

Form PTO-1595

(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

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## RECORDATION FORM COVER SHEET

## PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

International Business Machines Corporation

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: December 1, 2003

## 2. Name and address of receiving party(ies)

Name: Hitachi Global Storage Technologies Netherlands B.V.

Internal Address: \_\_\_\_\_

Street Address:

Locatellikade 1  
Parnassustoren  
1076 AZ Amsterdam  
The Netherlands

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

09/934,767

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Hitachi Global Storage Technologies

Internal Address: Mail Stop: NHGB/014-2

Street Address: 5600 Cottle Road

City: San Jose State: CA Zip: 95193

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☐ Enclosed  
☒ Authorized to be charged to deposit account

## 8. Deposit account number:

50-2587

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Lewis L. Nunnelley

Name of Person Signing



Signature

5/26/04

Date

Total number of pages including cover sheet, attachments, and documents: ☒ 1

Mail documents to be recorded with required cover sheet information to:

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PATENT  
REEL: 014672 FRAME: 0857

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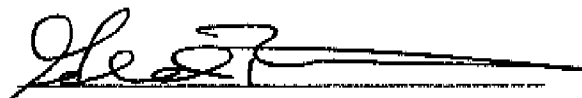
**RECORDABLE PATENT APPLICATION ASSIGNMENT**

For good and valuable consideration, the receipt of which is hereby acknowledged, International Business Machines Corporation, a New York corporation having a place of business at Armonk, New York, United States of America, (hereinafter "ASSIGNOR"), hereby grants and assigns to Mariana HDD B.V. (now known as Hitachi Global Storage Technologies Netherlands B.V.), a Netherlands corporation, having a place of business at Amsterdam, the Netherlands, (hereinafter "ASSIGNEE"), all right, title and interest in and to application No. 09/934,767 for United States Letters Patent, (hereinafter "ASSIGNED PATENT"), as well as all rights of priority in foreign countries resulting from the filing of the application, to have and to hold the same, unto ASSIGNEE for its own use and enjoyment and for the use and enjoyment of its successors and assigns, for the full term or terms of all such rights.

ASSIGNOR hereby authorizes that all United States Letters Patent to be issued on or resulting from the aforementioned application for Letters Patent be issued to the ASSIGNEE.

For good and valuable consideration, the receipt of which is hereby acknowledged, Assignee hereby acknowledges and agrees that Assignor reserves and retains, for the benefit of itself and its subsidiaries, successors or assigns and subsequent assigns, the royalty-free rights and licenses contained in a separate written agreement between the parties dated December 31, 2002, with respect to the ASSIGNED PATENT, and the ASSIGNED PATENT is subject to any rights, licenses or immunities granted under the ASSIGNED PATENT to third parties by Assignor prior to the date of assignment of the ASSIGNED PATENT from Assignor to Assignee.

IN WITNESS WHEREOF, ASSIGNOR has caused this Recordable Patent Application Assignment to be duly signed on its behalf.

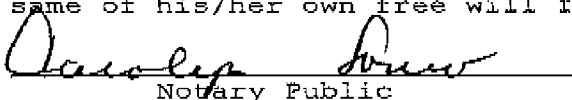


Gerald Rosenthal  
NAME (Type or Print)

IBM Vice President  
TITLE  
12-01-03  
DATE

State of New York )  
 ) S.S.  
County of Westchester )

Before me this 1<sup>st</sup> day of Dec, 2003, personally appeared Gerald Rosenthal, known to be the person who is described in and who signed the foregoing Assignment and acknowledged to me that he/she signed the same of his/her own free will for the purpose therein expressed.

  
Notary Public

CAROLINE J. [illegible] PUBLIC  
STATE OF NEW YORK  
No. 4610583  
QUALIFIED IN WESTCHESTER COUNTY  
EXPIRES FEBRUARY 28, 2006

Docket No. ROC920000248US1

RECORDED: 05/26/2004

PATENT  
REEL: 014672 FRAME: 0858  
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