

Form PTO-1595
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PATENTS ONLY

Case 11468 US1

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

HOFFMANN-LA ROCHE INC.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: F. HOFFMANN-LA ROCHE AGInternal Address: A SWISS COMPANY

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ OtherStreet Address: 124 GRENZACHERSTRASSE
CH-4070Country: BASEL, SWITZERLANDExecution Date: JUNE 04, 2004Additional name(s) & address(es) attached:
☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

USPN 5,428,071

ISSUED: JUNE 27, 1995

Additional numbers attached? ☐ Yes ☒ No

CH \$40.00 082525 5428071

Page 2 of 2

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: GEORGE W. JOHNSTON

Internal Address:

HOFFMANN-LA ROCHE INC.PATENT LAW DEPARTMENTStreet address: 340 KINGSLAND STREETCity: NUTLEY State: NJ Zip: 07110

6. Total number of applications and patents involved: ONE

7. Total fee (37 CFR 3.41).....\$ 40.00☒ Authorized to be charged to deposit account☒ Authorized any deficiencies to be charged to deposit account

8. Deposit account number:

08-2525

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

EILEEN M. EBEL

Name of Person Signing



Signature

JUNE 04, 2004

Date

CONTACT: Lou Ann Donatoni
TELEPHONE: (973) 235-4389
FAX: (973) 235-2364

Total number of pages including cover sheet, attachments and documents: 3

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Case 11468 US1

ASSIGNMENT

HOFFMANN-LA ROCHE INC. ("ASSIGNOR"), a New Jersey corporation, having its principal place of business in Nutley, New Jersey, for good and valuable consideration, receipt of which is hereby acknowledged, has assigned and hereby assigns to **F. HOFFMANN-LA ROCHE AG** ("ASSIGNEE"), a corporation of Switzerland, having its principal place of business in Basel, Switzerland, all of ASSIGNOR's right, title and interest for the United States of America and its territories and possessions, in and to the invention described and claimed in U.S. Patent 5,428,071, Issued: June 27, 1995, entitled, PREVENTION AND TREATMENT OF PREMALIGNANT EPITHELIAL LESIONS AND MALIGNANT TUMORS OF EPITHELIAL ORIGIN, by Werner Bollag, et al., to the extent that ASSIGNOR possesses right, title and interest therein.

Nutley, New Jersey
Date: June 04, 2004

HOFFMANN-LA ROCHE INC.

By: 

Name: George W. Johnston

Title: Vice President

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