11-13-2003 FORM PTO-1595 (Modified) U.S. DEPARTMENT OF COMMERCE (Rev. 03-01) OMB No. 0651-0027 (exp.5/31/2002) Patent and Trademark Office P08A/REV03 Tab settings -To the Director of the United States Patent 1. Name of conveying party(ies): Name and address of receiving party(ies): Charles H. McCarty Name: OpenTech Alliance Address: 11834 N. Sundown Drive ☐ Yes ☒ No Additional names(s) of conveying party(ies) Nature of conveyance: Merger ☐ Security Agreement City: Scottsdale ☐ Change of Name State/Prov.: AZ Other Country: USA ZIP: 85260 Execution Date: September 8, 2003 Additional name(s) & address(es) □ Yes 4. Application number(s) or patent numbers(s): If this document is being filed together with a new application, the execution date of the application is: Patent Application No. Filing date B. Patent No.(s) 5,946,660 ☐ Yes ☐ No Additional numbers 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: concerning document should be mailed: Name: R. Lee Fraley 7. Total fee (37 CFR 3.41):....\$ 40.00 Registration No. 42,550 Enclosed - Any excess or insufficiency should be credited or debited to deposit account Address: Snell & Wilmer Authorized to be charged to deposit account One Arizona Center 400 E. Van Buren 8. Deposit account number: City: Phoenix State/Prov.: AZ 19-2814 Country: USA ZIP: 85004-2202 (Attach duplicate copy of this page if paying by deposit account) DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. R. Lee Fraley Name of Person Signing 5 1/12/2003 ECOOPER 00000101 5946660 Total number of pages including cover sheet, attachments, and Mail documents to be recorded with required cover sheet information to:
Mail Stop Assignment Recordation Services
Director of the United States Patent and Trademark Office
P.O. Box 1450, Alexandria, VA 22313-1450 01 FC:8021 40.00 DP

**PATENT** 

REEL: 014675 FRAME: 0416

Docket No.: 44347.0002

PATENT ASSIGNMENT AGREEMENT

THIS PATENT ASSIGNMENT AGREEMENT ("Agreement") is made

September \_\$\overline{\infty}\$, 2003, by and between CHARLES H. McCARTY ("Inventor" or

"Assignor") and OPENTECH ALLIANCE, INC., an Arizona corporation ("Assignee").

Recitals

A. Assignor is the owner of United States Patent No. 5,946,660 (the "Patent"), an

invention that covers an automated self-storage system.

B. Assignee desires to acquire all right, title and interest in the Patent and the

invention described and claimed therein, and Assignor desires to transfer and assign his

interest in the Patent to Assignee upon the terms and conditions set forth in this

Assignment.

Agreement

NOW, THEREFORE, in consideration of the foregoing recitals, and the

covenants and conditions hereinafter set forth, the parties agree as follows:

1. Assignment.

> 1.1. Assignor hereby transfers and assigns to Assignee all of his right, title, and

interest in the Patent. Assignee shall be entitled to all use and enjoyment

of the Patent for the full term thereof, together with all claims for damages

by reason of past or future infringement of the Patent.

1.2. Assignor shall execute any other document of conveyance necessary to

effectuate the assignment of the Patent.

2. <u>Consideration</u>. Inconsideration for the assignment of the Patent, Assignee shall

issue to Assignor 1,200,000 shares of common stock in Opentech Alliance, Inc.

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3. <u>Cooperation</u>.

3.1. Assignor agrees to prepare all documents necessary to transfer the Patent

to Assignee.

3.2. Assignor agrees that he will, upon request by Assignee and at Assignee's

expense, provide information and testimony in any infringement,

interference or other action relating to the Patent.

4. <u>Warranty of Title</u>. Assignor warrants that he is now the sole owner, free from any

obligation of license or encumbrance, of the Patent and rights to the Patent

assigned hereunder.

5. Warranty Disclaimer. Nothing in this Agreement shall be construed as:

5.1. A warranty or representation by Assignor as to the validity or scope of the

Patent;

5.2. A warranty or representation that anything made, used, sold or otherwise

disposed of under the Patent is or will be free from infringement of patents

of other third parties;

5.3. An obligation to bring or prosecute actions or suit against third parties for

infringement.

6. Patent Litigation.

6.1. If the validity of the Patent is challenged by a third party in a court or

other tribunal, Assignee shall be responsible for defending the action and

all out-of-pocket costs and expenses associated with the defense.

6.2. In any infringement action specifically related to the Patent brought by

Assignee, the parties shall share any cash recovery (whether by final

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judgment, settlement or otherwise) as follows: (i) Assignee shall first reimburse itself for all costs and expenses of such suit, including attorneys' fees, and (ii) any remainder shall be shared 85% to Assignee

and 15% to Assignor.

7. <u>Development</u>.

7.1. Assignee shall diligently and in good faith pursue a course of action to

develop and use the know-how relating to the practice of the Patent in the

manufacture and sale of the articles described in the patent and/or

licensing to third parties of the right to manufacture and use the articles

described in the Patent.

7.2. If Assignee ceases business operations during the effective life of the

Patent, Assignee shall reassign the Patent to Assignor.

8. <u>Miscellaneous Provisions</u>.

8.1. Entire Agreement. The Agreement constitutes the entire agreement

between Assignor and Assignee with regard to its subject matter, and no

prior, simultaneous or collateral promises or representations not expressly

contained herein shall be of any force or effect.

8.2. Applicable Law. This Agreement shall be governed by and construed

under the laws of the State of Arizona applicable to contracts executed or

performed therein.

8.3. <u>Severability</u>. If any term, condition or provision of this Agreement is held

to be unenforceable for any reason, it shall, if possible, be interpreted

rather than voided, in order to achieve the intent of the parties to this

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Agreement to the extent possible. In any event, all other terms, conditions

and provisions of this Agreement shall be deemed valid and enforceable to

the full extent.

8.4. <u>Notices</u>. Any notice hereunder shall be deemed sufficiently given by one

party to another if in writing and if and when delivered or tendered either

in person or by deposit in the United States mail, in a sealed envelope,

registered or certified, with postage prepaid, addressed to the person to

whom such notice is being given. A notice not given as stated above shall,

if in writing, be deemed given if and when actually received by the party

to whom it is required or permitted to be given.

8.5. Binding Effect. This Agreement shall be binding upon and inure to the

benefit of Assignee, its successors, assigns, and/or other legal

representatives, and shall be binding upon Assignee, and his heirs, legal

representatives and assigns.

IN WITNESS WHEREOF, Assignor and Assignee have executed this Agreement

on the date first written above.

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CHARLES MCCARTY

ASSIGNOR

OPENTECH ALLIANCE, INC. ASSIGNEE

Its President

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**RECORDED: 11/10/2003**