

11-14-2003



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U.S. DEPARTMENT OF
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Attorney Docket No.:
RPS9-2003-0095US1

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

**William W. Buchanan Jr., Simon Chu, Linda A. Riedle,
and Paul B. Tippett**

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: **International Business Machines Corporation**

Internal Address:

Street Address: **New Orchard Road**

City: **Armonk** State/Country: **New York** Zip: **10504**

Additional name(s) and address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other _____

Execution Date: **October 27, 2003**

4. Application number(s) or patent number(s): **10/608,826**

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Brian C. Kunzler**

Internal Address:

Street Address: **10 West 100 South, Suite 425**

City: **Salt Lake City** State: **Utah** ZIP: **84101**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41) \$ **40.00**

☐ Enclosed

☒ Authorized to be charged to deposit account (any deficiencies)

8. Deposit account number:

50-0563

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

11/13/2003 6TDM1 00000132 500563 10600026

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40.00 DA

Brian C. Kunzler

Name of Person Signing

Signature

October 30, 2003

Date

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 014685 FRAME: 0121

PATENT**ASSIGNMENT**

Whereas, I/ we,

William W. Buchanan, Jr.
County of Wake

City of Wake Forest
State of North Carolina

Simon Chu
County of Orange

City of Chapel Hill
State of North Carolina

Linda A. Riedle
County of Wake

City of Cary
State of North Carolina

Paul B. Tippet
County of Durham

City of Durham
State of North Carolina

have invented certain improvements in

Title: **Apparatus Method And System For Alternate Control Of A Raid Array**

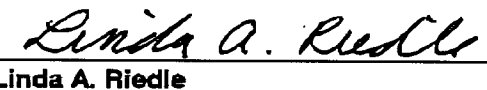
and executed a United States patent application therefor on the following date(s):

(1) 10/27/03, (2) 10/10/2003,
(3) 10/13/2003, (4) 10/27/2003, and

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I/we, the above named, hereby acknowledge my/our prior existing obligation and hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of the United States application, and I/we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in the application to IBM, its successors and assigns; and I/we hereby agree that IBM may apply for foreign Letters Patent on the invention and I/we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed:

CITY/STATEDATESIGNATURERTP, NC10/27/03
William W. Buchanan, Jr.RTP, NC10/10/2003
Simon ChuRTP, NC10/13/2003
Linda A. RiedleRTP, NC10/27/03
Paul B. Tippet