

11/24/03

11-25-2003



Form PTO-1595

(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

Tab settings ⇨ ⇨ ⇨ ▼ ▼ ▼ ▼ ▼ ▼ ▼

RECORDED 102608884
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Ciba Specialty Chemicals Corporation

2. Name and address of receiving party(ies)

Name: Halox, a Division of

Hammond Group, Inc.

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other _____

Street Address: 1414 Field Street

City: Hammond State: IN Zip: 46320

Execution Date: 08/14/2003

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) _____

B. Patent No.(s) 5,152,929

5,347,008

Additional numbers attached? ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Charles T. Riggs Jr., Esq.

Internal Address: Patula & Associates, P.C.

Street Address: 116 South Michigan Avenue

14th Floor

City: Chicago State: IL Zip: 60603

6. Total number of applications and patents involved: 9

7. Total fee (37 CFR 3.41).....\$ 360.00



Enclosed



Authorized to be charged to deposit account

8. Deposit account number:

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OPR/FINANCE

DO NOT USE THIS SPACE

9. Signature.

Charles T. Riggs Jr., Esq.

Name of Person Signing

Signature

11-18-03

Date

Total number of pages including cover sheet, attachments, and documents: 4

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Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231PATENT
REEL: 014709 FRAME: 0976

**4(B) . Continued from Item 4(B) on Recordation Form Cover Sheet
(PTO-1595)**

4,612,049
5,198,482
5,726,225
5,519,074
5,277,709
4,612,378
5,879,436

ASSIGNMENT

Agreement made by and between Ciba Specialty Chemicals Corporation, a Delaware corporation doing business at 540 White Plains Road, Tarrytown, New York 10591 (hereinafter "Assignor") and Halox, a division of Hammond Group, Inc., an Indiana corporation doing business at 1414 Field Street, Hammond, IN 46320 (hereinafter "Assignee"). This Agreement is effective as of August 14, 2003.

WHEREAS, Assignor is the owner of all right, title and interest in and to the United States patents listed below and the inventions therein claimed and described:

<u>U.S. Patent No.</u>	<u>Issue Date</u>
5,152,929	October 6, 1992
5,347,008	September 13, 1994
4,612,049	September 16, 1986
5,198,482	March 30, 1993
5,726,225	March 10, 1998
5,519,074	May 21, 1996
5,277,709	January 11, 1994
4,612,378	September 16, 1986
5,879,436	March 9, 1999

WHEREAS, Assignor wishes to assign and Assignee wishes to receive ownership in and title to all rights pertaining to said patents and said inventions, including but not limited to all continuations, continuations-in-part, divisions, reissues and all rights pertaining thereto in foreign countries.

NOW THEREFORE, for good and valuable consideration, as stated in the Asset Divestiture Agreement, which this assignment is incorporated therein, the sufficiency and receipt of which is hereby expressly acknowledged, the parties hereto hereby agree as follows:

Assignor hereby assigns to Assignee all rights, title and interest in and to U.S. Patent Nos. 5,152,929, 5,347,008, 4,612,049, 5,198,482, 5,726,225, 5,519,074, 5,277,709, 4,612,378 and *5,879,436* and said inventions and all foreign rights thereon, and including, but not limited to, the right to sue third parties for any infringements of all rights assigned herein.

IN WITNESS WHEREOF, the parties hereto have, on the date written below, caused this Agreement to be executed by Ciba Specialty Chemicals Corporation in its corporate name by its duly authorized officer and its corporate seal affixed hereunto and by Halox, a Division of Hammond Group, Inc. in its corporate name by its duly authorized officer and its corporate seal affixed hereunto.

Assignor:

**CIBA SPECIALTY
CHEMICALS CORPORATION**

By: 

(Signature)

Peter J Schumann

(Printed Name)

VP Coatings BL

(Title)

August 14, 2003

(Date)

Assignee:

**HALOX, A DIVISION OF
HAMMOND GROUP, INC.**

By: 

(Signature)

W. Peter Wilke

(Printed Name)

President

(Title)

August 14, 2003

(Date)

1P213