11-04-2003

| Form PTO-1595 (Rev. 03/01) | (over E/24/2000) | F | | | | MENT OF COMMERC nt and Trademark Offi |
|---|---|--|----------------------|---|--|--|
| OMB No. 0651-0027 (| (exp. 5/31/2002) | | 10259 | 90979 | | |
| | | er of Pate | | s: Please record the attac | hed original documents | or copy thereof. |
| 1. Name of conve | ying party(ies): | : | | 2. Name and add | lress of receiving pa | arty(ies) |
| Ronald J. Doll | | | | Name: The Fa | lk Corporation | |
| | | | | | ss: | |
| Additional name(s) of | conveying party(ie | s) attache | ed? 🖵 Yes 🌇 No | | | |
| 3. Nature of conve | eyance: | | | | | |
| 🖫 Assignme | nt | 🖳 Me | erger | | | |
| ☐ Security Ag | greement | ⊒ i Ch | nange of Name | Street Address | s:s | |
| | rection of Execu | | • | P.O. Box 492 | | |
| | | | · | Milwaukee | e wi | ſ |
| | | | | City: | eState:_WI | Zip: |
| Execution Date: 5/ | 5/2003 | | | Additional name(s) |) & address(es) attached | l? 📮 Yes 🍱 No |
| | | | | together with a new ap ed by the first named exe | | |
| A. Patent Applic 10/430,963 | ation No.(s) | | | B. Patent No.(s | s) | |
| | cation No.(s) | A | dditional numbers a | B. Patent No.(s | , | |
| 5. Name and addr | ess of party to | whom c | correspondence d: | attached? 📮 Yes 🛂 N | No | atents involved: |
| 5. Name and addr | ess of party to | whom c | correspondence d: | attached? Yes 6. Total number of | No | **** |
| 5. Name and addr concerning docu Name: Kerrie A. | ess of party to ument should b Laba | whom c | correspondence d: | attached? Yes 6. Total number of | No applications and pa | 40.00 |
| 5. Name and addr | ess of party to ument should b Laba | whom c | correspondence d: | 6. Total number of 7. Total fee (37 CF | No applications and pa | 40.00 |
| 5. Name and address Internal Address | ess of party to ument should b Laba Carlson, Gask | whom c e mailed ey & Old | correspondence d: | 6. Total number of 7. Total fee (37 CF | applications and pa FR 3.41)\$ to be charged to de | 40.00 OFFICE OF |
| 5. Name and address: | ess of party to ument should b Laba Carlson, Gask | whom c e mailed ey & Old | correspondence d: | 6. Total number of 7. Total fee (37 CF Enclosed Authorized | applications and pa FR 3.41)\$ to be charged to de | 40.00 OFFICE OF The Property |
| 5. Name and address. Name: Kerrie A. Internal Address Street Address: | ess of party to iment should be Laba Carlson, Gask 400 West Maple | whom come mailed | ds, P.C. | 6. Total number of 7. Total fee (37 CF Enclosed Authorized 8. Deposit account 50-1482 | applications and pa FR 3.41)\$ to be charged to de | 40.00 Fraccount Prosperaccount Company 37 CFR 16 and 11.17 |
| 5. Name and address. Kerrie A. Internal Address Street Address: | ess of party to iment should be Laba Carlson, Gask 400 West Maple | whom come mailed | ip:48009 | 6. Total number of 7. Total fee (37 CF Enclosed Authorized 8. Deposit account 50-1482 | to be charged to de to number: | 40.00 F account of the position of the positi |
| 5. Name and address Concerning documers. Name: Kerrie A. Internal Address Street Address: 40.00 DA 9. Statement and s | ess of party to iment should be Laba Carlson, Gask Carlson Gask 400 West Maple Suite 350 | whom ce mailed | ip:48009 | 6. Total number of 7. Total fee (37 CF Enclosed Authorized 8. Deposit account 50-1482 Charge Any Addit (Attach duplicate co | applications and paragrams and | 40.00 Prosperaccount Prosperaccount 37 CFR 16 and 1.17 by deposit account) |
| 5. Name and address Concerning documers. Name: Kerrie A. Internal Address Street Address: 40.00 DA 9. Statement and s | ess of party to ment should be Laba Carlson, Gask Carlson Gask 400 West Maple Suite 350 501461 ateroxide | whom ce mailed ey & Old | ip:_48009 DO NOT US | 6. Total number of 7. Total fee (37 CF Enclosed Authorized 8. Deposit account 50-1482 Charge Any Addit (Attach duplicate co | applications and paragrams and | 40.00 F CONTRACTOR OF FICH A |
| 5. Name and address Concerning documes: Name: Kerrie A. Internal Address Street Address: Birmingham 40.00 M 9. Statement and state of mis a true copy of Kerrie A. Laba | ess of party to ment should be Laba Carlson, Gask Carlson Gask 400 West Maple Suite 350 501461 ateroxide | whom ce mailed a mail | ip:_48009 DO NOT US | 6. Total number of 7. Total fee (37 CF Enclosed Authorized 8. Deposit account 50-1482 Charge Any Addit (Attach duplicate co | to be charged to denote the number: tional Fee Required Underpy of this page if paying | 40.00 F C C C C C C C C C C C C C C C C C C |

Mall documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

Washington, D.C. 20231

ASSIGNMENT (Sole Inventor)

WHEREAS, I, Ronald J. Doll (hereinafter referred to as Assignor), residing at 2237 Washington Avenue, Cedarburg, Wisconsin, 52012, has invented new and useful improvements in "Adjustable Rotating Shaft Guard for Motor Couplings" as set forth in United States Provisional Application No. 60/380,480 filed on May 15, 2002 and previously assigned by Assignor to Hamilton Sundstrand Corporation in an "Intellectual Property Assignment" dated December 6, 2002.

AND WHEREAS, said invention is set forth in an application for Letters Patent in the United States entitled:

ADJUSTABLE GUARD FOR PROTECTING COUPLING CONNECTION BETWEEN ROTATING SHAFTS

executed on even date herewith, having Attorney Docket No. 67,036-034, and which claims priority to United States Provisional Application No. 60/380,480 filed on May 15, 2002;

AND WHEREAS, **The Falk Corporation** (hereinafter referred to as Assignee), of 3001 W. Canal Street, P.O. Box 492, Milwaukee, Wisconsin, U.S.A., is desirous of acquiring the entire right, title and interest in and to said invention and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW, THEREFORE, be it known by all whom it may concern, that for and in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the Assignor has assigned, sold and set over, and by these presents assigns, sells and sets over unto the Assignee, its successors, legal representatives and assigns, for the territory of the United States of America and all foreign countries, the entire right, title and interest in and to any new and useful improvements to said invention, not previously assigned by Assignor in the "Intellectual Property Assignment" dated December 6, 2002, said application for Letters Patent, including the right to file and prosecute foreign patent applications corresponding to said application in foreign patent offices, and the right to claim the priority date of said United States patent application and any legal equivalents thereof, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and to any and all divisions, continuations, and continuations-in-part of said application, or re-issues or extensions of said Letters Patent or Patents prepared and executed by Assignor on even date herewith, the same to be held and enjoyed by the Assignee, as fully and entirely as the same would have been held by the Assignor had this Assignment and sale not been made.

1

PATENT REEL: 014645 FRAME: 0675

67036-034

AND the Assignor hereby covenants and agrees that the Assignor will at any time upon the request and at the expense of the Assignee execute and deliver any and all papers and do all lawful acts that may be necessary or desirable to perfect the title to said invention and to obtain Letters Patent therefor, and the Assignor hereby authorizes and requests the Commissioner of Patents to issue said Letters Patent to the Assignee in accordance with this Agreement.

3001 W. CANAL ST.

IN TESTIMONY WHEREOF, I hereunto set my hand at Misconsin, this 5th day of _______, 2003.

Ronald J. Doll

STATE OF WISCONSIN
COUNTY OF

Before me personally appeared said Ronald J. Doll and acknowledged the foregoing instrument to be his own free act and deed, this _____ day of ________, 2003.

Notary Public

Please address all correspondence and telephone calls and, upon recordation, please return this document to:

Kerrie A. Laba CARLSON, GASKEY & OLDS, P.C. 400 W. Maple, Suite 350 Birmingham, MI 48009 (248) 988-8360

N:\Clients\UTC - Ham Sun Aerospace\IP00034\PATENT\Assignment - Single Inventor.doc

OCTOBER 16, 2003

CARLSON, GASKEY & OLDS KERRIE A. LABA 400 WEST MAPLE SUITE 350 BIRMINGHAM, MI 48009



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/06/2003

REEL/FRAME: 014050/0032

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

DOLL, RONALD J.

DOC DATE: 12/06/2002

ASSIGNEE:

THE FALK CORPORATION 3001 W. CANAL STREET P.O. BOX 492 MILWAUKEE, WISCONSIN

SERIAL NUMBER: 10430963

PATENT NUMBER:

FILING DATE: 05/06/2003

ISSUE DATE:

VIOLET MCCOY, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

> PATENT REEL: 014645 FRAME: 0677

5-6-03

RECORDAT

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Form **PTO-1595**

OMB No. 0651-0027 (exp. 5/31/2002)

RECORDED: 10/31/2003

(Rev. 03/01)

05-15-2003







| Name of conveying party(ies): Ronald J. Doll | 2. Name and address of receiving party(ies) |
|---|--|
| Ronald J. Doll | Name: The Falk Corporation |
| | Internal Address: |
| Additional name(s) of conveying party(ies |) attached? 📮 Yes 🍱 No |
| 3. Nature of conveyance: | |
| Assignment | □ Merger |
| Security Agreement | Street Address: 3001 W. Canal Street |
| ☐ Other | P.O. Box 492 |
| | City: Milwaukee State: WI Zip: |
| Execution Date: 12/06/2002 | Additional name(s) & address(es) attached? |
| | document is being filed together with a new application, the date the patent was signed by the first named executing inventor: 5/5/2003 |
| A. Patent Application No.(s) | B. Patent No.(s) |
| 10,43096 | 53 |
| • / / - • | |
| | Additional numbers attached? 🖳 Yes 🍱 No |
| 5. Name and address of party to v | Additional numbers attached? Yes No whom correspondence 6. Total number of applications and patents invol |
| Name and address of party to vector concerning document should be | Additional numbers attached? Yes No whom correspondence a mailed: 6. Total number of applications and patents invol |
| 5. Name and address of party to vocancerning document should be Name: Kerrie A. Laba | Additional numbers attached? Yes No whom correspondence mailed: 7. Total fee (37 CFR 3.41) |
| Name and address of party to vector concerning document should be | Additional numbers attached? Yes No whom correspondence mailed: 7. Total fee (37 CFR 3.41)\$ Enclosed Authorized to be charged to deposit acco |
| Name and address of party to veconcerning document should be Name: Kerrie A. Laba Internal Address: Carlson, Gaske | Additional numbers attached? Yes No whom correspondence mailed: 7. Total fee (37 CFR 3.41)\$ Enclosed Authorized to be charged to deposit acco |
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| 5. Name and address of party to veconcerning document should be Name: Kerrie A. Laba Internal Address: Carlson, Gasket Street Address: 400 West Maple Suite 350 | Additional numbers attached? Yes No No No More correspondence anailed: 7. Total fee (37 CFR 3.41) |
| 5. Name and address of party to veconcerning document should be Name: Kerrie A. Laba Internal Address: Carlson, Gasket Street Address: 400 West Maple Suite 350 City: Birmingham State: Mich | Additional numbers attached? Yes No No Month Correspondence a mailed: 7. Total fee (37 CFR 3.41)\$ Enclosed Authorized to be charged to deposit acco 8. Deposit account number: 50-1482 Charge Any Additional Fee Required Under 37 CFR 1.16 a |
| 5. Name and address of party to veconcerning document should be Name: Kerrie A. Laba Internal Address: Carlson, Gasket Street Address: 400 West Maple Suite 350 City: Birmingham State: Mich. | Additional numbers attached? Yes No No More correspondence and patents involved and patents |
| 5. Name and address of party to veconcerning document should be Name: Kerrie A. Laba Internal Address: Carlson, Gasket Street Address: 400 West Maple Suite 350 City: Birmingham State: Mich. | Additional numbers attached? Yes No No whom correspondence amailed: 7. Total fee (37 CFR 3.41) |

Washington, D.C. 20231