

11-07-2003



Case Name: SP03-140

102595424

EET

To the Honorable Commissioner of Patents and Trademarks: Please record the attached documents or copy thereof.

(1) Conveying Party(ies): George E. Berkey  
Ming-Jun Li  
Daniel A. Nolan  
Donnell T. Walton  
Louis A. Zenteno

10-30-03

17548 U.S. PTO  
10/696928  
103003

Title: SINGLE POLARIZATION OPTICAL FIBER LASER AND AMPLIFIER

Additional name(s) of conveying party(ies) attached? Yes  No

(2) Receiving Party: Corning Incorporated  
Patent Dept.; SP-TI-03-1  
Corning, NY 14831

(3) Nature of conveyance: Assignment executed: 10/29/03, 10/28/03, 10/28/03, 10/29/03, and 10/30/03

(4) Application number(s) and/or patent number(s) against which this assignment is to be recorded:

10696928

A. Patent Application No.(s): Filing date: B. Patent No.(s): Filing date:

If this document is being filed together with a new application, this assignment is also to be recorded against said application, executed on 10/29/03, 10/28/03, 10/28/03, 10/29/03, and 10/30/03.

(5) Name and address of party to whom correspondence concerning document should be mailed:

Name: Juliana Agon  
Reg. No.33,468  
Corning Incorporated  
Patent Department  
SP-TI-03-1  
Corning, NY 14831  
Phone No.: 607-974-6574

(6) Total Number of applications and/or patents involved: one

(7) Total Fee = \$40.00

- Enclosed (Check No. )
- Included with filing fee. Check No. \_\_\_\_\_.
- Authorization given by Corning Incorporated to charge deposit account number 03-3325 (attached is a triplicate copy of this page)

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

*Juliana Agon*  
Juliana Agon

Date October 30, 2003

Total number of pages comprising cover sheet: 1

Revision: August 28, 2002

11/06/2003 6TON11 00000128 033325 10696928  
01 FC:8021 40.00 BA

**ASSIGNMENT**

FOR VALUE RECEIVED, I, as a below named inventor, hereby sell, assign and transfer unto Corning Incorporated, a corporation organized and existing under the laws of the State of New York, having its principal place of business at Corning, New York, (hereinafter CORNING), as assignee, and unto its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to a **SINGLE POLARIZATION OPTICAL FIBER LASER AND AMPLIFIER**, such inventions being generally described in an application for Letters Patent of the United States executed on

DATE October 29, 2003  
DATE October 28, 2003  
DATE October 28, 2003  
DATE October 29, 2003  
DATE October 30, 2003

and in any future patent applications claiming the benefit of the filing date of that application, and all the rights and privileges under any and all Letters Patents that may be granted therefore.

I request that any and all patents for said inventions be issued to CORNING, its successors, assigns and legal representatives, or to such nominees as CORNING may designate.

I agree that, when requested, I will, without charge to CORNING and at CORNING's expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in CORNING, its successors, assigns and legal representatives or nominees.

I authorize and empower CORNING, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said Convention or entered as a supplement to, and to invoke and claim such right of priority without further written or oral authorization.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of CORNING, or its successors, assigns and legal representatives or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it or entered as a supplement to it.

I covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

Signed at Corning, NY, this

10/29/03  
Date

*George E. Berkey*  
George E. Berkey

10/28/03  
Date

*Ming-Jun Li*  
Ming-Jun Li

10/28/03  
Date

*Daniel A. Nolan*  
Daniel A. Nolan

10/29/03  
Date

*Donnell T. Walton*  
Donnell T. Walton

10/30/03  
Date

*Luis A. Zenteno*  
Luis A. Zenteno

Date

State of New York)  
: ss.  
County of Steuben)

On the 29<sup>th</sup> day of October 2003, before me personally came **George E. Berkey** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

*TERRI L. GUSTIN*  
Notary Public

**TERRI L. GUSTIN**  
Notary Public, State of New York  
No. 01GU6064116  
Qualified in Chemung County  
Commission Expires September 17, 2005

State of New York)  
: ss.  
County of Steuben)

On the 28<sup>th</sup> day of October 2003, before me personally came **Ming-Jun Li** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

*TERRI L. GUSTIN*  
Notary Public

**TERRI L. GUSTIN**  
Notary Public, State of New York  
No. 01GU6064116  
Qualified in Chemung County  
Commission Expires September 17, 2005

State of New York)  
: ss.  
County of Steuben)

On the 28<sup>th</sup> day of October 2003, before me personally came **Daniel A. Nolan** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

*TERRI L. GUSTIN*  
Notary Public

**TERRI L. GUSTIN**  
Notary Public, State of New York  
No. 01GU6064116  
Qualified in Chemung County  
Commission Expires September 17, 2005

State of New York)

: ss.

County of Steuben)

On the 29<sup>th</sup> day of October 2003, before me personally came **Donnell T. Walton** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

*TERRI L. GUSTIN*

Notary Public

TERRI L. GUSTIN  
Notary Public, State of New York  
No. 01GU6064116  
Qualified in Chemung County  
Commission Expires September 17, 2005

State of New York)

: ss.

County of Steuben)

On the 30<sup>th</sup> day of October 2003, before me personally came **Luis A. Zenteno** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

*TERRI L. GUSTIN*

Notary Public

TERRI L. GUSTIN  
Notary Public, State of New York  
No. 01GU6064116  
Qualified in Chemung County  
Commission Expires September 17, 2005