

Docket No. V0188-7000

# **RECORDATION FORM COVER SHEET PATENTS ONLY**

FORM PTO-1595 U.S. (modified)

DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
United States Filter CorporationAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☐ Assignment                      ☐ Merger  
☐ Security Agreement           ☐ Change of Name  
☒ Other Corrective Assignment of corporation  
    name on Reel 012774, Frame 0256.

Execution Date: 03/08/2002

2. Name and address of receiving party(ies)

Name: OTV SA

Internal Address: Immeuble L'Aquarene

Street Address: 1 Place Montgolfier  
Saint Maurice  
94410 FRANCE

Additional name(s) & addresses(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No.(s)                      B. Patent No.(s) 6,402,917

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence  
Concerning document should be mailed:

Name: Peter C. Lando  
Address: LOWRIE, LANDO & ANASTASI, LLP  
One Main Street  
Cambridge, MA 02142

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41) \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit accountThe Commissioner is authorized to charge any  
deficiencies in the enclosed payment to:

8. Deposit Account No: 50/2762

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Peter C. Lando

May 18, 2004

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: [4]

Mail documents to be recorded with required cover sheet information to (modify as appropriate):

Mail Stop Assignment Recordation Services

Director of the U.S. Patent and Trademark Office (when filed separately from a new application)

Commissioner for Patents (when filed with a new application)

PO Box 1450, Alexandria, VA 22313-1450

PATENT

700085571

REEL: 014653 FRAME: 0872

CH \$40.00 602762 6402917

Attorney's Docket No. V00188/70000 PCL/SRF

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08-05-2002

SHEET

FORM PTO-1595 U.S.  
(Rev. 6-93)  
OMB No. 0661-0011 (exp. 4/94)



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DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
**United States Filter Corporation**

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 03/08/02

2. Name and address of receiving party(ies)

Name: **OTV Societe Anonyme**

Internal Address:

Street Address: **Immeuble l'Aquarene  
1 Place Montgolfier  
Saint Maurice (94417)  
France**

Additional name(s) & addresses(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No.(s)  
**09/601,406**

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence  
Concerning document should be mailed:

Name: **Peter C. Lando**  
Address: **WOLF, GREENFIELD & SACKS, P.C.  
Federal Reserve Plaza  
600 Atlantic Avenue  
Boston, MA 02210**

6. Total number of applications and patents involved: **[ 1 ]**7. Total fee (37 CFR 3.41) **\$ 40.00**☐ Enclosed☐ Authorized to be charged to deposit account☒ The Commissioner is authorized to charge:

8. Deposit Account No: 23/2825

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Stephen R. Finch, Reg. No. 42,534

March 21, 2002

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: **[ 2 ]**

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Commissioner of Patents & Trademarks,  
Washington, D.C. 20231

602276.1

PATENT  
REEL: 014653 FRAME: 0873

# ASSIGNMENT

COPY FILED

**WHEREAS**, United States Filter Corporation, a corporation of the State of Delaware, having a principal place of business at 40-004 Cook Street, Palm Desert, California 92211, United States of America, is the owner of the entire right, title and interest to the patent application and inventions identified as:

U.S. Patent Application Serial No. 09/601,406	Filed February 5, 1999
Entitled: ELECTRODIALYSIS APPARATUS	

**WHEREAS**, OTV Societe Anonyme, a company registered in France, having a principal place of business at Immeuble l'Aquarene, 1 Place Montgolfier, Saint Maurice (94417) France, desires to acquire all rights in patent applications, and any and all patents of all countries which may be granted for the said inventions, or any of them;

**NOW THEREFORE**, in consideration of the sum of One Dollar (\$1.00) and other good and valuable considerations to it paid by OTV Societe Anonyme, the receipt whereof is hereby acknowledged, United States Filter Corporation does hereby sell, assign and transfer to the said OTV Societe Anonyme the entire right, title and interest in and to said patents, patent applications, all inventions therein disclosed and any and all patents of all other countries which may be granted for the said inventions, or any of them;

Said OTV Societe Anonyme, its successors and assigns, shall have, hold and enjoy the said inventions and the said Letters Patent to its and their own use and behalf to the full end of the term or terms for which the said Letters Patent have been and may be granted as fully and entirely as the same would have been enjoyed by it had this assignment and sale not been made, including the right to sue for past infringement.

## UNITED STATES FILTER CORPORATION

March 8, 2002

Date

By

JoAnn M. Sherman  
 Name: JoAnn M. Sherman  
 Position: Attorney-in-fact for  
 Stephen P. Stanczak  
 Executive Vice President  
 and Secretary

Commonwealth of Massachusetts }  
 } ss.  
 County of Middlesex }

Subscribed and sworn to before me this 5<sup>th</sup> day of March, 2002.

Diane R. Townsend  
 Notary Public

SEAL

**Diane R. Townsend, NOTARY PUBLIC**  
 Commonwealth of MASSACHUSETTS  
 My Commission Expires  
 April 14, 2006

RECORDED: 05/18/2004

PATENT  
 REEL: 014653 FRAME: 0874