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Atty Ref/Docket No.: 1628.003US1

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Rongqing Hui

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger☐ Security Agreement ☐ Change of Name☐ OtherExecution Date: April 5, 2004

2. Name and address of receiving party(ies):

Name: University of Kansas

Street Address:

Strong Hall

City: Lawrence State: KS Zip: 66045-7612Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Serial No. 10/783,972, filed February 20, 2004

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Timothy E. Bianchi

Address:

Schwegman, Lundberg, Woessner & Kluth, P.A.
P.O. Box 2938
Minneapolis, MN 554026. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41): \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account8. Please charge any additional fees or credit any over payments to our Deposit account number: 19-0743

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Timothy E. Bianchi/Reg. No. 39,610

Name of Person Signing

Signature

Date

Total number of pages including cover sheet: 4

Mail documents to be recorded with required cover sheet information to:

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PATENT
REEL: 014731 FRAME: 0500

CH \$40.00 190743 10783972

CONFIDENTIAL

ASSIGNMENT ID No. ITAA040301

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ASSIGNMENT

By

Dr. Rongqing Hui

to the

University of Kansas

to the intellectual property commonly referred to as a

**"METHOD AND APPARATUS FOR USE OF III-NITRIDE WIDE BANDGAP
SEMICONDUCTORS IN OPTICAL COMMUNICATIONS"**

(Assignment ID No. ITAA040301)

CONFIDENTIAL

ASSIGNMENT ID No. ITAA040301

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WHEREAS, I, Dr. Rongqing Hui, Professor of Electrical Engineering and Computer Science Department at the University of Kansas, have invented certain new and useful technologies in the area of photonics, specifically as detailed in Technical Disclosure "III-nitride Wide Bandgap Semiconductors" (#ITTD020604) dated July 19, 2002, and any and all related technology derivatives (the "Intellectual Property") for which the University of Kansas may file an application for Letters Patent, and a registration of any Trademark, Service mark, and Copyright under the laws of the United States; and


WHEREAS, the University of Kansas, a public educational institution duly organized under the laws of the State of Kansas and having its principal place of business at the Office of General Counsel, the University of Kansas, Strong Hall, Lawrence, Kansas 66045, is desirous of acquiring an interest in, to and under, said Intellectual Property, any and all applications, and any and all Letters Patent, Trademarks, Service marks, and Copyrights which may be granted or registered for or upon said Intellectual Property in the United States of America and all countries foreign thereto.

NOW, THEREFORE, to all whom it may concern be it known that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, Dr. Rongqing Hui, as one of several inventors, have assigned and transferred, and by these presents do assign and transfer unto the University of Kansas the full and exclusive right, title and interest, throughout the world, into and under the following:

- (a) the Intellectual Property;
- (b) any and all patent applications filed in connection with the Intellectual Property;
- (c) any and all refilings, divisions, and continuations of all patent applications filed in connection with the Intellectual Property;
- (d) any and all Trademarks, Service marks, and Copyrights (including without limitation all rights of the owner of copyright specified in 17 U.S.C. §106) which may issue or be registered, or rights which may be assumed from the Intellectual Property anywhere in the world;
- (e) any and all Letters Patent of the United States of America which may issue from all patent applications, refilings, divisions and continuations filed in connection with the Intellectual Property;
- (f) any and all reissues of said Letters Patent of the United States of America;
- (g) any and all applications for Letters Patent upon the Intellectual Property which have heretofore been filed or may hereafter be filed in any and all countries foreign to the United States of America, together with all rights to file and to claim priority in connection with all foreign-filed patent applications filed in connection with the Intellectual Property;
- (h) any and all refilings, divisions and continuations of all foreign-filed patent applications filed in connection with the Intellectual Property,


ALL of the above shall be held and enjoyed by THE UNIVERSITY OF KANSAS for its own use and behalf, and for its successors, legal representatives and assigns, to the full end of the term for which Letters Patent, Trademarks, Service marks, and Copyrights may be granted, and we do hereby authorize and request the United States Patent and Trademark Office to issue Letters Patent, and to register Trademarks and Service marks, and request the United States Copyright Office to register Copyrights, in accordance with this Agreement.

PATENT

Date: 04/05/2004 Signed: 

STATE OF KANSAS)
)ss.
COUNTY OF DOUGLAS)

On this 5 day of APRIL, 2004, before me personally appeared to me, RONGQING HUI, known to be the person described in and who executed the foregoing instrument, and who duly acknowledged to me that he executed the same for the uses and purposes therein set forth.


Notary Public
My Commission expires: 5/14/05

SEAL
STATE OF KANSAS)
)ss.
COUNTY OF DOUGLAS)

