

**REC** 

11-12-2003



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Attorney Docket No.: 01997-315001 Client's Ref. No.: MIT Case 9924

Substitute Form PTO-1595

1. Name of conveying party(ies):		
Name of conveying party(ies):      Name of conveying party(ies):      Name of conveying party(ies):	2. Name and address of receiving party(ies):	
Vladimir Bulovic, Seth A. Coe, Wing-Keung Woo, and Moungi G. Bawendi	Massachusetts Institute of Technology 77 Massachusetts Avenue	
Additional name(s) attached? ☐ Yes 図 No	Cambridge, Massachusetts 02142-1324	
3. Nature of conveyance:	United States of America	
<ul> <li>☑ Assignment</li> <li>☐ Merger</li> <li>☐ Security Agreement</li> <li>☐ Change of Name</li> <li>☐ Other:</li> </ul>		
Execution Date: 07/14/2003; 07/15/2003; 07/30/2003	Additional names/addresses attached? ☐ Yes ☒ No	
4. Application number(s) or patent number(s):		
If this document is being filed with a new application, the execution	n date of the application is:	
A. Patent Application No(s).:	B: Patent No(s).:	
10/400,907		
Additional numbers a	ittached? ☐ Yes 図 No	
Name/address of party to whom correspondence concerning document should be mailed:		
HAROLD H. FOX		
Fish & Richardson P.C. 1425 K Street, N.W.	☑ Enclosed ☐ Authorized to charge Deposit Account.	
11th Floor	8. Deposit Account No.: 06-1050	
Washington, DC 20005-3500	Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.	
DO NOT USI	E THIS SPACE	
9. Statement and Signature: To the best of my knowledge and any attached copy is a true copy of the original document	d belief, the foregoing information is true and correct and nt.	
Harold H. Fox		
Reg. No. 41,498	11-6-03	
Name of Person Signing Signature	Date	
Tot	tal number of pages including coversheet, attachments and document: 6	
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PATENT REEL: 014668 FRAME: 0007

## **ASSIGNMENT**

For valuable consideration, we, VLADIMIR BULOVIC of Lexington, MA, SETH A. COE of Watertown, MA, WING-KEUNG WOO of Cambridge, MA, MOUNGI G. BAWENDI of Boston, MA, hereby assign to: Massachusetts Institute of Technology, a corporation of Massachusetts and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled MATERIALS INCLUDING SEMICONDUCTOR NANOCRYSTALS, filed March 28, 2003, and assigned U.S. Serial Number 10/400,907, and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

> PATENT REEL: 014668 FRAME: 0008

In Witness Whereof, I hereto set	t my hand and seal at	mbrige Massaduste
this 14th day of Juff	, 20 6 3	, ,
VI ADIMID DIVIO	N/C	L.S.
VLADIVIR BULU	)VIC	
State of Manachastto: : ss.  County of Middlesup:		
: ss.		
County of Middlesup:		
Before me this day of	f_July, 21	0 <u>03</u> , personally
appeared <u>VLADIMIR BULOVIC</u> known		
the foregoing Assignment and acknowledge	ged that he executed the same	e as his free act and deed
for the purposes therein contained.		
	Margart Cone	Walen
	Notary Public	Memerat Anna Udden
[Notory's Sool Hora]	My Commission Expires:	Morporet Arms Outlett NOTARY PUBLIC No acceptation control April 4, 2008

IN WITNESS WHEREOF, I hereto set my hand and seal at Cambulge Massachs II.
this 14 day of July , 2003
L.S.
SETH A. COE
State of Messadusello:
: ss. County of Middlesex:
Before me this 14th day of 14t
appeared <u>SETH A. COE</u> known to me to be the person whose name is subscribed to the
foregoing Assignment and acknowledged that he executed the same as his free act and deed for
the purposes therein contained.
Maryant Come Older Notary Public
My Commission Expires:  [Notary's Seal Here]  Margaret Anne Udden NOTARY PUBLIC  [Notary's Seal Here]

IN WITNESS WHEREOF, I hereto	set my hand and seal at <u>S</u>	renoctedy My
this 1544 day of 5300	<u>, 2003</u>	0 '
Ligo Lin	Koun	L.S
WING-KEUNG	Moo.	
State of New Yark:		
: ss. County of Schenechody:		
Before me this day	y of Joly	20 <u>63</u> , personally
appeared WING-KEUNG WOO know	n to me to be the person who	se name is subscribed to the
foregoing Assignment and acknowledg	ged that he executed the same	as his free act and deed for
the purposes therein contained.		
	almad	exandu
Commence of the second	Notary Public	
	My Commission Expires:	Dec 22, 2005
[Notary's Seal Here]	ALMA ALEXANDEI Notary Public, State of Ne No. 6000700 Qualified in Schenectady Commission Expires December	W York

IN WITNESS WHEREOF, I hereto set my hand and seal at Cum bridge Mussachusetts
this 30 <sup>th</sup> day of July , 2003  L.S.  MOUNGI G. BAWENDI
L.S.
MOUNGI G. BAWENDI
State of Mussachusetts:: ss.
: ss.
County of Middlesen:
Before me this 30th day of July , 2003 , personally
appeared MOUNGI G. BAWENDI known to me to be the person whose name is subscribed to
the foregoing Assignment and acknowledged that he executed the same as his free act and deed
for the purposes therein contained.
Notary Public
My Commission Expires: March 14, 2008
[Notary's Seal Here]

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PATENT REEL: 014668 FRAME: 0012

**RECORDED: 11/06/2003** 

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