



11-17-2003

Atty. Docket No.: 34826-1016

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

Form PTO-1595

(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Wai Lam

2. Name and address of receiving party(ies)

Name: FalconStor, Inc.

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other _____

Street Address: 125 Baylis Road

City: Melville State: NY Zip: 11747

Execution Date: October 16, 2003

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): 10/612,081

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

10/612081

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jonathan Tyler, Esq.

Internal Address: _____

Street Address: 425 Park Avenue

City: New York State: NY Zip: 10022-3598

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

50-0988

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jonathan Tyler, Reg. No. 52,308

Name of Person Signing

Signature

November 7, 2003

Date

Total number of pages including cover sheet, attachments, and documents: 2

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Documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231PATENT
REEL: 014690 FRAME: 0821

ASSIGNMENT OF APPLICATION

Docket Number (Optional)
34826 - 1016

Whereas, I, Wai Lam of Jericho, New York, hereafter referred to as applicant, have invented certain new and useful improvements in SNAPSHOT MARKER

☒ for which an application for a United States Patent was filed on July 2, 2003,
Application Number 10 / 612,081.

☐ for which an application for a United States Patent was executed on _____, and

Whereas, FalconStor, Inc. of Delaware herein referred to "assignee" whose mailing address is 125 Baylis Road, Melville, New York 11747 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of ONE dollars (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 16th day of October, 2003,
at Melville NY

State of New York) SS:
County of Suffolk)

Before me personally appeared said Wai Lam
and acknowledged the foregoing instrument to be his free act and deed this
day of October, 2003

Seal

BETH R. HOROWITZ
Notary Public of New York
No. 02H05011290

Qualified in Nassau County

Commission Expires April 10, 2007

(Signature)

(Notary Public)

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner of Patents and Trademarks, Washington, DC 20231.

RECORDED: 11/07/2003

PATENT
REEL: 014690 FRAME: 0822