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FORM PTO-1984

RE

U.S. DEPARTMENT OF COMMERCE

KOM 146

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies)

1. Shinobu Kamikawa (11/17/03)

Additional name(s) of conveying party(ies) attached No.

2. Name and address of receiving party(ies)

Name: **Komatsu Ltd.**

Internal Address:

Street Address: **3-6, Akasaka 2-chome, Minato-ku**City: **TOKYO 107-8414, JAPAN**Additional name(s) & address(es) attached ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of NameExecution Date: **See Above**

4. Apication number(s) or patent number(s): 10/078,514

If the document is being filed together with a new application, the execution date of the application

Additional numbers attached No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Ronald P. Kananen, Esq.**Internal Address: **RADER, FISHMAN & GRAUER
Suite 501**Street Address: **1233 20th Street, NW**City: **Washington, D.C.** Zip: **20036**

6. Total number of applications and patents involved:..... 1

7. Total fee (37 CFR 3.41)..... \$ **40.00**☐ Enclosed☒ Authorized to be charged to Deposit Account

8. Deposit account number:

18-0013

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ronald P. Kananen, Reg. No. 24,104

Name of Person Signing

Signature

November 26, 2003

Date

Total number of pages 2

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PATENT
REEL: 014735 FRAME: 0914

ATTORNEY DOCKET NO. KOM-0146

U. S. ASSIGNMENT

IN CONSIDERATION of the sum of One Dollar (\$1.00), and for other good and valuable consideration paid to the undersigned inventor(s) (hereafter ASSIGNOR) by

Komatsu Ltd.
3-6, Akasaka 2-chome
Minato-ku, Tokyo 107-8414, JAPAN

(hereinafter called the ASSIGNEE) the receipt of which is hereby acknowledged, the undersigned ASSIGNOR(S) hereby sells, assigns and transfers to ASSIGNEE the entire right, title and interest to the invention entitled:

TRACK FRAME STRUCTURES

as set forth in his United States Patent Application

- ☐ executed concurrently herewith
☐ executed on
☒ Application No. 10/0078/514, filed February 21, 2003.

and all Letters Patent of the United States to be obtained on said application or any continuation, division, renewal, substitute, reissue or reexamination thereof for the full term or terms for which the same may be granted.

The ASSIGNOR agrees to execute all papers necessary in connection with the application and any continuing, divisional, reissue or reexamination applications thereof and also to execute separate assignments in connection with such applications as the ASSIGNEE may deem necessary or expedient.

The ASSIGNOR agrees to execute all papers necessary in connection with any interference, litigation, or other legal proceedings which may be declared concerning this application or any continuation, divisional, reissue or reexamination thereof or Letters Patent or reissue patent issued thereon and to cooperate with the ASSIGNEE in every way possible in obtaining and producing evidence and proceeding with such interference, litigation or other proceeding.

IN WITNESS WHEREOF, the undersigned inventor(s) has (have) affixed his/her/their signature(s).

Shinobu Kamikawa
Shinobu KAMIKAWA

Date November 17, 2003

DC137859