

11-04-2003

Form PTO-1595 (Rev. 03/01) F



U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

OMB No. 0651-0027 (exp. 5/31/2002) 10-31-03

102590979

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): Ronald J. Doll
Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)
Name: The Falk Corporation
Internal Address:
Street Address: 3001 W. Canal Street
P.O. Box 492
City: Milwaukee State: WI Zip:
Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:
Assignment Merger
Security Agreement Change of Name
Other: Correction of Execution Date
Execution Date: 5/5/2003

4. Application number(s) or patent number(s):
If this document is being filed together with a new application, enter the date the patent was signed by the first named executing inventor:
A. Patent Application No.(s) 10/430,963
B. Patent No.(s)
Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:
Name: Kerrie A. Laba
Internal Address: Carlson, Gaskey & Olds, P.C.
Street Address: 400 West Maple Suite 350
City: Birmingham State: Michigan Zip: 48009

6. Total number of applications and patents involved: 1
7. Total fee (37 CFR 3.41).....\$ 40.00
Enclosed
Authorized to be charged to deposit account
8. Deposit account number: 50-1482
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 (Attach duplicate copy of this page if paying by deposit account)

OFFICE OF PATENT RECORDS
2003 OCT 31 PM 3:18
FINANCE SECTION

11/03/2003 DBT/ME 0000011-50148 10430979
01 FC:002 40.00 DA

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9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.
Kerrie A. Laba
Name of Person Signing Signature Date October 28, 2003
Total number of pages including cover sheet, attachments, and documents: 5

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT REEL: 014645 FRAME: 0674

ASSIGNMENT
(Sole Inventor)

WHEREAS, I, **Ronald J. Doll** (hereinafter referred to as Assignor), residing at 2237 Washington Avenue, Cedarburg, Wisconsin, 52012, has invented new and useful improvements in "Adjustable Rotating Shaft Guard for Motor Couplings" as set forth in United States Provisional Application No. 60/380,480 filed on May 15, 2002 and previously assigned by Assignor to Hamilton Sundstrand Corporation in an "Intellectual Property Assignment" dated December 6, 2002.

AND WHEREAS, said invention is set forth in an application for Letters Patent in the United States entitled:

**ADJUSTABLE GUARD FOR PROTECTING COUPLING
CONNECTION BETWEEN ROTATING SHAFTS**

executed on even date herewith, having Attorney Docket No. 67,036-034, and which claims priority to United States Provisional Application No. 60/380,480 filed on May 15, 2002;

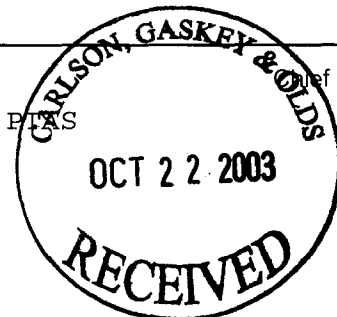
AND WHEREAS, **The Falk Corporation** (hereinafter referred to as Assignee), of 3001 W. Canal Street, P.O. Box 492, Milwaukee, Wisconsin, U.S.A., is desirous of acquiring the entire right, title and interest in and to said invention and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW, THEREFORE, be it known by all whom it may concern, that for and in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the Assignor has assigned, sold and set over, and by these presents assigns, sells and sets over unto the Assignee, its successors, legal representatives and assigns, for the territory of the United States of America and all foreign countries, the entire right, title and interest in and to any new and useful improvements to said invention, not previously assigned by Assignor in the "Intellectual Property Assignment" dated December 6, 2002, said application for Letters Patent, including the right to file and prosecute foreign patent applications corresponding to said application in foreign patent offices, and the right to claim the priority date of said United States patent application and any legal equivalents thereof, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and to any and all divisions, continuations, and continuations-in-part of said application, or re-issues or extensions of said Letters Patent or Patents prepared and executed by Assignor on even date herewith, the same to be held and enjoyed by the Assignee, as fully and entirely as the same would have been held by the Assignor had this Assignment and sale not been made.

67036-034 KAL

OCTOBER 16, 2003

CARLSON, GASKEY & OLDS
KERRIE A. LABA
400 WEST MAPLE SUITE 350
BIRMINGHAM, MI 48009



Chief Financial Officer and Chief Administrative Officer
Washington, DC 20231
www.uspto.gov



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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/06/2003¹⁷

REEL/FRAME: 014050/0032¹⁷
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

DOLL, RONALD J.

DOC DATE: 12/06/2002¹⁷

ASSIGNEE:

THE FALK CORPORATION
3001 W. CANAL STREET
P.O. BOX 492
MILWAUKEE, WISCONSIN

SERIAL NUMBER: 10430963 ✓
PATENT NUMBER:

FILING DATE: 05/06/2003 ✓
ISSUE DATE:

VIOLET MCCOY, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

5-6-03

05-15-2003

Form PTO-1595 (Rev. 03/01) OMB No. 0651-0027 (exp. 5/31/2002)

RECORDAT PA



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DEPARTMENT OF COMMERCE Patent and Trademark Office

10/430963 05/06/03

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Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

- Assignment Merger Security Agreement Change of Name Other

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Execution Date: 12/06/2002

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, enter the date the patent was signed by the first named executing inventor: 5/5/2003

A. Patent Application No.(s)

10,430963

B. Patent No.(s)

Additional numbers attached? Yes No

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Suite 350

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50-1482

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kerrie A. Laba

Name of Person Signing

Signature

May 6, 2003

Date

Total number of pages including cover sheet, attachments, and documents: 3

05/14/2003 DBYTE 00000186 10430963

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40.00 All documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments Washington, D.C. 20231

RECORDED: 10/31/2003

PATENT REEL: 014645 FRAME: 0678