FORM PTO-1584 05/19/04

# RECORDATION FORM COVER SHEET PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. Name of conveying parties: Name and address of receiving party: Guido GUGLIELMI Cheng JI The Regents of the University of California Additional name(s) of conveying party(ies) attached? []Yes [X]No 3. Nature of conveyance: Street Address: 300 Lakeside Drive, 22<sup>nd</sup> Floor [] Assignment [] Merger [] Security Agreement [] Change of Name City: Oakland State: CA Zip: 94612 [X] Other To correct second assignor on Reel 7989, Frame 0207 Execution Date: May 10, 1996 Additional name(s) & address(es) attached? [ ]Yes [X]No Application number(s) or patent number(s): 08/607,592 If this document is being filed together with a new application, the execution date of the application is:\_ Α. Patent Application No.(s) B. Patent No.(s) 5,743,905 Additional numbers attached? [ ]Yes [X]No Name and address of party to whom correspondence Total number of applications and patents concerning document should be mailed: involved: Name: Albert K. Kau 7. Total fee (37 CFR 3.41): \$40.00 [ ] Enclosed Address: SCIMED LIFE SYSTEMS, INC. [X] Authorized to be charged to deposit One Scimed Place account Mail Stop A150 Maple Grove, MN 55311-1566 8. Deposit account number: 19-0765 (Attach duplicate copy of this page if paying by deposit account) DO NOT USE THIS SPACE Statement and signature. To the best of my knowledge and belief, the foregolds information is true and correct and any attached copy is a true copy of the original document. Albert K. Kau Name of Person Signing Total number of pages including cover sheet:

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PATENT REEL: 014653 FRAME: 0171

File No.: SMP0

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OMB No. 0651-0011 (exp. 4/94)

06-19-1996

U.S. DEPARTMENT OF COMMERCE

## TION FORM COVER SHEET 10 10 Patent and Trademark Office Docket No. 290252014202 PATENTS ONLY

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To the Commissioner of Patents and Trader	marks: Please record the attached original documents or copy thereof.
Name of conveying party(ies):     Joseph EDER	2. Name and address of receiving party(ies):
☐ Association ☐ General Partnership ☐ Corporation-State ☐ Other  Additional name(s) of conveying party(ies) attached? ☐ Yes	Name: Target Therapeutics, Inc. Internal Address: Street Address: 47201 Lakeview Blvd. City: Fremont, State: CA ZIP: 94537
3. Nature of conveyance:	Additional name(s) & address(es) attached? ☐ Yes ⊠ No
4. Application number(s) or patent number(s):  If this document is being filed together with a new appl	lication, the execution date of the application is:
A. Patent Application No.(s) 08/607,592 filed February 27, 1996 Additional numbers attached? 口 Yes 国 No	B. Patent No.(s)
Name and address of party to whom correspondence condocument should be mailed:	
E. Thomas Wheelock Morrison & Foerster LLP 755 Page Mill Road Palo Alto, California 94304-1018	7. Total fee (37 C.F.R. § 3.41): \$40.00  E Enclosed  ☐ Authorized to be charged to deposit account, referencing Attorney Docket 290252014202  8. Deposit account number: 03-1952
The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §	1.21 that may be required by this paper, or to credit any overpayment to Deposit Account No. 03-1952.
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document. Name: E. Thomas Wheelock	information is true and correct and any attached copy is a true copy of the original    June 5, 1996   Date
Total number of pages co	omprising cover sheet, attachments and document: 3
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Washington, D.C. 20231

**PATENT** 

**REEL: 014653 FRAME: 0172** 

Auorney Docket No.290252014202

#### ASSIGNMENT

THIS ASSIGNMENT, by Joseph Eder (hereinafter referred to as the assignor), residing at Los Altos, California, witnesseth:

WHEREAS, the said assignor has invented certain new and useful improvements in PARTIALLY INSULATED OCCLUSION DEVICE, set forth in an application for Letters Patent of the United States, bearing Serial No. 08/607,592 and filed on February 27, 1996; and

WHEREAS, Target Therapeutics, Inc., a corporation duly organized under and pursuant to the laws of Delaware and having its principal place of business at 47201 Lakeview Blvd., Fremont, California 94537 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, the said assignor has sold, assigned, transferred and set over, and by these presents does sell, assign, transfer and set over, unto the said assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to he held and enjoyed by the said assignee, for its own use and the use of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignor, had this sale and assignment not been made.

AND for the same consideration, the said assignor hereby covenants and agrees to and with the said assignee its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, the said assignor is the sole and lawful owner of the entire right, title and interest in and to the said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that the said assignor has good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, the said assignor hereby covenants and agrees to and with the said assignee, its successors, legal representatives and assigns, that the said assignor will, whenever counsel of the of the said assignee, or the counsel of its successor, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of the said assignee, its successors, legal representatives and assigns.

AND the said assignor hereby requests the Commissioner of Patents to issue said Letters Patent of the United States to the said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use of the said assignee, its successors, legal representatives and assigns.

Date Joseph EDER

**PATENT** REEL: 014653 FRAME: 0173

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State of <u>California</u>	re me, Sex Muveli Motory Rob  Name and Title of Officer (e.g., "Jane Doe) Notary Public")  Name(s) of Signer(s)
County of <u>alameda</u>	
On 5/10/96 befor	reme, Jean Murreli Morary Rob
personally appeared	Name and Title of Officer (e.g., "Jane Doe/Notary Public")
	Name(s) of Signer(s) I to me on the basis of satisfactory evidence to be the person(s
personally known to me – OH – 🗆 proved	whose name(s) is/are subscribed to the within instrume
	and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that the same in his/her/their authorized capacity(ies).
	his/her/their signature(s) on the instrument the person(s
	or the entity upon behalf of which the person(s) acte
JEAN MURRELL Z	executed the instrument.
Comm. #1028661	WITNESS my hand and official seal.
Comm. Expires June 12, 1900	~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~
	Signature of Notary Public
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#### ASSIGNMENT

U.C. Case No.: 96-141-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Guido GUGLIELMI

2. Cheng JI

3.

20/04

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 300 Lakeside Drive, 22nd Fl., Oakland, CA 94612-3550, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

### PARTIALLY INSULATED OCCLUSION DEVICE

and which is found in

- U.S. patent application executed on entitled as above and listing the above named person(s) as inventor(s) (a)
- U.S. application serial no. 08/607,592, filed on February 27, 1996 (b)
- U.S. Patent No.: , issued (c)

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenant(s) that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) request(s) the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

97h day of HAU, 1996

Gehday of May, 1996

Signature of Inventor(s)

Cheng JI

pa-62890 RECORDED: 05/20/2004 **PATENT** 

REEL: 014653 FRAME: 0175