Legum 510-1999 KECC	- 12-2005 DEPARTMENT OF COMMERCE		
(Rev. 10/02) OMB No. 0651-0027 (exp. 6/30/2005)	J.S. Patent and Trademark Office		
OMB No. 0651-0027 (exp. 6/30/2005) 74 Atty Docket No.: STAN-262			
)2597833 documents or copy thereof.		
1. Name of conveying party(ies): STEINMAN, LAWRENCE	Name and address of receiving party(ies)		
STEINMAN, LAWRENCE	Name:		
	THE BOARD OF TRUSTEES OF THE		
	LELAND STANFORD JUNIOR		
	UNIVERSITY		
Additional name(s) of conveying party(ies) attached? Yes No	Internal Address:		
Nature of conveyance:			
☐ Assignment ☐ Merger	Street Address: 1705 El Camino Real		
☐ Security Agreement ☐ Change of Name	City: Palo Alto		
Other Corrective Recordation: To remove Hideki	City. <u>Pato Aito</u>		
Garren who actually assigns to Bayhill and not to	State: California		
Stanford and to leave Lawrence Steinman as the only assignee to The Board of Trustees of the Leland			
Stanford Junior University.	Zip: 94306-1106		
Execution Date: <u>06-17-2003</u> ,			
	Additional name(s) & address(es) attached? Tes No		
Application number(s) or patent number(s):	R N		
If this document is being filed together with a new application, the A. Patent Application No.(s)	execution date of the application is:		
`'	B. Patent Registration No.(s)		
10/404,679	B. Patent Registration No.(s)		
	m # to		
Additional number(s) a	Hached T Ves X No		
5. Name and address of party to whom correspondence			
concerning document should be mailed:	6. Total number of applications and patents involved 1		
Name: Bozicevic, Field & Francis LLP	7. Total fee (37 CFR 3.41) \$40.00		
	☐ Enclosed		
Internal Address: 200 Middlefield Road, Suite 200	M Authorization to the state of		
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City: Menlo Park State: California Zip: 94025	9 Donosit goodwat numbers		
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To the best of my knowledge and belief, the foregoing info is a true copy of the original document.	ormation is true and correct and any attached copy		
Pamela J. Sherwood, Reg# 36,677	Sherword 11-04-2003		
Name of Person Signing Signatu	re Date		

Total number of pages including cover sheet, attachments, and document:

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Washington, D.C. 20231

9/24/03 4:52 PAGE 4/10 RightFAX

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Form PTO-1595	RECORDATION FORM	U.S. Patent and Trademark Clinca	
(Rev. 10/02) OMB No. 0651-0027 (exp. 6/30/2005)	PATENTS	ONLY Atty Docket No.: STAN 262	
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Name of conveying party(ies):	or Patents and Tracembiks. Fit		
STEINMAN, LAWRENCE		Name and address of receiving party(les) Name:	
		THE BOARD OF TRUSTEES OF THE	
		LELAND STANFORD JUNIOR	
		UNIVERSITY	
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3. Nature of conveyance:	(les) addition to		
		Strod Address: 1705 El Camino Real	
Assignment	☐ Merger ☐ Change of Name	City: Palo Alto	_
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Steinman as the only assigned	to The Board of Trustees.	State: California	
of the Loland Stanford Junior L	iniversity.		
Execution Date: 06-17-2003.		zip: 94306-1106	7
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Ì		Additional name(s) & address(4s) attactical? [] Yes 🗵 No	
4. Application number(s) or patent n	umber(s):		
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5. Name and address of party to wit concoming document should be		g. Total number of applications and putouts involved. 1	Ĕ
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Name: Bozicovic Field & F	rancis LLP	7. Total fee (37 CFR 3.41)	ğ
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Internal Address: 200 Middlet	field Road, Suite 200	5/1	9
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Pamela J. Sherwood, Reg# 3	6,677	09-22-2003	
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PATENT

REEL: 014671 FRAME: 0450

ASSIGNMENT OF APPLICATION (SOLE)

Address to:
Mail Stop Assignment and Recordation Services
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AN-262	
First Named Inventor STEINMAN, LAWRENCE	
10/404,679	
March 31, 2003	
1614	
	STEINMAN, LAWRENCE 10/404,679 March 31, 2003

Title: "USE OF STATINS AND OTHER IMMUNOMODULATORY
AGENTS IN THE TREATMENT OF AUTOIMMUNE
DISEASE"

THIS ASSIGNMENT, by STEINMAN, LAWRENCE (hereinafter referred to as the assignor), residing in Stanford, California, witnesseth:

WHEREAS, the said assignor has invented certain new and useful improvements in:

"USE OF STATINS AND OTHER IMMUNOMODULATORY AGENTS IN THE TREATMENT OF AUTOIMMUNE DISEASE"

<u>x</u>	for which an application for a United States Patent was filed on March 31, 2003, Application No. 10/404,679.
	for which an international application was filed on, Application No, designating the United States;
	for which an application for a United States Patent was executed on, and

WHEREAS, The Board of Trustees of the Leland Stanford Junior University a non-profit duly organized under and pursuant to the laws of California, and having its principal place of business at 1705 El Camino Real, Palo Alto, California 94306-1106 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said invention and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, said assignor has sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned invention, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and behalf and the use and behalf of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignor, had this sale and assignment not been made.

AND for the same consideration, the said assignor hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignor is the sole and lawful owner of the entire right, title and interest in and to said invention and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignor has good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, the said assignor hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignor will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said invention, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said invention in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said invention, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND the said assignor hereby requests the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said invention and the Letters Patent to be issued thereon for the sole use and behalf of said assignee, its successors, legal representatives and assigns.

Date 6/17/03

Name of Inventor

STEIMMAN, LAWRENCE

Form Letters1

•	1:52 PAGE 6/10 FAX	NO. 650 327 3234		
	Attorney Docket Number	AN-262		
ASSIGNMENT OF APPLICATION	First Named Inventor	STEINMAN, LAWRENCE		
(SOLE)	Application Number	10/404,679		
	Filing Date	March 31, 2003		
Address to: Mail Stop Assignment and Recordation Services	Group Art Unit	1614		
Commissioner for Patents	Examiner Name			
P.O. Box 1450 Alexandria, VA 22313-1450		IS AND OTHER IMMUNOMODULATORY TREATMENT OF AUTYMMUNE		
THIS ASSIGNMENT, by STEINMAN, LAWRENCE (hereinafter referred to us the anaignor), residing in Stanford, California, witnesseth: WHEREAS, the said assignor has invented certain new and useful improvements in: "USE OF STATING AND OTHER IMMUNOMODUL AT BY AGENTS IN THE TREATMENT OF AUTOIMMUNE DISEASE!"				
designating the United States; for which an application for a United S WHEREAS, The Board of Trustees of the Lelar pursuant to the laws of California, and having its principa 1106 (hereinafter referred to as the assignee) is desirous of suid application for Letters Patent of the United States, ar obtained therefor and thereon: NOW THEREFORE, in consideration of One D which is hereby acknowledged, said assigner has sold, as transfer and set over, unto the assignee, its successors, leg above-mentioned invention, application for Letters Paten and all foreign countries which may be granted therefor a continuational Convention for the Protection of Industrial and behalf and the use and behalf of its successors, legal Letters Patent or Patents may be granted, as fully and ent sale and assignment not been made. AND for the same consideration, the said assign representatives and assigns, that, at the time of execution the entire right, title and interest in and to said invention are unencumbered and that said assigner has good and for	States Patent was executed on	non-profit duly organized under and Carnino Real, Palo Alto, California 94306-ce and interest in and to said invention and or Patents, United States or foreign, to be ad sufficient considerations, the receipt of and by these presents do sell, assign, the online right, title and interest in and to the cer Patents in the United States of America and all divisions, continuations, and or Potents, and all rights under the ad enjoyed by said assignee, for its own use the full end of the term or terms for which sen held and enjoyed by the assignor, had this co and with said assignee, its successors, legal said assigner is the sole and lawful owner of Patent above-mentioned and that the same		

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SEP-22-03 MON 08:55 AM BOZICEVIC

9/24/03 4:52 PAGE 7/10 RightFAX FAX NO. 650 327 3231

Acknowledgement Receipt

P. 04

UNITED STATES PATENT AND TRADEMARK OFFICE **ACKNOWLEDGEMENT RECEIPT**

Electronic Version 1.1 Stylesheet Version v1.1.1

> Title of Invention

USE OF STATINS AND OTHER IMMUNOMODULATORY AGENTS IN THE TREATMENT OF AUTOIMMUNE DISEASE

Submission Type:

Application Number:

EFS ID:

Server Response:

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Confirmation Code	Messago	
ISVR1	Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application	
ICON1	7831	
ISYS5	Filename= N/A BusinessRule= Validation System/Function Call Information. #Supporting Msg:Server unable to validate the Confirmaton/Application numbers at this time. They will be checked by PTO personnel later.	

First Named Applicant:

LAWRENCE STEINMAN

Attorney Docket Number: STAN-262

Timestamp:

2003-07-25 17:21:41 EDT

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File Listing:

Doc. Name	File Name	Sizo (Byles)
us-assignment	cfiloasg-usassn.xml	1946
us-assignment	us-assignment.xsl	8983
us-assignment	us-assignment.dtd	10898
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7/25/2003

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Acknowledgement Receipt-

FAX NO. 650 327 3231

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P. 05

Message Digest:

Name:

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7/25/2003

PATENT

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P. 01

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CERTIFICATE OF TRANSMISSION

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Typed or Printed Name Signature

Susan M. Alegsi

Kuran Clussi

September 22, 2003

FACSIMILE TRANSMITTAL BOZICEVIC, FIELD & FRANCIS LLP

200 Middlefield Road

Suite 200

Menlo Park, California 94025 Telephone (650) 327-3400 Facsimile (650) 327-3231

Date:

September 22, 2003

To:

Assignment Branch, USPTO

Facsimile No.:

(703) 306-5995

From:

Pamela J. Sherwood, Ph.D.

Reg. No. 36,677

Re:

U.S. Patent Application No. 10/404,679

Title: Use of Statius and other Immunomodulatory Agents in

the Treatment of Autoimmune Disease

Inventor(s): STEINMAN et al. Attorney Docket No.: STAN-262

Message:

Corrective Recordation Cover Sheet (1 page)

Assignment (1 page)

Copy of the incorrect Recordation (4 pages)

If there are any problems concerning the transmission for these documents please contact Susan M. Alessi at (650) 833-7714 or Via email at alessi@boxput.com. Thank You!

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Pg# Description

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SUBMISSION TYPE:			NEW ASSIGNMENT				
NATURE OF CONVEYAN	ICE:		ASSIGNMENT OF ASSIGNOR'S INTEREST				
CONVEYING PARTY DA	ГА						
Name	2		Execution	Date			
LAWRENCE STEINMAN		200	3-06-17				
HIDEKI GARREN		200	3-06-17				
RECEIVING PARTY DATA	A						
Name	Street A	Street Address		Internal Address	City	State/Country	Postal Code
The Board of Trustees of the Leland Stanford Junior University	1705 El Ca	1705 El Camino Real			Palo Alto	CALIFORNIA	94306- 1106
PROPERTY NUMBERS T	otal: 1						
Property Typ	æ	Nun	nber				
Application Number 10404679							
CORRESPONDENCE DA	TA		<u></u>	<u> </u>			
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NAME OF PERSON SIGN	NING:		Pamela J.	Sherwood			
DATE SIGNED: 2003-07-25							

Total Attachments: 2 source=Assign1.tif

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UNITED STATES PATENT AND TRADEMARK OFFICE **ACKNOWLEDGEMENT RECEIPT**

Electronic Version 1.1 Stylesheet Version v1.1.1

> Title of Invention

USE OF STATINS AND OTHER IMMUNOMODULATORY AGENTS IN THE TREATMENT OF AUTOIMMUNE DISEASE

Submission Type:

Patent Assignment

Application Number:

10/404679

10/404679

EFS ID:

44282

Server Response:

Confirmation Code	Message
ISVR1	Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application
ICON1	7831
III .	Filename= N/A BusinessRule= Validation System/Function Call Information. #Supporting Msg:Server unable to validate the Confirmaton/Application numbers at this time. They will be checked by PTO personnel later.

First Named Applicant: LAWRENCE STEINMAN

Attorney Docket Number: STAN-262

Timestamp:

2003-07-25 17:21:41 EDT

From:

us

File Listing:

Doc. Name	File Name	Size (Bytes)
us-assignment	efileasg-usassn.xml	1946
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us-assignment	us-assignment.dtd	10898
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us-assignment	Assign2.tif	89148
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us-fee-sheet	us-fee-sheet.dtd	10901
package-data	efileasg-pkda.xml	2602
package-data	package-data.dtd	27025
package-data	us-package-data.xsl	19263
package-data	us-package-data.xsl	19263

REEL: 014671 FRAME: 0458

Total files size

Message Digest:

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Name:

Digital Certificate Holder cn=Pamela J. Sherwood,ou=Registered

Attorneys,ou=Patent and Trademark

Office,ou=Department of Commerce,o=U.S.

Government,c=US

PATENT ASSIGNMENT

Electronic Version v07 Stylesheet Version v02

SUBMISSION TYPE:		NEW ASSIGNMENT
•••	П	

NATURE OF CONVEYANCE: ASSIGNMENT OF ASSIGNOR'S INTEREST

CONVEYING PARTY DATA

Name	Execution Date		
LAWRENCE STEINMAN	2003-06-17		
HIDEKI GARREN	2003-06-17		

RECEIVING PARTY DATA

Name	Street Address	Internal Address	City	State/Country	Postal Code
The Board of Trustees of the Leland Stanford Junior University	1705 El Camino Real		Paio Alto	CALIFORNIA	94306- 1106

PROPERTY NUMBERS Total: 1

Property Type	Number	
Application Number	10404679	

CORRESPONDENCE DATA

FAX NUMBER: 650-327-3231

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CUSTOMER NUMBER: 024353

NAME OF PERSON SIGNING: Pamela J. Sherwood

DATE SIGNED: 2003-07-25

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FEE TRANSMITTAL

Electronic Version v08 Stylesheet Version v08.0

> Title of Invention

USE OF STATINS AND OTHER IMMUNOMODULATORY AGENTS IN THE TREATMENT OF AUTOIMMUNE DISEASE

Application Number:

10/404679

10/404679

Date:

2003-03-31

First Named Applicant: LAWRENCE STEINMAN

Attorney Docket Number: STAN-262

TOTAL FEE AUTHORIZED \$40

Patent fees are subject to annual revisions on or about October 1st of each year.

ASSIGNMENT FEES

Fee Description	Property Number	Quantity	Fee Code	Amount \$	Fee Paid \$
Recording Each Patent Assignment Per Property Fee	10404679	1	8021	40	40

Subtotal For Additional Fees: \$40

AUTHORIZED BILLING INFORMATION

The commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit account number: 500815

Access Code

Deposit name:

Bozicevic Field and Francis LLP

Deposit authorized name: Pamela J. Sherwood

Signature:

Pamela J. Sherwood

Date (YYYYMMDD): 2003-07-25

Charge Assignment Fees Required Under 37 C.F.R. Section 1.21 (h).

Attorney Docket Number AN-262 First Named Inventor STEINMAN, LAWRENCE ASSIGNMENT OF APPLICATION (SOLE) Application Number 10/404,679 Filing Date March 31, 2003 Address to: Group Art Unit 1614 Mail Stop Assignment and Recordation Services Commissioner for Patents Examiner Name P.O. Box 1450 "USE OF STATINS AND OTHER IMMUNOMODULATORY Title: Alexandria, VA 22313-1450 AGENTS IN THE TREATMENT OF AUTOIMMUNE DISEASE" THIS ASSIGNMENT, by STEINMAN, LAWRENCE (hereinafter referred to as the assignor), residing in Stanford, California, witnesseth: WHEREAS, the said assignor has invented certain new and useful improvements in: "<u>USE OF STATINS AND OTHER IMMUNOMODULATORY AGENTS IN THE TREATMENT</u> OF AUTOIMMUNE DISEASE"

<u>x</u>	for which an application for a United States Patent was filed on March 31, 2003	, Application No. <u>10/404,679</u>	<u>}</u> .
	for which an international application was filed on, Appleasignating the United States;	olication No.	
	for which an application for a United States Patent was executed on, and		
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WHEREAS, The Board of Trustees of the Leland Stanford Junior University a non-profit duly organized under and pursuant to the laws of California, and having its principal place of business at 1705 El Camino Real, Palo Alto, California 94306-1106 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said invention and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, said assignor has sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned invention, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and behalf and the use and behalf of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignor, had this sale and assignment not been made.

AND for the same consideration, the said assignor hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignor is the sole and lawful owner of the entire right, title and interest in and to said invention and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignor has good and full right and lawful authority to sell and convey the same in the manner herein set forth.

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AND the said assignor hereby requests the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said invention and the Letters Patent to be issued thereon for the sole use and behalf of said assignee, its successors, legal representatives and assigns.

Date 6/17/03

Name of Inventor

STEIMMAN, LAWRENCE

Attorney Docket Number 4N-262 First Named Inventor STEINMAN, LAWRENCE ASSIGNMENT OF APPLICATION (SOLE) Application Number 10/404,679 Filing Date March 31, 2003 Address to: Group Art Unit Mail Stop Assignment and Recordation Services Commissioner for Patents Examiner Name P.O. Box 1450 "USE OF STATINS AND OTHER IMMUNOMODULATORY Title: Alexandria, VA 22313-1450 AGENTS IN THE TREATMENT OF AUTOIMMUNE DISEASE" THIS ASSIGNMENT, by GARREN, HIDEKI (hereinafter referred to as the assignor), residing in Palo Alto, California, witnesseth: WHEREAS, the said assignor has invented certain new and useful improvements in: "USE OF STATINS AND OTHER IMMUNOMODULATORY AGENTS IN THE TREATMENT OF AUTOIMMUNE DISEASE" for which an application for a United States Patent was filed on March 31, 2003, Application No. 10/404,679. <u>x</u>__ for which an international application was filed on ______, Application No. _____ designating the United States; for which an application for a United States Patent was executed on ____, and WHEREAS, Bayhill Therapeutics, Inc. a corporation duly organized under and pursuant to the laws of Delaware, and having its principal place of business at 3430 W. Bayshore Road, Suite 201, Palo Alto, California 94303 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said invention and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon: NOW THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, said assignor has sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned invention, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and behalf and the use and behalf of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignor, had this sale and assignment not been made. AND for the same consideration, the said assignor hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignor is the sole and lawful owner of the entire right, title and interest in and to said invention and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignor has good and full right and lawful authority to sell and convey the same in the manner AND for the same consideration, the said assignor hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignor will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said invention, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said invention in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said invention, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns. AND the said assignor hereby requests the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said invention and the Letters Patent to be issued thereon for the sole use and behalf of said assignee, its successors, legal representatives and assigns. Date 6-17-03 Name of Inventor

GARREN, HIDEKI

RECORDED: 11/07/2003