

11-21-2003

RECORDATION FORM COVER SHEET

PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks

thereof.



102606826

1. Name of conveying party(ies):

David G. Kuehr-McLaren,
 Martin Presler-Marshall
 Calvin S. Powers
 Timothy Shoriak
 John H. Walczyk III

11.12.03

2. Name and address of receiving party(ies):

Name: SEE ATTACHED
 Internal Address:

22386 U.S.PTO
 10/706465



Additional names attached? No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement
☐ Change of Name ☐ Other

Execution Date: See attached

Street Address:

City:

State:

Zip:

Additional name(s)/address(es)
 attached: Yes

4. Application number(s) or patent number(s)

10706465

If this document is being filed together with a new application, the execution date of the application is See attached.

A. Patent Application No(s).

B. Patent No(s).

Additional numbers attached? No

5. Name and address of party to whom correspondence concerning document should be mailed:

Mark D. Simpson, Esq.
 Synnestvedt & Lechner LLP
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 1101 Market Street
 Philadelphia, PA 19107-2950
 (215) 923-4466
 Registration No. 32,942

11/20/2003 DBYRNE 00000027 090461 10706465

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40.00 BA

6. Total number of applications and patents involved: (1)

7. Total fee (37 CFR 3.41) \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit Account No. 09-0461 (attach duplicate copy if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mark D. Simpson

Name of Person Signing

Signature

November 12, 2003

Date

Total number of pages including this cover sheet, attachments and documents: (4)

Form PTO-1595

PATENT
 REEL: 014705 FRAME: 0187

ATTACHMENT TO ASSIGNMENT RECORDATION FORM COVER SHEET

Continuation of Box 2:

Name and address of receiving party:

INTERNATIONAL BUSINESS MACHINES CORPORATION

Internal Address:

Street Address: New Orchard Road

City: Armonk

State: New York Zip: 10504

Continuation of Box 3:

Date of execution of Assignment:

David G. Kuehr-McLaren - November 5, 2003
Martin Presler-Marshall - November 6, 2003
Calvin S. Powers - November 3, 2003
Timothy Shoriak - November 5, 2003
John H. Walczyk III - November 7, 2003

Continuation of Box 4:

Date of execution of application:

David G. Kuehr-McLaren - November 5, 2003
Martin Presler-Marshall - November 6, 2003
Calvin S. Powers - November 10, 2003
Timothy Shoriak - November 5, 2003
John H. Walczyk III - November 7, 2003

Docket RSW920010118US1

ASSIGNMENT

Whereas, we

	(1) David G. Kuehr-McLaren County of Wake	City of Apex and State of NC
and	(2) Martin Presler-Marshall County of Orange	City of Chapel Hill and State of NC
and	(3) Calvin S. Powers County of Orange	City of Chapel Hill and State of NC
and	(4) Timothy Shoriak County of Wake	City of Cary and State of NC
and	(5) John H. Walczyk III County of Wake	City of Raleigh and State of NC

have invented certain improvements in

**METHOD, SYSTEM AND COMPUTER PROGRAM
PRODUCT FOR IDENTIFYING AND IMPLEMENTING COLLECTED
PRIVACY POLICIES AS AGGREGATE PRIVACY POLICIES IN
ELECTRONIC TRANSACTIONS**

and have executed, respectively, a United States patent application therefor on the following dates:

(1) _____; and (2) _____;
Date of Execution - Inventor 1 Date of Execution - Inventor 2

(3) _____; and (4) _____;
Date of Execution - Inventor 3 Date of Execution - Inventor 4

(5) _____.
Date of Execution - Inventor 5

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

SIGNED AND SEALED

(1) at Durham, NC, on 11/5, 2003.
(city, state)

David G. Kuehr-McLaren
David G. Kuehr-McLaren

(2) at DURHAM, NC, on 11/6, 2003.
(city, state)

Martin Bresler-Marshall
Martin Bresler-Marshall

(3) at Durham, NC, on 11/3/03, 2003.
(city, state)

Calvin S. Powers
Calvin S. Powers

(4) at Durham, NC, on 5 NOV, 2003.
(city, state)

Timothy Shoriak
Timothy Shoriak

(5) at Durham, NC, on 7 Nov., 2003.
(city, state)

John H. Walczyk III
John H. Walczyk III