

# ASSIGNMENT RECORD

11-12-2003

Patents Only



102598342

To: Commissioner for Patents:

Date: November 7, 2003  
Attorney Docket No. 9244-6

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): 11-7-03

- A. Ranga Raju Namburi
- B. Allen Lee Lawson
- C. John Elgin Kerr

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment
- ☐ Merger
- ☐ Security Agreement
- ☐ Change of Name
- ☐ Other

Execution Date:

A. November 5, 2003 B. November 5, 2003 C. October 23, 2003

2. Name and address of receiving party(ies):

DSM IP Assets B.V.  
Het Overloon 1  
6411 TE Heerlen  
The Netherlands

Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application Serial No. 10/617,350 Patent No. \_\_\_\_\_

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Kenneth D. Sibley  
Myers Bigel Sibley & Sajovec  
P. O. Box 37428  
Raleigh NC 27627

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$40.00  
☒ Enclosed  
☐ Authorized to be charged to deposit account

8. Deposit account number: 50-0220

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kenneth D. Sibley

Name of Person Signing

[Signature]  
Signature

Nov 7, 2003  
Date

Total number of pages including cover sheet, attachments and document: 5

## CERTIFICATE OF MAILING UNDER 37 CFR §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: MAILSTOP ASSIGNMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on November 7, 2003.

[Signature]  
Lyndey D. Hall, CP  
Certified Paralegal

PATENT

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**ASSIGNMENT**

THIS ASSIGNMENT, made by us, **Ranga Raju Namburi**, a citizen of India, residing at 1805 Bradford Drive, Apt. 121, Greenville, North Carolina 27858; **Allen Lee Lawson**, a citizen of the United States of America, residing at 3746 Hoots Road, Bldg. 8 P38, Greenville, North Carolina 27858; and **John Elgin Kerr**, a citizen of the United States of America, residing at 755 Corbett Street, Winterville, North Carolina 28590;

WITNESSETH: That,

WHEREAS, we are the joint inventors of certain new and useful improvements in **Oral Dosage Forms of Water Insoluble Drugs and Methods of Making the Same** for which an application for United States Letters Patent has been filed in the United States Patent and Trademark Office on July 11, 2003, under Serial No. 10/617,350, and

WHEREAS, DSM IP Assets B.V., a Netherlands corporation having a principal place of business at Het Overloon 1, 6411 TE Heerlen, the Netherlands, hereinafter referred to as assignee, is desirous of acquiring the entire right, title and interest in and to said invention as described in said application, and in and to any and all Letters Patent which shall be granted therefor in the United States of America and all foreign countries;

NOW, THEREFORE, To Whom It May Concern, be it known that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we have sold and by these presents do hereby sell, assign, transfer, and convey unto the said assignee, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any and all continuations, continuations-in-part, or divisions thereof, and in and to any and all Letters Patent of the United States of America and all foreign countries or reissues or other forms of protection thereof which may be granted therefor or thereon, for the full end of the term for which said Letters Patent may be granted along with any term extensions thereon or therefor, together with the right to claim the priority of said application in all foreign countries in accordance with the International Convention, the same to be held and enjoyed by said assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made.

We hereby request that said Letters Patent be issued in accordance with this assignment.

We further covenant and agree that, at the time of the execution and delivery of these presents, we possess full title to the invention and application above-mentioned, and that we have the unencumbered right and authority to make this assignment.

We further covenant and agree to promptly communicate to said assignee or its representatives any facts known to us relating to said invention, to testify in any interference or legal proceedings involving said invention, to execute any additional papers which may be requested to confirm the right of the assignee, its representatives, successors, or assigns to secure patent or similar protection for the said invention in all countries and to vest in the assignee complete title to the said invention and Letters Patent, without further compensation, but at the expense of said assignee, its successors, assigns, and other legal representatives; and we hereby instruct, and further covenant and agree to bind our heirs, legal representatives, and assigns, to do same, without further compensation, but at the expense of said assignee or its representatives.

IN WITNESS WHEREOF, we have hereunto set our hands and seals on this 5th day of Nov., 2003.

Ranga Raju Namburi (SEAL)  
Ranga Raju Namburi

STATE OF NORTH CAROLINA    )  
  ) ss:  
COUNTY OF Bt                            )

Before me personally appeared **Ranga Raju Namburi**, described in and who executed the foregoing instrument, and he acknowledged to me that he executed the same for the purposes therein stated, this 5th day of November, 2003.

Dana L. Lila  
Notary Public

SEAL

My Commission Expires: 4-23-06

IN WITNESS WHEREOF, we have hereunto set our hands and seals on this 5th  
day of Nov., 2003.

Allen Lee Lawson (SEAL)  
Allen Lee Lawson

STATE OF NORTH CAROLINA    )  
  ) ss:  
COUNTY OF Bert                    )

Before me personally appeared **Allen Lee Lawson**, described in and who executed the  
foregoing instrument, and he acknowledged to me that he executed the same for the purposes  
therein stated, this 5th day of November, 2003.

Larry L. L...  
Notary Public

SEAL

My Commission Expires: 4-23-06

IN WITNESS WHEREOF, we have hereunto set our hands and seals on this 23rd  
day of October, 2003.

John Elgin Kerr (SEAL)  
John Elgin Kerr

STATE OF NORTH CAROLINA    )  
  ) ss:  
COUNTY OF Alamance    )

Before me personally appeared **John Elgin Kerr**, described in and who executed the  
foregoing instrument, and he acknowledged to me that he executed the same for the purposes  
therein stated, this 23rd day of October, 2003.

Peggy E. Graham  
Notary Public

SEAL

My Commission Expires: 11-15-04

