11-18-2003 FORM PTO-1595 (Modified) U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office OMB No. 0651-0027 (exp.5/31/2002) P08/REV03 Tab settings → → 102603159 سيب To the Honorable Commissioner of Patents 2. Name and address of receiving party(ies): 1. Name of conveying party(ies): Michael J. Therien Harry Tetsuo Uyeda Name: The Trustees of the University of Pennsylvania Internal Address: Suite 200 Additional names(s) of conveying party(ies) ☐ Yes ☒ No 3. Nature of conveyance: Street Address: 3160 Chestnut Street ☐ Merger ☐ Security Agreement Change of Name \_\_\_\_ State: PA ZIP: 19104 City: Philadelphia Other Execution Date: 9 September 2003 & 4 November 2003 ⊠ No 4. Application number(s) or patent numbers(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) B. Patent No.(s) 10/403,387 Additional numbers attached? Yes 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: concerning document should be mailed: Name: Synnestvedt & Lechner LLP 7. Total fee (37 CFR 3.41):.....\$ 40.00 internal Address: 2600 Aramark Tower Enclosed - Any excess or insufficiency should be credited or debited to deposit account Authorized to be charged to deposit account Street Address: 1101 Market Street 8. Deposit account number: 19-5425 (Attach duplicate copy of this page if paying by deposit account) City: Philadelphia State: PA ZIP: 19107 DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Joshua R. Slavitt, Reg. No. 40,816 November 12, 2003 Name of Person Signing Signature Date Total number of pages including cover sheet, attachments, and document: Mail documents to be recorded with required cover sheet information to: ommissioner of Patents & Trademarks, Box Assignments 11/17/2003 GTON11 00000042 10403387 01 FC:8021 40.00 OP

> PATENT REEL: 014691 FRAME: 0161

Docket No.: P26,791-A USA

#### ASSIGNMENT

WHEREAS, we, Michael J. Therien, residing at 2216 Locust Street, Philadelphia, Pennsylvania 19103, and Harry Tetsuo Uyeda, residing at 5023 Edgewood Road, College Park, MD 20740-4603, both of the United States of America, have made an invention entitled SYNTHESIS, SPECTROSCOPY, PHOTOPHYSICS OF MULTI-CHROMOPHORIC Zn(II) GROUP 8 METAL COMPLEXES described and claimed in an application for United States Letters Patent filed on March 28, 2003 bearing Application No. 10/403,387 (the "Application"), which claims the benefit of provisional Application No. 60/368,493, filed on March 28, 2002 (the "Provisional Application");

AND WHEREAS, The Trustees of the University of Pennsylvania, a Pennsylvania non-profit corporation having a place of business at 3160 Chestnut Street, Suite 200, Philadelphia, PA 19104, United States of America, is desirous of acquiring the entire interest in said invention and any patents which may issue thereon;

NOW THEREFORE, be it known that for and in consideration of the sum of one dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which are acknowledged hereby, we do hereby sell, assign, transfer, and confirm unto The Trustees of the University of Pennsylvania, its successors, assigns and legal representatives all right, title and interest in and to said invention for all countries of the world, and in and to said Provisional Application and said Application, including the right to claim priority under the International Convention based on said patent applications, and any divisions, continuations, renewals, reissues, and any substitutes thereof, and any patents which may issue on said invention; and we do hereby authorize and request competent authorities to issue any and all patents

granted on said invention to The Trustees of the University of Pennsylvania, as the assignee of the entire interest therein; and we further agree to execute upon request of the assignee such additional documents, it any, as are necessary and proper to secure patent protection on said invention throughout all countries of the world, and to otherwise give full effect to and perfect the rights of the assignee under this Assignment.

IN TESTIMONY WHEREOF, and intending to be legally bound hereby, I have hereunto signed my name at 4. of Pennsy lumina this 9th day of September, 2003.

Michael J. Therien

Before me this day of \_\_\_\_\_\_, 2003, personally appeared the above-named individual, to me known to be the person who is described in and who executed the foregoing Assignment and who acknowledged to me that he executed the same of his own free will for the purpose therein expressed.

**Notary Public** 

Notarial Seal
Duncan W. Van Dusen, Notary Public
City of Philadelphia, Philadelphia County
My Commission Expires Nov. 6, 2004

Member, Penneyivania Association of Netanac

(SEAL)

# Attorney Docket No. P-26,791-A USA

IN TESTIMONY WHEREOF, and	intending to be legally bound hereby, I
have hereunto signed my name at	this day of
, 2003.	
	Harry Tetsuo Uyeda
Before me this day of	, 2003, personally appeared
the above-named individual, to me known t	to be the person who is described in and
who executed the foregoing Assignment an	d who acknowledged to me that he
executed the same of his own free will for t	he purpose therein expressed.
	Notary Public

M:\JSlavitt\University of Pennsylvania\P-26791-A\RevisedAssignment.wpd

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WHEREAS, we, Michael J. Therien, residing at 2216 Locust Street, Philadelphia, Pennsylvania 19103, and Harry Tetsuo Uyeda, residing at 5023 Edgewood Road, College Park, MD 20740-4603, both of the United States of America, have made an invention entitled SYNTHESIS, SPECTROSCOPY, PHOTOPHYSICS OF MULTI-CHROMOPHORIC Zn(II) GROUP 8 METAL COMPLEXES described and claimed in an application for United States Letters Patent filed on March 28, 2003 bearing Application No. 10/403,387 (the "Application"), which claims the benefit of provisional Application No. 60/368,493, filed on March 28, 2002 (the "Provisional Application");

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## **Application No. 10/403,387**

### Attorney Docket No. P-26,791-A USA

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IN TESTIMONY WHER	REOF, and intending	g to be legally bound hereby, I
have hereunto signed my name	at	this
day of		
	Michae	el J. Therien
Before me this day		, 2003, personally appeared
who executed the foregoing Ass		
executed the same of his own fr		
	Notary	Public
(SEAL)		

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## **Application No. 10/403,387**

Attorney Docket No. P-26,791-A USA

IN TESTIMONY WHERE	OF, and intend	ling to be legally bou	ınd hereby, I
have hereunto signed my name at _	WHEATON	MARYLAND this	$4^{TH}$ day of
NOVEMBER, 200		,	•

Harry Tetsuo Uyeda

Before me this <u>H</u> day of <u>NOVEMBER</u>, 2003, personally appeared the above-named individual, to me known to be the person who is described in and who executed the foregoing Assignment and who acknowledged to me that he executed the same of his own free will for the purpose therein expressed.

(SEAL)

R. J. ARMSTRONG JR.
NOTARY PUBLIC STATE OF MARYLAND

NOTARY PUBLIC STATE OF MARYLAND My Commission Expires July 28, 2004

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