

OMB No. 0651-0011 (exp. 4/94)

PATENTS ONLY

To the Honorable Director of the US Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Kanaka Raju Koduri, Pallaiah Thammana

2. Name and address of receiving party(ies)

Name: Bristol-Myers Squibb Company

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Street Address: Lawrenceville-Princeton Road

City: Princeton State: NJ ZIP: 08543-4000

Execution Date: 03/12/04, 03/11/04

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)
10/320,176

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Stephen B. Davis

Internal Address: Bristol-Myers Squibb Company

Patent Department

Street Address: P.O. Box 4000

City: Princeton State: NJ ZIP: 08543-4000

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40

- ☐ Enclosed
☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

19-3880 (in the name of Bristol-Myers Squibb Company)

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Briana C. Buchholz

Name of Person Signing
Reg. No. 39,123

Briana C. Buchholz
Signature

6/8/04
Date

☐ Certificate of mailing on reverse side

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:
Mail Stop Assignment Recordation Services, Director of the US Patent and Trademark Office
PO Box 1450, Alexandria, VA 22313-1450

ASSIGNMENT

We,

Kanaka Raju Koduri residing at 27 Woodland Place
White Plains, New York 10606
United States of America

John T. Miller residing at 1001 Teall Avenue
Syracuse, New York 13206
United States of America

Pallaiah Thammana residing at 4410 Jack-In-The-Pulpit Circle
Manlius, New York 13104
United States of America,

pursuant to contractual obligations heretofore assumed by us and/or for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Bristol-Myers Squibb Company**, a Delaware corporation, having a place of business at Lawrenceville-Princeton Road, Princeton, NJ 08543-4000, its successors, assigns and legal representatives, all our right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted to us by local laws or by treaty, including any international convention for the protection of industrial property, in and for all countries of the world, including the United States and its territories and possessions, in and to the invention entitled:

**EXPRESSION VECTORS CONTAINING HOT SPOT FOR INCREASED RECOMBINANT
PROTEIN EXPRESSION IN TRANSFECTED CELLS**

invented by us and described in the non-provisional United States patent application


Application No. 10/320,176, filed December 16, 2002,



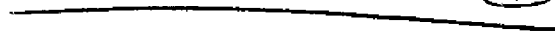
including said non-provisional United States patent application and any application claiming priority from said non-provisional application, filed in any country, and any patents which may be issued and/or granted thereon, and all divisions, continuations, reissues, reexamination certificates and extensions thereof in all countries, the said interest being the entire ownership of said invention and all of said applications, patents (including reissue patents), extensions and reexamination certificates to be held and enjoyed by the said Bristol-Myers Squibb Company and its successors and assigns to the full end of the terms to which said patents (including reissue patents), extensions

Worldwide
Case DB5 CIP

and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made;

And we hereby agree to communicate to said assignee or its representatives any facts known to us respecting said invention, to testify in any legal proceedings, to sign and/or execute any further documents and/or instruments which may be necessary, lawful and proper in and/or for the filing and/or prosecution of all applications, including divisional, continuation and reissue applications, extensions and reexamination certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said applications, patents (including reissue patents, extensions and reexamination certificates in said assignee, and in general to do everything possible to aid said assignee, its successors and assigns to obtain and enforce proper protection for said invention in all countries.

Signed this 12 day of March, 2004 by 
Kanaka Raju Koduri

Signed this  day of , 2004 by 
John T. Miller

Signed this  day of , 2004 by 
Pallaiah Thammana

Worldwide
Case DB5 CIP

and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made;

And we hereby agree to communicate to said assignee or its representatives any facts known to us respecting said invention, to testify in any legal proceedings, to sign and/or execute any further documents and/or instruments which may be necessary, lawful and proper in and/or for the filing and/or prosecution of all applications, including divisional, continuation and reissue applications, extensions and reexamination certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said applications, patents (including reissue patents, extensions and reexamination certificates in said assignee, and in general to do everything possible to aid said assignee, its successors and assigns to obtain and enforce proper protection for said invention in all countries.

Signed this ____ day of _____, 2004 by _____
Kanaka Raju Koduri

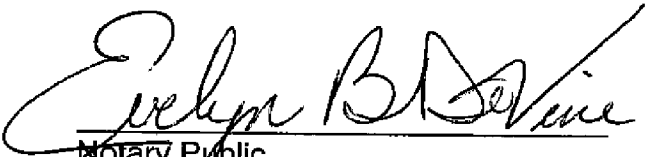
Signed this ____ day of _____, 2004 by _____
John T. Miller

Signed this 11th day of March, 2004 by P. Thammanna
Pallaiah Thammanna

Worldwide
Case DB5 CIP

STATE OF New York)
COUNTY OF Westchester) ss.

On the 12 day of March, 2004, before me came Kanaka Raju Koduri, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.



Notary Public

[SEAL]

Evelyn B. DeVine
Notary Public, State of New York
Registration #01DE6037919
Qualified in Westchester County
My Commission Expires Feb. 28, 2008

STATE OF)
COUNTY OF) ss.

On the day of , 2004, before me came John T. Miller, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.


Notary Public

[SEAL]

Worldwide
Case DB5 CIP

STATE OF)
COUNTY OF) ss.
)

On the day of , 2004, before me came Kanaka Raju Koduri, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.

Notary Public

[SEAL]

STATE OF)
COUNTY OF) ss.
)

On the day of , 2004, before me came John T. Miller, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.

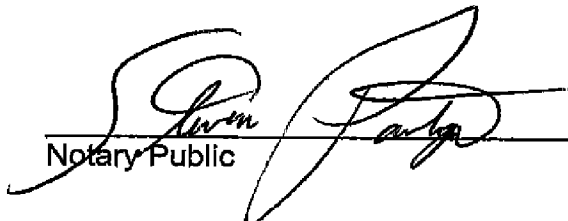
Notary Public

[SEAL]

Worldwide
Case DB5 CIP

STATE OF *New York*)
COUNTY OF *Onondaga*) ss.

On the *11th* day of *March*, 2004, before me came Pallalaih Thammana, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.


Notary Public

[SEAL]

STEVEN PANTOJA
Notary Public, State of New York
No. 01PA6093051
Qualified in Onondaga County
Commission Expires May 27, 2007