

11-10-2003
102596919

Substitute Form PTO-1595
Attorney Docket No.: 09857-097001

**ON FORM COVER SHEET
S ONLY**

Commissioner for Patents: Please record the attached original document(s) or copy(ies).	
1. Name of conveying party(ies): John T. Hughes, Jr., Daniel F. Moore, and Bruce E. Friedman Additional name(s) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies): The Nasdaq Stock Market, Inc. 1801 K Street N.W. Washington, DC 20006 Additional names/addresses attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of conveyance: <input type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input checked="" type="checkbox"/> Other: Corrected Recordation Form Cover Sheet Execution Date: 10/24/2002	
4. Application number(s) or patent number(s): If this document is being filed with a new application, the execution date of the application is: A. Patent Application No(s).: 10/206,151 B. Patent No(s).: Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
5. Name/address of party to whom correspondence concerning document should be mailed: DENIS G. MALONEY Fish & Richardson P.C. 225 Franklin Street Boston, MA 02110-2804	6. Total number of applications/patents involved: 1 7. Total fee (37 CFR §3.41): \$40 <input type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to charge Deposit Account. 8. Deposit Account No.: 06-1050 Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.
DO NOT USE THIS SPACE	
9. Statement and Signature: <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> Jeffrey J. Barclay Reg. No. 48,950 Name of Person Signing Signature Date Oct. 30, 2003	
Total number of pages including coversheet, attachments and document: 9	

20745239.doc

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

October 30, 2003
Date of Deposit
Signature
Darlene J. Morin

Darlene J. Morin
Typed or Printed Name of Person Signing Certificate

**PATENT
REEL: 014661 FRAME: 0706**

OFFICE OF PUBLIC RECORDS

2003 MAY -9 PM 3:07

Commissioner of Patents: Please report on the attached original document(s) or copy(ies).	
1. Name of conveying party(ies): John T. Hughes, Jr., Daniel F. Moore, Bruce E. Friedman and Timothy Vincent Additional name(s) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies): The Nasdaq Stock Market, Inc. 1801 K Street N.W. Washington, DC 20006 Additional names/addresses attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: Execution Date: 10/24/2002	
4. Application number(s) or patent number(s): If this document is being filed with a new application, the execution date of the application is: A. Patent Application No(s).: 10/206,151 B. Patent No(s).: Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
5. Name/address of party to whom correspondence concerning document should be mailed: DENIS G. MALONEY Fish & Richardson P.C. 225 Franklin Street Boston, Massachusetts 02110-2804	6. Total number of applications/patents involved: 1 7. Total fee (37 CFR §3.41): \$40 <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to charge Deposit Account. 8. Deposit Account No.: 06-1050 Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.
DO NOT USE THIS SPACE	
9. Statement and Signature: <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> Jeffrey J. Barclay Reg. No. 48,950 Name of Person Signing Signature Date May 7, 2003	
Total number of pages including coversheet, attachments and document: 6	

20629755.doc

05/12/2003 TBIAZ1 00000063 10206151

01 FC:8021

40.00 CP

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Mail Stop Assignment Recordation Services, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

May 7, 2003
Date of Deposit

Darlene J. Morin
Signature

Darlene J. Morin
Typed Name of Person Signing Certificate

PATENT
REEL: 014661 FRAME: 0707

ASSIGNMENT

For valuable consideration, we, JOHN T. HUGHES, JR. of 125 Warner Hill Road, Unit 44, Stratford, CT 06614, DANIEL F. MOORE of 58 Sea Street, New Haven, CT 06519, BRUCE E. FRIEDMAN of 63 Hunter Ridge Road, Monroe, CT 06468, and TIMOTHY VINCENT of 30 Sportsman Drive, Shelton, CT 06484, hereby assign to: The Nasdaq Stock Market, Inc., a corporation of Delaware having a place of business at: 1801 K Street N.W., Washington, DC 20006; and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled ORDER MATCHING PROCESS AND METHOD, filed July 25, 2002, and assigned U.S. Serial Number 10/206,151, and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

IN WITNESS WHEREOF, I hereto set my hand and seal at Trumbull, CT,
this 24 day of OCTOBER, 2002
John T. Hughes Jr. L.S.
JOHN T. HUGHES, JR.

State of Connecticut :
: ss.
County of Fairfield :

Before me this 24th day of October, 2002, personally
appeared JOHN T. HUGHES, JR. known to me to be the person whose name is subscribed to
the foregoing Assignment and acknowledged that he executed the same as his free act and deed
for the purposes therein contained.

Maria J. Quinn
Notary Public

My Commission Expires: November 29, 2002

[Notary's Seal Here]

IN WITNESS WHEREOF, I hereto set my hand and seal at Trumbull, CT,
this 24 day of October, 20 002
Daniel F. Moore L.S.
DANIEL F. MOORE

State of Connecticut :
County of Fairfield : ss.

Before me this 24th day of October, 20 02, personally
appeared DANIEL F. MOORE known to me to be the person whose name is subscribed to the
foregoing Assignment and acknowledged that he executed the same as his free act and deed for
the purposes therein contained.

Maing S. Collins
Notary Public

My Commission Expires: November 29, 2002

[Notary's Seal Here]

IN WITNESS WHEREOF, I hereto set my hand and seal at Trumbull, CT
this 24 day of October, 2002
Bruce E. Friedman L.S.
BRUCE E. FRIEDMAN

State of Connecticut :
County of Fairfield : SS.

Before me this 24th day of October, 2002, personally
appeared BRUCE E. FRIEDMAN known to me to be the person whose name is subscribed to
the foregoing Assignment and acknowledged that he executed the same as his free act and deed
for the purposes therein contained.

Marie G. Collins
Notary Public

My Commission Expires: November 29, 2002

[Notary's Seal Here]

IN WITNESS WHEREOF, I hereto set my hand and seal at _____,
this _____ day of _____, 20_____

TIMOTHY VINCENT L.S.

State of _____ :
: ss.
County of _____ :

Before me this _____ day of _____, 20_____, personally
appeared TIMOTHY VINCENT known to me to be the person whose name is subscribed to the
foregoing Assignment and acknowledged that he executed the same as his free act and deed for
the purposes therein contained.

Notary Public
My Commission Expires:

[Notary's Seal Here]

20514974.doc