

12-03-2003

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(Rev. 03-01)
OMB No. 0651-0027 (exp. 5/31/2002)
P08/REV03

RECOF



U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

11-20-03

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Tab settings

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Ron L. HALE and Craig C. HODGES, Peter M. LLOYD and
Amy T. LU and Daniel J. MYERS and Joshua D.
RABINOWITZ and Martin J. WENSLEY

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date:

2. Name and address of receiving party(ies):

Name: Alexza Molecular Delivery Corporation

Internal Address:

Street Address: 1001 E. Meadow CircleCity: Palo Alto State: CA ZIP: 94303Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

10718982

If this document is being filed together with a new application, the execution date of the application is: 11/20/2003

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Elaine C. Stracker J.D., Ph.D.

Internal Address:

12/03/2003 LMUELLER 00000072 502731 10718982

01 FC:8021 40.00 DA

Street Address: Alexza Molecular Delivery Corporation1001 E. Meadow CircleCity: Palo Alto State: CA ZIP: 94303

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

502731

(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Elaine C. Stracker J.D., Ph.D.

Name of Person Signing

Signature

11/20/2003

Date

Total number of pages including cover sheet, attachments, and document:

4

Mail documents to be recorded with required cover sheet information to:
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Director of the United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450

PATENT

REEL: 014737 FRAME: 0145

ASSIGNMENT JOINT

THIS ASSIGNMENT, by Ron L. HALE, Craig C. HODGES, Peter M. LLOYD, Amy T. LU, Daniel J. MYERS, Joshua D. RABINOWITZ and Martin J. WENSLEY (hereinafter referred to as the assignors), residing at 17085 Skyline Boulevard, Woodside, CA 94062, 80 Terrace Road, Walnut Creek, CA 94596, 30 Carisa Court, Walnut Creek, CA 94597, 1090 Valley View Court, Los Altos, CA 94024, 1599 Morgan Street, Mountain View, CA 94043, 750 N. Shoreline Boulevard #98, Mountain View, CA 94043, and 88 King Street, #618, San Francisco, CA 94107 respectively, witnesseth:

WHEREAS, said assignors have invented certain new and useful improvements in a patent application entitled DRUG CONDENSATION AEROSOLS AND KITS, set forth in a Non-provisional application in the United States Patent and Trademark Office; filed on November 20, 2003; and

WHEREAS, ALEXZA Molecular Delivery Corporation, a corporation duly organized under and pursuant to the laws of Delaware and having its principal place of business at 1001 East Meadow Circle, Palo Alto, California 94303 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefore and thereon:

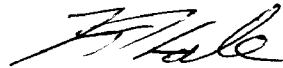
NOW, THEREFORE, for valuable consideration, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents does sell, assign, transfer and set over, unto said assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefore and thereon, and in and to any and all divisions, continuations and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and the use of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the sole and lawful owners of the entire right, title and interest in and to said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successor, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use of said assignee, its successors, legal representatives and assigns.

Executed this 20th day of November, in the year, 2003
at Palo Alto, California

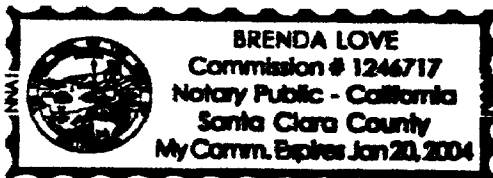
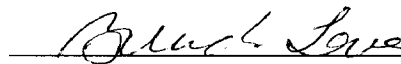


(Signature of Inventor)

State of California

County of Santa Clara

Before me personally appeared said Ron L. HALE
And acknowledge the foregoing instrument to be his free act and deed this 20th day
of November, 2003.

(Notary Public)

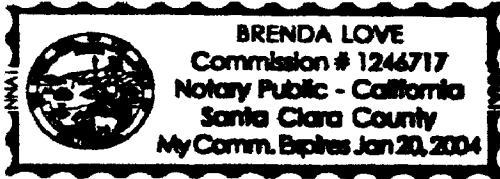
Executed this 20th day of November, in the year, 2003
at Palo Alto, California

Craig C. Hodges
(Signature of Inventor)

State of California

County of Santa Clara

Before me personally appeared said Craig C. HODGES
And acknowledge the foregoing instrument to be his free act and deed this 20th day
of November, 2003.



Brenda Love
(Notary Public)

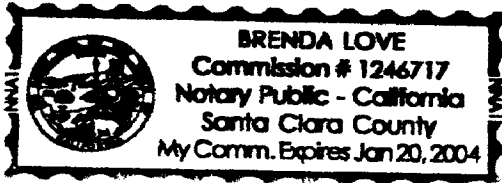
Executed this 20th day of November, in the year, 2003
at Palo Alto, California

Peter M. Lloyd
(Signature of Inventor)

State of California

County of Santa Clara

Before me personally appeared said Peter M. LLOYD
And acknowledge the foregoing instrument to be his free act and deed this 20th day
of November, 2003.



Brenda Love
(Notary Public)

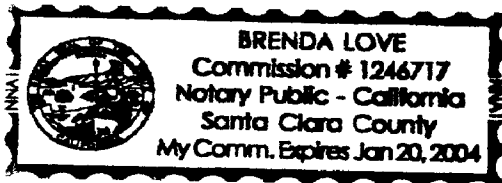
Executed this 20th day of November, in the year, 2003
at Palo Alto, California

Amy T. Lu
(Signature of Inventor)

State of California


County of Santa Clara

Before me personally appeared said Amy T. LU
And acknowledge the foregoing instrument to be his free act and deed this 20th day
of November, 2003.



Brenda Love
(Notary Public)

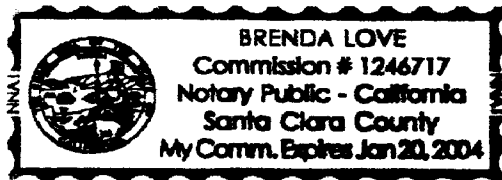
Executed this 20th day of November, in the year, 2003
at Palo Alto, California



(Signature of Inventor)

State of California

County of Santa Clara

Before me personally appeared said Daniel J. MYERS
And acknowledge the foregoing instrument to be his free act and deed this 20th day
of November, 2003.




(Notary Public)

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at Palo Alto, California

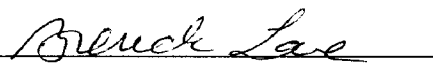

(Signature of Inventor)

State of California

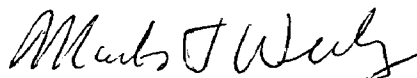
County of Santa Clara

Before me personally appeared said Joshua D. RABINOWITZ
And acknowledge the foregoing instrument to be his free act and deed this 20th day
of November, 2003.




(Notary Public)

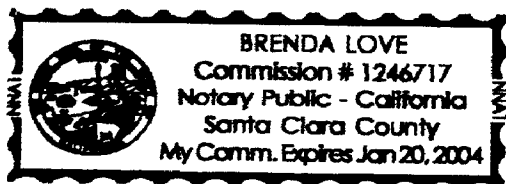
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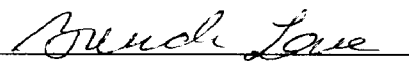

(Signature of Inventor)

State of California

County of Santa Clara

Before me personally appeared said Martin J. WENSLEY
And acknowledge the foregoing instrument to be his free act and deed this 20th day
of November, 2003.




(Notary Public)