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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Michael S. Borella, Chandra Warriar

2. Name and address of receiving party(ies)

Name: UTStarcom, Inc.

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other _____

Street Address: 1275 Harbor Bay Parkway

City: Alameda State: CA Zip: 94502

Execution Date: 10/20/2003

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 10/20/2003

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Felix L. Fischer

Internal Address: _____

Street Address: 1607 Mission Drive, Suite 204

City: Solvang State: CA Zip: 93463

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41).....\$ 40.00



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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

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Mail documents to be recorded with required cover sheet information to:

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PATENT
REEL: 014644 FRAME: 096715834 U.S. PTO
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ASSIGNMENT

WHEREAS, Michael S. Borella of Naperville, Illinois, United States of America and Chandra Warriar of Schaumburg, Illinois, United States of America, hereinafter referred to as the "Assignors" have invented certain new and useful improvements as described and set forth in an application for Letters Patent of the United States entitled **SYSTEM AND METHOD FOR POINT-TO-POINT PROTOCOL DEVICE REDUNDANCY** with a Declaration attached thereto that was executed by the Assignors on even date herewith;

WHEREAS, UTStarcom, Inc. a corporation of the State of Delaware, having a place of business at 1275 Harbor Bay Parkway, Alameda, CA 94502, hereinafter referred to as "Assignee", desires to acquire the entire right, title and interest in and to said application, said inventions, said improvements, and any and all Letters Patent which may be granted thereon in the United States or any foreign country;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged by Assignor,


1. Assignors hereby sell, assign, transfer and convey to Assignee the entire worldwide right, title and interest in and to said provisional application, said application, said invention and said improvements, and in and to any and all Letters Patent on said invention and improvements that may be granted by the United States or any foreign country, including any divisions, substitutions, continuations in whole or in part, conversions, reissues, additions or extensions thereof, said interest to be held and enjoyed by Assignee as fully and exclusively as it would have been held and enjoyed by said Assignor had this Assignment and transfer not been made.

2. Assignors hereby covenant, warrant and represent that they have not heretofore granted any license, right or privilege with respect to said applications, invention or improvements or in any other way encumbered the same, and that they have the full right to make this Assignment.


3. Assignors further agree that at the request and expense of Assignee, but without charge to said Assignee, they will promptly execute all papers necessary or desirable to perfect ownership of said invention, improvements, applications or said Letters Patent, in said Assignee, and will execute all oaths and other papers, within the truth, that are necessary or desirable for prosecuting said application, for use in interference proceedings involving said invention or improvements, for refilling said applications, for filing of said divisional, substitution, continuation or continuation-in-part applications covering said invention or improvements which are deemed necessary or desirable by Assignee, for reissuance or reexamination of said Letters Patent, or for the filing in foreign countries of applications for Letters Patent covering said invention or improvements.

4. The terms, covenants and provisions of this Assignment shall inure to the benefit of Assignee, its successors, assigns and other legal representatives, and shall be binding upon Assignor, his heirs, legal representatives and assigns.

IN TESTIMONY WHEREOF, I have executed and delivered to Assignee this instrument on the date shown.



Michael S. Borella Date: 10/20/03



Chandra Warrior Date: 10/20/03