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	Please record the attached original documents or copy thereof.
Name of conveying party(ies):	2. Name and address of receiving party(ies)
University Technology Corporation	Name: Tun One sure on Tun Onion-acid, as
	COLORADO, A BOOY CORPORATE Internal Address: 588 SYS
Additional name(s) of conveying party(les) attached? Yes / No	
3. Nature of conveyance:	
✓ Assignment	4004 Disasser Price C
Security Agreement Change of Name	Street Address: 4001 Discovery Drive,
	Suite 390
Other	22 52 3
4/00/00	City: Boulder State: CO Zip: 80309
4/30/02 Execution Date:	Additional name(s) & address(es) attached? Yes V No
Application number(s) or patent number(s):	
If this document is being filed together with a new appli	cation, the execution date of the application is:
A. Patent Application No.(s)	B. Patent No.(s) 5,615,558
Additional numbers at	tached? Yes VNo
<ol><li>Name and address of party to whom correspondence concerning document should be mailed:</li></ol>	6. Total number of applications and patents involved:
Name: Annalissa Philbin, Esq.	7. Total fee (37 CFR 3.41)\$
588 SYS Internal Address:	✓ Enclosed
	Authorized to be charged to deposit account
	8. Deposit account number:
Street Address: 4001 Discovery Drive,	
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David N. Allen, Ph.D.	MAN/ (2000) 1/N/01/83
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	er sheet, attachments, and documents: 6
Mail ocuments to be recorded with Commissioner of Patents & T	required cover sheet information to:  Frademarks, Box Assignments

Washing

## PATENT ASSIGNMENT

THIS ASSIGNMENT is made effective as of April 30, 2002, by and between UNIVERSITY TECHNOLOGY CORPORATION, a Colorado nonprofit corporation ("Assignor") and THE REGENTS OF THE UNIVERSITY OF COLORADO, a body corporate ("Assignee").

WHEREAS, Assignee wishes to acquire and Assignor wishes to assign all of its right, title and interest in, to and under the patents and patent applications listed on Exhibit A attached hereto (collectively, the "Patent Properties").

NOW, THEREFORE, for good and valuable consideration paid by Assignee to Assignor, receipt of which is hereby acknowledged, Assignor agrees to sell, assign, transfer and set over and does hereby sell, assign, transfer and set over to Assignee, its entire right, title and interest in and to the Patent Properties, as well as the inventions claimed in such Patent Properties, for the United States and for all foreign countries, including any continuations, divisions, continuations-in-part, reissues or extensions thereof for its own use and enjoyment, and for the use and enjoyment of its successors, assigns or other legal representatives, as fully and entirely as the same would have been held and enjoyed by Assignor if this assignment and sale had not been made; together with all claims for damages by reason of past, present or future infringement or other unauthorized use, with the right to sue for, and collect the same for its own use and enjoyment, and for the use and enjoyment of its successors, assigns, or other legal representatives.

Assignor shall provide to Assignee, its successors, assigns or other legal representatives, cooperation and assistance at Assignee's reasonable request and reasonable expense (including the execution and delivery of any and all affidavits, declarations, oaths, assignments, powers of attorney or other documentation as may be reasonably required): (1) in the preparation and prosecution of any applications covering inventions assigned herein; (2) in the prosecution or defense of any interference, opposition, reexamination, reissue, infringement or other proceedings that may arise in connection with any of the patent rights assigned herein, including testifying as to any facts relating to the patent rights assigned herein and this Assignment; (3) in obtaining any additional patent protection that Assignee may deem appropriate which may be secured under the laws now or hereafter in effect in the United States or any other country; and (4) in the implementation or perfection of this Assignment.

IN TESTIMONY WHEREOF, the undersigned has executed this Patent Assignment to be effective as of the 30th day of April, 2002.

**ASSIGNEE:** 

THE REGENTS OF THE UNIVERSITY **OF COLORADO**, a body corporate

**ASSIGNOR:** 

UNIVERSITY TECHNOLOGY CORPORATION, a Colorado nonprofit

corporation

By:

Its: President

(W0719743 CWS)

**PATENT** REEL: 014692 FRAME: 0539

STATE OF COLORADO )	
COUNTY OF BOULDER )	
Before me, the undersigned, a Notary Public, in and for said County and State, personally appeared John W. Bliss as designee for the President of the University of Colorado, and acknowledged the execution of the foregoing Patent Assignment this day of July, 2002.	
IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my seal.	
Notary Public My commission expires: 2/8/03	
STATE OF COLORADO ) ) ss. COUNTY OF BOULDER )	
COUNTY OF BOOLDER )	
Before me, the undersigned, a Notary Public, in and for said County and State, personally appeared Jerry Donahue, President of University Technology Corporation, and acknowledged the execution of the foregoing Patent Assignment this 22 day of, 2002.	
IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my seal.	
Notary Public My commission expires: lugust 26, 200	3

## **EXHIBIT A**

## PATENTS AND PATENT APPLICATIONS

[Schedules 1.2(c)(i) and (ii)]

{W0719743 CWS}

3

PATENT REEL: 014692 FRAME: 0541

## Schedule 1.2(c)(i) Issued Patents U.S. Issued Patents Disclosure No | File Date | Issue Date | Pat Ser No | Pat No | Licensee | Assignee

Optical cooling of solids 1996.7108B 9/25/1995 4/1/1997 5,615,558 not shown (co-inventor, NIST)

Sch. 1.2(c)(i)

**RECORDED: 11/15/2003** 

Patent Title

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PATENT REEL: 014692 FRAME: 0542