

Form PTO-1595 (Rev. 10/02) OMB No. 0651-0027 (exp. 6/30/2005)		RECORDATION FORM COVER SHEET PATENTS ONLY		U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office	
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.					
1. Name of conveying party(ies): B.A.T. CIGARETTENFABRIKEN GMBH Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No		2. Name and address of receiving party(ies) Name: <u>British-American Tobacco (Germany) GmbH</u> Internal Address: _____ _____ _____ Street Address: <u>Alsterufer 4</u> _____ _____ City: <u>Hamburg, Germany</u> State: _____ Zip: <u>20354</u> Additional name(s) & address(es) attached? ☐ Yes ☒ No			
3. Nature of conveyance: ☐ Assignment ☐ Merger ☐ Security Agreement ☒ Change of Name ☐ Other _____ Execution Date: <u>April 25, 1995</u>		4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____ A. Patent Application No.(s) _____ _____ _____ B. Patent No.(s) <u>5,115,820; 5,080,114; 5,135,009; 5,190,060</u> <u>5,722,431</u> _____ Additional numbers attached? ☐ Yes ☒ No			
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>JOHN F. SALAZAR</u> Internal Address: <u>Middleton Reutlinger</u> _____ _____ Street Address: <u>2500 Brown & Williamson Tower</u> _____ _____ City: <u>Louisville</u> State: <u>KY</u> Zip: <u>40202</u>		6. Total number of applications and patents involved: 5 7. Total fee (37 CFR 3.41).....\$ <u>200.00</u> ☒ Enclosed ☐ Authorized to be charged to deposit account 8. Deposit account number: _____			
DO NOT USE THIS SPACE					
9. Signature. <u>JOHN F. SALAZAR, Reg. No. 39,353</u> Name of Person Signing  Signature <u>05/21/2004</u> Date ☐ Total number of pages including cover sheet, attachments, and documents: _____					

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Authenticated translation from the German language

HR B 1749

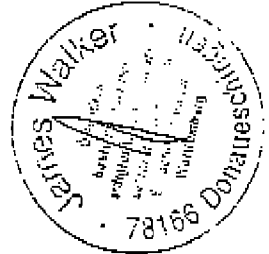
Local Court of Hamburg

a) Company b) Registered offices c) Purpose of comp'y	Basic or registered capital	Board, partners with unlimited liability, directors, executives	Power of attorney ("Prokura")	Legal situation	a) Date of entry and signature b) Comments
a) B.A.T. Cigaretten-Fabrik GmbH b) Hamburg with a branch in Bayreuth under the name of "B.A.T. Cigaretten-Fabriken GmbH, Bayreuth Branch" c) Manufacturing and trading in tobacco products, and trading of any kind relating to tobacco products. The Company is also empowered to hold shares in other companies of any kind.	20.000.000	<u>Ernst Freiherr von Reitzenstein, Dresden,</u> <u>Eduard Soering, businessman, Hamburg</u> <u>Wilhelm Nürnberg, businessman, Hamburg</u> <u>Deputy Directors: Walter Selmann, businessman, Hamburg</u> <u>Dr Horst Stützer, Master of Business Administration, Hamburg,</u> <u>Hans Erich Sottorf, graduate engineer, Hamburg</u> <u>Dietrich von Specht, businessman, Hamburg</u>	<u>Unrestricted "Prokura":</u> <u>Herbert Brevath, Hamburg</u> <u>Helmut Fischer, Hamburg</u> <u>Franz Petrak, Hamburg</u> <u>Knud Eiler Svendsen, Hamburg</u> <u>Gerhard Liebert, Aumühle (Hamburg)</u> Each of these is authorised to represent the company when acting together with a full or a deputy Director or with one other holder of unrestricted "Prokura".	Limited liability company. The Articles of Association were established on 28th September 1937 and amended on 14th August 1939, 3rd December 1949, 7th January 1953, 9th January 1954, 15th January 1958 (authorised capital), and 4th February 1963 (company name). If more than one director is appointed, the Company can be represented by at least two directors or by one director and one senior officer holding "Prokura".	a) Transferred from Volume 30, HRB 1749, on 2nd June 1964 [Signature] b) Date of first entry: 7th October 1937. Branch in Bayreuth: HRB II 124 / 100.
			<u>Unrestricted "Prokura":</u> <u>Rudolf Dämmig, Schmalenbeck (Holstein)</u> <u>Werner Scholz, Neu Wulmstorf</u> <u>Udo Buxtehude</u> Each of these is authorised to represent the company when acting together with a full or a deputy Director or with one other holder of unrestricted "Prokura".	<u>Ernst Freiherr von Reitzenstein is no longer a director.</u> The previous deputy directors, <u>Walter Selmann, Dr Horst Stützer, Mr Hans Erich Sottorf, and Dietrich von Specht</u> have been appointed full Directors	a) 4 Aug. 1964 [Signature] a) 17 January 1965 [Signature]

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2 [Translator's note: Authority to represent the Company in all normal business activities.]

[Entries are underlined to show that they have been amended or cancelled by a later entry, or themselves represent a cancellation.]



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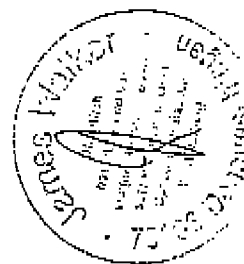
a) Company b) Registered offices c) Purpose of company	Basic or registered capital	Board, partners with unlimited liability, directors, executives	Power of attorney ("Prokura")	Legal situation	a) Date of entry and signature b) Comments
			<u>The "Prokura" granted to F. Petrak has been cancelled.</u>		a) 7 July 1967 [Signature]
			<u>The "Prokura" granted to H. Breyath is cancelled</u>		a) 7 July 1967 [Signature]
		<u>Gerhard Liebert, businessman, Aumühle (Hamburg)</u>	<u>The "Prokura" granted to K.E. Svendsen and G. Liebert are cancelled</u>	<u>Eduard Soering and Wilhelm Mörmberg are no longer directors. Gerhard Liebert, businessman, Aumühle (Hamburg) is appointed as an additional director.</u>	a) 6 June 1969 [Signature]
	<u>150,000,000</u>			<u>A Resolution of the Shareholders' Meeting on 21st December 1970 raised the authorised capital by DM 130,000,000 to DM 150,000,000 and amended Article 4 (Registered Capital) of the Articles of Association accordingly.</u>	a) 29 December 1970 [Signature] b) Resolution, pages 8 to 13.
			<u>The "Prokura" granted to R. Dämmig and H. Fischer are cancelled</u>		a) 28 February 1973 [Signature]
		<u>Dr Peter Wörmer, businessman, Hamburg.</u> <u>Deputy directors: Dr Harald Erichsen, businessman and lawyer, Hamburg.</u> <u>Egbert Pfennig, industrial businessman, Bundesdorf</u>		<u>Dr Peter Wörmer, businessman, Hamburg, is appointed as an additional director.</u> <u>Dr Harald Erichsen, businessman and lawyer, Hamburg, and "Egbert Uhe, industrial businessman, Bundesdorf, are appointed as deputy directors.</u> <u>Any of the above can represent the company when acting together with one other director or with a holder of "Prokura".</u> <u>Walter Seibmann is no longer a director</u>	a) 9 Jan. 1973 [Signature] • correction: Günther
					a) 14 Aug. 1975 [Signature]
	<u>300,000,000</u>			<u>A Resolution of the Shareholders' Meeting on 22nd December 1976 raised the authorised capital by DM 150,000,000 to DM 300,000,000 and amended Article 4 (Registered Capital) of the Articles of Association accordingly.</u>	a) 29 December 1976 [Signature] b) shareholders' Resolution pages 118-130

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a) Company b) Registered offices c) Purpose of company	Basic or registered capital	Board, partners with unlimited liability, directors, executives	Power of attorney ("Prokura")	Legal situation	a) Date of entry and signature b) Comments
		Deputy director: <u>Erhard Rittershaus, graduate engineer, Hamburg</u>		<u>Erhard Rittershaus, graduate engineer, Hamburg, is appointed an additional deputy director. He is authorised to represent the Company when acting together with another director or with a holder of "Prokura".</u>	a) 31 January 1977 (Signature)
				<u>Dr Harald Erichsen, Dr Horst Stützer, and Dr Peter Wörmer are no longer directors.</u> <u>The previous deputy directors Eberhard Pfennig, Erhard Rittershaus, and Günter Uhe are appointed full directors.</u>	a) 31 May 1977 (Signature)
				<u>Hans Erich Sottorf is no longer a director.</u>	a) 25 August 1977 (Signature)
		<u>Erich Krüger, graduate physicist, Gross-Hansdorf</u>		<u>Erich Krüger, graduate physicist, Gross-Hansdorf, is appointed an additional director. He is authorised to represent the Company when acting together with another director or with a holder of "Prokura".</u>	a) 25 November 1977 (Signature)
			<u>Unrestricted "Prokura": Ulrich Niemann, graduate in Business Administration, Ammersbek, He is authorised to represent the Company when acting together with a director or with a holder of "Prokura".</u>		a) 7 June 1978 (Signature)



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Local Court of Hamburg

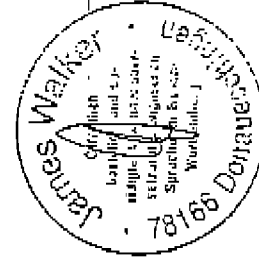
a) Company b) Registered offices c) Purpose of comp'y	Basic or registered capital	Board, partners with unlimited liability, directors, executives	Power of attorney ("Prokura")	Legal situation	a) Date of entry and signature b) Comments
a) <u>The spelling of the Company name is now: B.A.T. Cigaretten-Fabriken GmbH.</u> The spelling of the name of the Bayreuth branch is now: B.A.T. Cigaretten-Fabriken GmbH, Bayreuth branch. c) <u>Manufacturing and trading in tobacco products, and trading of any kind relating to tobacco products and raw tobacco, and all business activities connected directly or indirectly therewith. The Company is also empowered to hold shares in other companies of any kind.</u>				A Shareholders' Resolution dated 31st May 1978 amended the Articles of Association, in particular Articles 1 (spelling of the company name), 2 (purpose of the company), 5 (authority to represent the Company), 12 (Supervisory Board), and 20 (announcements). The Company can have one or more than one director. If more than one director has been appointed, the Company can be represented by at least two directors or by one director and one holder of "Prokura". Deputy directors can be appointed.	a) 24 July 1978 [Signature] b) Resolution, pages 34 of seq. New wording of authority, page 37 of seq. *correction: B.A.T. 7 August 1978
		<u>Rolf Ruprecht,</u> businessman, Hamburg		<u>Gerhard Liebert is no longer a director</u>	a) 8 Aug. 1978 [Signature]
		<u>Rolf Ruprecht,</u> businessman, Hamburg		<u>Rolf Ruprecht, businessman, Hamburg, is appointed as an additional director. He is authorised to represent the Company when acting together with another director or with a holder of "Prokura".</u>	a) 10 August 1981 [Signature]
		<u>Deputy directors:</u> <u>Ulrich Niemann,</u> <u>Master of Business Administration,</u> <u>Ammersbek</u> <u>Hans-Adolf Tomat,</u> businessman, Hamburg <u>Wilfried Stelgleder,</u> businessman, Hamburg		<u>E. Krüger is no longer a director.</u> <u>Ulrich Niemann, Master of Business Administration, Hans-Adolf Tomat, businessman, Hamburg, and Wilfried Stelgleder, businessman, Hamburg, are appointed deputy directors.</u> Each of them is authorised to represent the Company when acting together with another director or with a holder of "Prokura".	a) 12 May 1982 [Signature]

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Local Court of Hamburg

a) Company b) Registered offices c) Purpose of comp'y	Basic or registered capital	Board, partners with unlimited liability, directors, executives	Power of attorney ("Prokura")	Legal situation	a) Date of entry and signature b) Comments
a) <u>B.A.T. Cigarettenfabriken GmbH</u> . c) Manufacturing and trading in tobacco products, and trading relating to tobacco products and raw tobacco, and all business activities connected directly or indirectly therewith, as well as other activities in industrial, trading, or service companies. The Company is also empowered to hold shares in other companies of any kind.				A shareholders' resolution dated 12th December 1983 approved a completely revised set of Articles of Association, particularly Articles 1 (spelling of the Company name) and 2 (purpose of the Company).	a) 9 February 1984 (Signature) b) Resolution and new wording, pages 2 et seq.
		Dr Doris André, Master of Economics graduate, Hamburg <u>Ulrich* Herbert,</u> <u>Economics graduate,</u> <u>Hamburg</u>		<u>Rolf Ruprecht and Gunther Uhe are no</u> <u>longer directors. Dr Doris André and</u> <u>Ulrich Herbert are appointed additional</u> <u>directors. Each of them can represent</u> <u>the company when acting together with</u> <u>another director or with a person</u> <u>holding "Prokura".</u>	a) 29 March 1985 (Signature) b) * correction: the director's name is <u>Herter</u> .
		Dr Bernd Schweitzer, businessman, Hamburg		<u>Dietrich von Specht is no longer a</u> <u>director.</u> Dr Bernd Schweitzer is appointed as an additional director. He can represent the company when acting together with another director or with a person holding "Prokura".	a) 25 March 1987 (Signature)



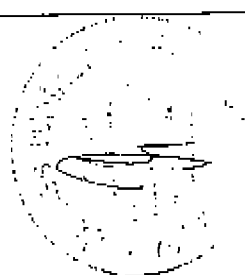
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REEL: 014675 FRAME: 0257

Authenticated translation from the German language

Local Court of Hamburg

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a) Company b) Registered offices c) Purpose of comp'y	Basic or registered capital	Board, partners with unlimited liability, directors, executives	Power of attorney ("Prokura")	Legal situation	a) Date of entry and signature b) Comments
				The assets of KIM Trend Shop GmbH, Hamburg (as the transferring company - Local Court of Hamburg 66 HRB 27 968) are transferred in their entirety to this Company by way of merger through take-over in accordance with the Merger Contract dated 20th June 1988, with the consent of both companies' shareholders granted on the same date, and under Article 19 paragraph 1 sentence 2 point 1 of the Act on Increasing capital from companies' own funds and the merging of limited-liability companies.	a) 6 October 1988 (Signature) b) Merger contract pages 48 et seq.
				The assets of KURMARK Reise- und Vertriebs-GmbH, Hamburg (as the transferring company - Local Court of Hamburg 66 HRB 28 273) are transferred in their entirety to this Company by way of merger through take-over in accordance with the Merger Contract dated 26th August 1988, with the consent of both companies' shareholders granted on the same date, and under Article 19 paragraph 1 sentence 2 point 1 of the Act on Increasing capital from companies' own funds and the merging of limited-liability companies.	a) 5 December 1988 (Signature) b) Merger Contract pages 4 et seq.
		Werner Eisenhardt, graduate economics engineer, Hamburg		<u>Egbert Plannig and Ulrich Niemann are no longer directors.</u> <u>Werner Eisenhardt is appointed as an additional director.</u> <u>He can represent the Company when acting jointly with another director or with a person holding "Prokura".</u> <u>The previous deputy directors Hans-Adolf Tomat and Wilfried Steigleder are now full directors.</u>	a) 5 October 1989 (Signature)
PATENT		Professor-Dr Hans- Eberhard Scheffler, businessman, Hamburg		Werner Eisenhardt is no longer a director. Professor-Dr Eberhard Scheffler is appointed as an -- additional director. He can represent the Company when acting jointly with another director or with a person holding "Prokura".	a) 12 September 1990 (Signature)

Authenticated translation from the German language

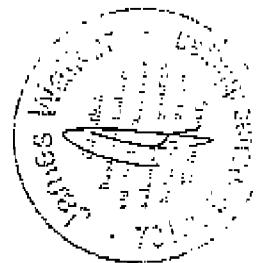
Page 7

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Local Court of Hamburg

a) Company b) Registered offices c) Purpose of comp'y	Basic or registered capital	Board, partners with unlimited liability, directors, executives	Power of attorney ("Prokura")	Legal situation	a) Date of entry and signature b) Comments
				A profit-transfer contract was concluded between this Company and BATIG Gesellschaft für Beteiligungen mbH, Hamburg (Local Court of Hamburg HRB 19 05 as the controlling company) on 19th December 1991 to which the Shareholders' Meetings of both companies consented on the same day. <u>Ulrich Hertel is no longer a director.</u>	a) 8th October 1992 [Signature] b) Resolution and contracts, pages 63 et seq.
		Werner Zapf, businessman, Hamburg Deputy director: <u>Rainer Grahn, businessman, Hamburg</u>		Werner Zapf is appointed as an additional director and <u>Rainer Grahn as a deputy director.</u>	a) 20 August 1993 [Signature]
		Georg Domizlaff, businessman, Hamburg		<u>Erhard Rittershaus and Rainer Grahn are no longer directors.</u> Georg Domizlaff is appointed as an additional director. He can represent the Company when acting together with another director or with a person holding "Prokura".	a) 18 October 1994 [Signature]
a) British-American Tobacco (Germany) GmbH				Shareholders' Resolutions of 7th and 24th November 1994 amended Article 1 (company name) and Article 20 paragraph 1 of the Articles of Association.	a) 16 February 1995 [Signature] b) Resolutions pages 4 et seq., Articles pages 7 et seq.

Entries have been underlined to indicate that they have been amended or cancelled by a later entry unless otherwise stated elsewhere.



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REEL: 014675 FRAME: 0259

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3. in his capacity as the Documentary Clerk of the Court Office
4. and bear the seal of the Local Court of Hamburg.

Confirmed

5. in Hamburg
6. on 28th April 1995
7. by the President of the Court Office
8. under the number 225/95
9. Stamp [circular seal of the Local Court of Hamburg].
10. Signature: [Signature] Metzinger

[Authentication by embassy]: This document has been signed and approved by Mr Metzinger, President of the Local Court. Signed [Signature], Consul-General of the Republic of Ireland. [Circular seal of the Consulate of Ireland, Hamburg.]

*This translation of the document presented to me
in the German language is correct and complete.*



**Middleton & Reutlinger
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Louisville, Kentucky 40202-3410**

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FAX: (502) 561-0442**

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DATE: May 21, 2004

PAGES: 13 in total (including cover sheet)

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5,190,060; 5,722,431

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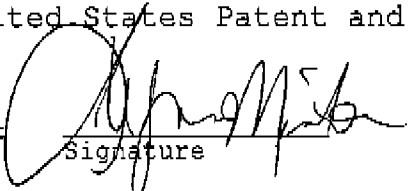
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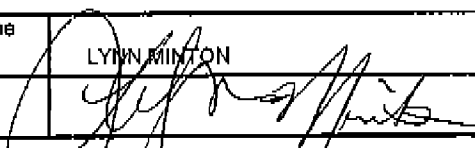
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	see attached
	Filing Date	
	First Named Inventor	
	Art Unit	
	Examiner Name	
Total Number of Pages In This Submission	Attorney Docket Number	

ENCLOSURES (Check all that apply)		
☒ Fee Transmittal Form ☒ Fee Attached ☐ Amendment/Reply ☐ After Final ☐ Affidavits/declaration(s) ☐ Extension of Time Request ☐ Express Abandonment Request ☐ Information Disclosure Statement ☐ Certified Copy of Priority Document(s) ☐ Response to Missing Parts/Incomplete Application ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53	☐ Drawing(s) ☐ Licensing-related Papers ☐ Petition ☐ Petition to Convert to a Provisional Application ☐ Power of Attorney, Revocation ☐ Change of Correspondence Address ☐ Terminal Disclaimer ☐ Request for Refund ☐ CD, Number of CD(s)	☐ After Allowance communication to Technology Center (TC) ☐ Appeal Communication to Board of Appeals and Interferences ☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) ☐ Proprietary Information ☐ Status Letter ☒ Other Enclosure(s) (please identify below): ASSIGNMENT RECORDATION; FACSIMILE TRANSMITTAL FORM
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Firm or Individual name	JOHN F. SALAZAR, Reg. No. 39,353	
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Date	05/21/2004	

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