted.

This form offers a sample or suggested format for an assignment document. This sample form is not an OMB officially approved form.

RECORDED: 10/24/2003

PATENT
REEL: 014644 FRAME: 0963