

12-02-2003

Docket No.: ACS 62472

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



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To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

E TINA CHENG DIEM UYEN TA  
SANTOSH PRABHU KYLE MARIE KRUEGER  
CARLA ROSA PIENKNAGURA

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☐ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☒ Other

Execution Date: January 28, 2003

2. Name and address of receiving party(ies):

Name: Advanced Cardiovascular Systems, Inc.

Address: 3200 Lakeside Drive

City: Santa Clara

State/Prov.: CA

Country: USA

ZIP: 95054-2807

Additional name(s) & address(es)

☐ Yes ☐ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

10/334,033

December 30, 2002

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: JOHN S. NAGY, ESQ.

Registration No. 30,664

Address: FULWIDER PATTON LEE & UTECHT, LLP

Howard Hughes Center

6060 Center Drive, Tenth Floor

City: Los Angeles

State/Prov.: CA

Country: USA

ZIP: 90045

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

06-2425

(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

JOHN S. NAGY

Name of Person Signing

*John Nagy*  
Signature

November 20, 2003

Date

16

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:  
Mail Stop Assignment Recordation Services  
Director of the United States Patent and Trademark Office

PATENT  
REEL: 014712 FRAME: 0300

## ASSIGNMENT

This Assignment, effective as of December 30, 2002, made by E Tina Cheng of Union City, California; Santosh Prabhu of San Jose, California; Kyle Marie Krueger of San Jose, California; Diem Uyen Ta of San Jose, California; and Carla Rosa Pienknagura of Santa Clara, California; Assignors, to ADVANCED CARDIOVASCULAR SYSTEMS, INC., a California corporation, Assignee, having a place of business at 3200 Lakeside Drive, Santa Clara, California..

WHEREAS, Assignors have invented a new and useful FLEXIBLE STENT, for which an application for United States Letters Patent was filed on December 30, 2002 and has Serial No. 10/334,033, and

WHEREAS, Assignors believe themselves to be the original, first and joint inventors of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, Assignee desires to acquire by formal, recordable assignment the entire right, title and interest in and to said invention, said application, and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors hereby sell, assign and transfer to Assignee, the entire right, title and interest in and to said invention, said application, and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

Serial No. 10/334,033  
Client ID/Matter No. ACS 62472 (3739P)

**PATENT**  
**REEL: 014712 FRAME: 0301**

Further, Assignors agree that, upon request and without further compensation, but at no expense to Assignors, they and their legal representative(s) and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignors represent and warrants that they have not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignors authorize and request the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignors have executed this Assignment on the date written herein below.

Assignor:

Date: JAN. 23, 2003

  
\_\_\_\_\_  
E TINA CHENG

Date: \_\_\_\_\_

\_\_\_\_\_  
SANTOSH PRABHU

Assignor:

Date: \_\_\_\_\_

\_\_\_\_\_  
KYLE MARIE KRUEGER

Date: \_\_\_\_\_

\_\_\_\_\_  
DIEM UYEN TA

Date: \_\_\_\_\_

\_\_\_\_\_  
CARLA ROSA PIENKNAGURA

1085.1

## ASSIGNMENT

This Assignment, effective as of December 30, 2002, made by E Tina Cheng of Union City, California; Santosh Prabhu of San Jose, California; Kyle Marie Krueger of San Jose, California; Diem Uyen Ta of San Jose, California; and Carla Rosa Pienknagura of Santa Clara, California; Assignors, to ADVANCED CARDIOVASCULAR SYSTEMS, INC., a California corporation, Assignee, having a place of business at 3200 Lakeside Drive, Santa Clara, California..

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WHEREAS, Assignors believe themselves to be the original, first and joint inventors of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, Assignee desires to acquire by formal, recordable assignment the entire right, title and interest in and to said invention, said application, and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors hereby sell, assign and transfer to Assignee, the entire right, title and interest in and to said invention, said application, and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

Serial No. 10/334,033  
Client ID/Matter No. ACS 62472 (3739P)

**PATENT**  
**REEL: 014712 FRAME: 0304**

Further, Assignors agree that, upon request and without further compensation, but at no expense to Assignors, they and their legal representative(s) and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

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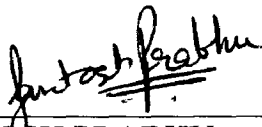
IN WITNESS WHEREOF, Assignors have executed this Assignment on the date written herein below.

Assignor:

Date: \_\_\_\_\_

\_\_\_\_\_  
E TINA CHANG

Date: 1/23/03

  
\_\_\_\_\_  
SANTOSH PRABHU

Assignor:

Date: \_\_\_\_\_

\_\_\_\_\_  
KYLE MARIE KRUEGER

Date: \_\_\_\_\_

\_\_\_\_\_  
DIEM UYEN TA

Date: \_\_\_\_\_

\_\_\_\_\_  
CARLA ROSA PIENKNAGURA

1085.1

## ASSIGNMENT

This Assignment, effective as of December 30, 2002, made by E Tina Cheng of Union City, California; Santosh Prabhu of San Jose, California; Kyle Marie Krueger of San Jose, California; Diem Uyen Ta of San Jose, California; and Carla Rosa Pienknagura of Santa Clara, California; Assignors, to ADVANCED CARDIOVASCULAR SYSTEMS, INC., a California corporation, Assignee, having a place of business at 3200 Lakeside Drive, Santa Clara, California..

WHEREAS, Assignors have invented a new and useful FLEXIBLE STENT, for which an application for United States Letters Patent was filed on December 30, 2002 and has Serial No. 10/334,033, and

WHEREAS, Assignors believe themselves to be the original, first and joint inventors of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, Assignee desires to acquire by formal, recordable assignment the entire right, title and interest in and to said invention, said application, and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors hereby sell, assign and transfer to Assignee, the entire right, title and interest in and to said invention, said application, and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

Serial No. 10/334,033  
Client ID/Matter No. ACS 62472 (3739P)

**PATENT**  
**REEL: 014712 FRAME: 0307**



Further, Assignors agree that, upon request and without further compensation, but at no expense to Assignors, they and their legal representative(s) and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignors represent and warrants that they have not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignors authorize and request the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignors have executed this Assignment on the date written herein below.

-  
Assignor:

Date: \_\_\_\_\_

\_\_\_\_\_  
E TINA CHANG

Date: \_\_\_\_\_

\_\_\_\_\_  
SANTOSH PRABHU

Assignor:

Date: 11/23/03

Kyle Marie Krueger  
KYLE MARIE KRUEGER

Date: \_\_\_\_\_

\_\_\_\_\_  
DIEM UYEN TA

Date: \_\_\_\_\_

\_\_\_\_\_  
CARLA ROSA PIENKNAGURA

1085.1

## ASSIGNMENT

This Assignment, effective as of December 30, 2002, made by E Tina Cheng of Union City, California; Santosh Prabhu of San Jose, California; Kyle Marie Krueger of San Jose, California; Diem Uyen Ta of San Jose, California; and Carla Rosa Pienknagura of Santa Clara, California; Assignors, to ADVANCED CARDIOVASCULAR SYSTEMS, INC., a California corporation, Assignee, having a place of business at 3200 Lakeside Drive, Santa Clara, California..

WHEREAS, Assignors have invented a new and useful FLEXIBLE STENT, for which an application for United States Letters Patent was filed on December 30, 2002 and has Serial No. 10/334,033, and

WHEREAS, Assignors believe themselves to be the original, first and joint inventors of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, Assignee desires to acquire by formal, recordable assignment the entire right, title and interest in and to said invention, said application, and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors hereby sell, assign and transfer to Assignee, the entire right, title and interest in and to said invention, said application, and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

Serial No. 10/334,033  
Client ID/Matter No. ACS 62472 (3739P)

**PATENT**  
**REEL: 014712 FRAME: 0310**

Further, Assignors agree that, upon request and without further compensation, but at no expense to Assignors, they and their legal representative(s) and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignors represent and warrants that they have not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignors authorize and request the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignors have executed this Assignment on the date written herein below.

Assignor:

Date: \_\_\_\_\_

\_\_\_\_\_  
E TINA CHANG

Date: \_\_\_\_\_

\_\_\_\_\_  
SANTOSH PRABHU

Assignor:

Date: \_\_\_\_\_

\_\_\_\_\_  
KYLE MARIE KRUEGER

Date: 1/27/03

Diem Ta  
DIEM UYEN TA

Date: 1

\_\_\_\_\_  
CARLA ROSA PIENKNAGURA

1085.1

## ASSIGNMENT

This Assignment, effective as of December 30, 2002, made by E Tina Cheng of Union City, California; Santosh Prabhu of San Jose, California; Kyle Marie Krueger of San Jose, California; Diem Uyen Ta of San Jose, California; and Carla Rosa Pienknagura of Santa Clara, California; Assignors, to ADVANCED CARDIOVASCULAR SYSTEMS, INC., a California corporation, Assignee, having a place of business at 3200 Lakeside Drive, Santa Clara, California..

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WHEREAS, Assignee desires to acquire by formal, recordable assignment the entire right, title and interest in and to said invention, said application, and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors hereby sell, assign and transfer to Assignee, the entire right, title and interest in and to said invention, said application, and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

Serial No. 10/334,033  
Client ID/Matter No. ACS 62472 (3739P)

**PATENT**  
**REEL: 014712 FRAME: 0313**

Further, Assignors agree that, upon request and without further compensation, but at no expense to Assignors, they and their legal representative(s) and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

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IN WITNESS WHEREOF, Assignors have executed this Assignment on the date written herein below.

Assignor:

Date: \_\_\_\_\_

\_\_\_\_\_  
E TINA CHANG

Date: \_\_\_\_\_

\_\_\_\_\_  
SANTOSH PRABHU

Assignor:

Date: \_\_\_\_\_

\_\_\_\_\_  
KYLE MARIE KRUEGER

Date: \_\_\_\_\_

\_\_\_\_\_  
DIEM UYEN TA

Date: 1/28/2003

  
\_\_\_\_\_  
CARLA ROSA PIENKNAGURA

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