

11-07-2003

y. Docket No. YOR920030327US1



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10-31-03

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03945 U.S. PTO
10/698122



10/31/03

To The Honorable Commissioner of Patents and Trademarks:
Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies):</p> <p>Qiqing C. Ouyang Xiangdong Chen</p> <p>Additional name(s) of conveying party(ies) attached? ___ Yes <u>X</u> No</p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: International Business Machines Corporation Address: New Orchard Road Armonk, NY 10504</p> <p>Additional name(s) & addresses attached? ___ Yes <u>X</u> No</p>
<p>3. Nature of conveyance:</p> <p><u>X</u> Assignment</p> <p>Execution Date(s): <u>10/30/2003 and 10/30/2003</u></p>	<p>4. Patent Application number(s)</p> <p>(to be assigned)</p> <p>Execution Date(s): <u>10/30/2003 and 10/30/2003</u></p> <p style="text-align: center;"><u>10698122</u></p>
<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: George Sai-Halasz Gddress: 145 Fernwood Drive East Greenwich, RI 02818</p>	<p>6. Total number of applications involved: 1</p> <p>7. Total fee (37 CFR 3.41): \$40.00</p> <p><u>X</u> Charge to Deposit Account No.: <u>50-0510</u></p>

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8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of original document.

George Sai-Halasz (Reg. No. 45,430) George Sai-Halasz 10/31/2003
Name of Person Signing Signature Date

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11/06/2003 LMUELLER 00000143 500510 10698122

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Assignment

Whereas, we

INVENTOR (1)Qiqing C. Ouyang of Yorktown Heights
AND CITY County of Westchester and State of New York

INVENTOR (2)Xiangdong Chen of Poughquag
AND CITY County of Dutchess and State of New York

have invented certain improvements in

TITLE **High Mobility Heterojunction Complementary Field Effect Transistors and Methods Thereof**

DATES THAT INVENTORS and executed, respectively, a United States nonprovisional patent application
SIGNED THE therefor on (1) 10/30/2003 and (2) 10/30/2003,
DECLARATION

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said applications to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(JOINT FORM)
(TWO INVENTORS)

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Assignment

High Mobility Heterojunction Complementary Field Effect Transistors and Methods Thereof

Signed and sealed

CITY AND
DATE

(1) at
on

Yorktown Heights
10/30/2003



SIGNATURE

(Qiqing C. Ouyang) INVENTOR
FIRST NAME MIDDLE INITIAL LAST NAME

CITY AND
DATE

(2) at
on

SIGNATURE

(Xiangdong Chen) INVENTOR
FIRST NAME MIDDLE INITIAL LAST NAME

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(JOINT FORM)
(TWO INVENTORS)

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Assignment

High Mobility Heterojunction Complementary Field Effect Transistors and Methods Thereof

Signed and sealed

CITY AND
DATE (1) at
on

(Qiqing C. Ouyang) INVENTOR
FIRST NAME MIDDLE INITIAL LAST NAME SIGNATURE

CITY AND
DATE (2) at Hopewell Junction
on 10-30-03

(Xiangdong Chen) INVENTOR
FIRST NAME MIDDLE INITIAL LAST NAME SIGNATURE

Express Mail ER459992783US