|   | Docket No.: MXIC-P910188  |
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| FORM PTO-1595 (Modified)  | 2003 Γ U.S. DEPARTMENT OF COMMERCE  |
| (Rev. 03-01) OMB No. 0651-0027 (exp.5/31/2002)  | Patent and Trademark Office   |
| ≈08/REV03   |   |
| Tab settings → → ▼ 102606;  To the Director of the United States Patent and Trademark Office  | 312   |
|   |   |
| Name of conveying party(ies):     HUNG-YU CHIU  | Name and address of receiving party(ies):     O   |
| MING-SHANG CHEN   | Name: Macronix International Co., Ltd.  |
| WENPIN LU UWAY TSENG  | 2.5<br>3.5<br>3.5<br>3.5<br>3.5<br>3.5<br>3.5<br>3.5<br>3.5<br>3.5<br>3   |
| 0   | Internal Address:   |
| Additional names(s) of conveying party(ies)   | 10/1  |
| 3. Nature of conveyance:  |   |
|   | Street Address: 16 Li-Hsin Road, Science-Based  |
| ☐ Security Agreement ☐ Change of Name   | Industrial Park, Hsinchu, Taiwan, Republic of China   |
| ☐ Other   | City: State: ZIP:   |
| Execution Date: October 31, 2003  | Additional name(s) & address(es) attached?   Yes   No   |
| 4. Application number(s) or patent numbers(s):  | 5714128   |
| If this document is being filed together with a new application   |   |
|   |   |
| A. Patent Application No.(s)  | B. Patent No.(s)  |
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| Additional numbers attac  | ched? 🔲 Yes 🛛 No  |
| 5. Name and address of party to whom correspondence   | C. Tatal sumbar of applications and notants involved:   |
| concerning document should be mailed:   | 6. Total number of applications and patents involved:   |
| Name: Kenton R. Mullins   | 7. Total fee (37 CFR 3.41):\$ 40.00   |
|   | · · · · · · · · · · · · · · · · · · ·   |
| Internal Address:   | <ul> <li>Enclosed - Any excess or insufficiency should be<br/>credited or debited to deposit account</li> </ul> |
| 11/20/2003 GTON11 00000133 501600 10714128  | ·   |
| 0)1 FC:8021 40.00 DA  | Authorized to be charged to deposit account   |
| Street Address: Stout, Uxa, Buyan & Mullins, LLP  | 8. Deposit account number:  |
| 4 Venture, Suite 300  | 50-1600   |
| City: Irvine State: CA ZIP: 92618   | (Attach duplicate copy of this page if paying by deposit account)   |
| DO NOT USE THIS SPACE   |   |
| 9. Statement and signature.  To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy |   |
| of the original document.   | ,   |
| Kenton R. Mullins   | November 13, 2003   |
| Name of Person Signing  | Signature Date  |
| Total number of pages including cover s   | heet, attachments, and document: threquired cover sheet information to:   |
|   |   |

## Kenton R. Mullins STOUT, UXA, BUYAN & MULLINS, LLP 4 Venture, Suite 300 Irvine, CA 92618

## **ASSIGNMENT**

WHEREAS, WE, HUNG-YU CHIU, MING-SHANG CHEN, WENPIN LU and UWAY TSENG, all residing in Taiwan, have invented certain new and useful improvements in:

## METHOD OF FABRICATING A MEMORY DEVICE HAVING A SELF-ALIGNED CONTACT

| for which the said HUNO-YU CHIU has this \(\frac{\int_{\text{St}}}{\text{day}}\) day of \(\frac{\int_{\text{Uber}}}{\text{chuber}}\) | , 2003 executed application papers             |
|--|--|
| the said MING-SHANG CHEN has this 314 day of October for United States Letters Patent thereon;                                       | , 2003 executed application papers             |
| the said WENPIN LU has this 3154 day of October States Letters Patent thereon; and   | _, 2003 executed application papers for United |
| the said UWAY TSENG has this ZIS day of October States Letters Patent thereon;   | _, 2003 executed application papers for United |

WHEREAS, MACRONIX INTERNATIONAL CO., LTD., hereinafter called Assignee, having a place of business at 16 Li-Hsin Road, Science-Based Industrial Park, Hsinchu, Taiwan, R.O.C., is desirous of acquiring the entire and exclusive right, title and interest in and to the aforesaid invention and in and to any and all Letters Patent therefor granted in the United States of America, and in any and all countries foreign thereto (including the right to apply for Letters Patent in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), in and to the said invention as described in said applications, and in and to all Letters Patent granted therefor, and all divisions, reissues and continuations thereof, and I hereby authorize and request the Commissioner of Patents and Trademarks to issue all Letters Patent on said invention or resulting therefrom to said Assignee, as assignee of my entire right, title and interest, and I covenant that I have full right so to do and agree that I will communicate to said Assignee, or its successors any and all facts known to me regarding said invention whenever requested, and will testify in any legal proceeding, sign all lawful papers, execute all divisional, reissue and continuation applications, and generally do everything possible to aid said Assignee, its successors and assigns, to obtain and enforce proper patent protection for said invention in all countries;

NOW, THEREFORE, TO WHOM IT MAY CONCERN, be it known that, for and in consideration of the sum of ONE TAIWAN NEW DOLLAR paid to us in hand paid by Assignce, and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, WE, HUNG-YU CHIU, MING-SHANG CHEN, WENPIN LU and UWAY TSENG by these presents do hereby sell, assign and transfer unto Assignce, its successors and assigns, the full and exclusive right, title and interest in and to the said invention, and in and to any Letters Patent which may be granted and issued in the United States and throughout the world therefor;

AND, WE HEREBY AUTHORIZE AND REQUEST the Commissioner of Patents and Trademarks to issue said United States Letters Patent to the said MACRONIX INTERNATIONAL CO., LTD., IN WITNESS WHEREOF, I have executed this instrument at 16 Li-Hsin Road, Science-Based Industrial Park, Hsinchu, Taiwan, R.O.C., this 31 those of Oct , 2002 Chr. - chiang Tal Hung (yu Chin (print name) IN WITNESS WHEREOF, I have executed this instrument at 16 Li-Hsin Road, Science-Based Industrial Park, Hsinchu, Taiwan, R.O.C., this 31 th day of Oct. , 2003. hung Yeh Mong Mang Men. IN WITNESS WHEREOF, I have executed this instrument at 16 Li-Hsin Road, Science-Based Industrial Park, Hsinchu, Taiwan, R.O.C., this 31th day of 0t. , 2003. Witness: Clin-Chucy (el Mentra Lu.

(print name) WENPIN LU IN WITNESS WHEREOF, I have executed this instrument at 16 Li-Hsin Road, Science-Based Industrial Park, Hsinchu, Taiwan, R.O.C.,

this 31th day of Oct. , 2002.

Witness: Chin - Chan Feh Way Tseng (print name) UWAY (SENG)

RECORDED: 11/13/2003

Page 2 of 2