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1. Name of conveying party(ies):
Peter James LIVERMORE

2. Name and address of receiving party(ies)
Name: **Marconi Communications Limited**

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Street Address: **P. O. Box 53, New Century Park**

City: **Coventry** Country: **United Kingdom** Zip: **CV3 1HJ**

Execution Date: **July 10, 2003**

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) **10/433,590**

B. Patent No. (s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Alan Israel**

Kirschstein, Ottinger, Israel & Schiffmiller, P.C.

Internal Address: _____

Street Address: **489 Fifth Avenue**

City: **New York** State: **NY** Zip: **10017-6105**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41).....\$ **40.00**

- ☒ Enclosed
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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Alan Israel

Name of Person Signing

Signature

November 10, 2003

Date

Total number of pages including cover sheet, attachments, and documents: **2**

Mail documents to be recorded with required cover sheet information to:
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ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration, the receipt of which is hereby acknowledged.

I **LIVERMORE: Peter James, of 2 Fulwood Close, Chilwell, Beeston, Nottingham NG9 5LG (GB)**

Sell and assign to: **MARCONI COMMUNICATIONS LIMITED of New Century Park, P.O. Box 53, Coventry CV3 1HJ (GB)**

All my interest in the accompanying application for United States Patent being the national stage of **PCT/GB01/05422**

US Serial No. 10/433,590, June 5, 2003

Filed **5 December 2001** for improvements invented by me

Jointly with **LOMAS: Martin**

In or relating to **TUNEABLE OPTICAL FILTER**

And all my interest in all patents which may be granted therefore, and all divisions, reissues, continuations and extensions thereof, and authorise and request the Commissioner of Patents of issue all patents on said Improvements or resulting therefrom to said Company as assignee of my entire interest, and covenant that I have full right so to do, and agree that I will communicate to said Company or its representatives any facts known to me respecting said improvements and testify in any legal proceedings, sign all lawful papers, execute all divisional, continuing and reissue applications and all assignments of said invention and all rightful oaths, and generally do everything possible to aid said Company, its successors, assigns and nominees, to obtain and enforce proper patent protection for said invention

P. J. Livermore 10-07-03
signature Peter James LIVERMORE Date

Witness  Peter Nickless.

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