

11-12-03

11-17-2003

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FORM PTO-1596
1-31-92U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

DOCKET NO. 4504-075

102602144

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereto:

1. Name of conveying party(ies):

1. Yaw-Ming TSAI
2. Shih-Chang CHANG



2. Name and address of receiving party(ies):

Name: **TOPPOLY OPTOELECTRONICS CORP.**Street Address: **No. 12, Ke Jung Rd., Science-Based Industrial Park**City: **Chu-Nan, Miao-Li County**

State:

Zip: **350**Country: **Taiwan, R.O.C.**

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: February 20, 2003

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If the document is being filed together with a new application, the execution date of the application is: *n/a*

A. Patent Application No(s).

10/355,188 filed January 31, 2003

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name:

LOWE HAUPTMAN GILMAN & BERNER

Internal Address:

Street Address: **1700 Diagonal Road, Suite 300**City: **Alexandria** State: **VA** Zip: **22314**6. Total number of applications and patents involved: **one**7. Total fee (37 CFR 3.41) \$ **40.00**

- ☐ Enclosed
☐ Authorized to be charged to deposit account
☒ Credit Card payment form enclosed

8. Deposit account number: 07-1337

DO NOT USE THIS SPACE

9. Signature.

Kenneth M. Berner, 37,093

Name of Person, Registration No.

Kenneth M. Berner

Signature

November 12, 2003

Date

Total number of pages including cover sheet, attachment, and document: **2**

CMB No. 0851-0011 (exp. 4/94)

11/13/2003 EEKUBAY1 00000005 10355188

01 FC:8021

40.00 DP

PATENT
REEL: 014688 FRAME: 0883

Docket No.:

ASSIGNMENT

In consideration of the premises and other good and valuable consideration in hand paid, the receipt and sufficiency of which is hereby acknowledged, the undersigned,

(1) <u>Tsai, Yaw-Ming</u>	(4) _____
(2) <u>Chang, Shih-Chang</u>	(5) _____
(3) _____	(6) _____

who have made a certain new and useful invention, hereby sell, assign and transfer unto

Toppoly Optoelectronics Corp.
No. 12, Ke Jung Rd., Science-Based Industrial Park, Chu-Nan 350, Miao-Li County, Taiwan, R.O.C.

its successors and assigns (hereinafter designated "ASSIGNEE") the entire right, title and interest for the United States of America as defined in 35 U.S.C. 100 in the invention entitled

Method For Fabricating Color Filter

(a) for which an application for United States Letters Patent was filed on _____, and identified by United States Serial No. _____; or

(b) for which an application for United States Letters Patent was executed on _____,

and the undersigned hereby authorize and request the United States Commissioner of Patents and Trademarks to issue any and all United States Letters Patent which may be granted therefor and any and all extensions, divisions, reissues, substitutes, renewals, continuations, or continuations-in-part thereof, and the right to all benefits under the International Convention for the Protection of Industrial Property to the said ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives; the undersigned agree that the attorneys of record in said application shall hereafter act on behalf of said ASSIGNEE;

AND the undersigned hereby agree to transfer a like interest, and to render all necessary assistance in making application for and obtaining original, divisional, reissued or extended Letters Patent of the United States, upon request of the said ASSIGNEE, its successors, assigns and legal representatives, and without further remuneration, in and to any improvements, and applications for patent based thereon, growing out of or related to the said invention; and to execute any papers by the said ASSIGNEE, its successors, assigns and legal representatives, deemed essential to ASSIGNEE's full protection and title in and to the invention hereby transferred.

SIGNED on the dates indicated aside our signatures:

INVENTORS

1) _____
 Name: Tsai, Yaw-Ming
 2) _____
 Name: Chang, Shih-Chang
 3) _____
 Name: _____
 4) _____
 Name: _____
 5) _____
 Name: _____
 6) _____
 Name: _____

DATE SIGNED

 _____, Feb 20, '03
 Chang, Shih-Chang 2/20/03

