

Docket No. S01364.70037.US

RECORDATION FORM COVER SHEET PATENTS ONLY

FORM PTO-1595 U.S. (modified)

**DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
USFilter Engineering & Construction, Inc.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of conveyance:

☐ Assignment ☐ Merger
☐ Security Agreement ☒ Change of Name
☐ Other _____

Execution Date: 01/21/2004

2. Name and address of receiving party(ies)

Name: **Veolia Water North America
Engineering & Construction, Inc.**

Internal Address:

Street Address: **250 Airside Drive
Moon Township, PA 15108**

Additional name(s) & addresses(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): 10/461,276

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No.(s): B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence
Concerning document should be mailed:

Name: **Peter C. Lando**
Address: **Lowrie, Lando & Anastasi, LLP**
Riverfront Office Park
One Main Street, 11th Floor
Cambridge, MA 02142

6. Total number of applications and patents involved: **[1]**7. Total fee (37 CFR 3.41) **\$ 40.00**☐ Enclosed☒ Authorized to be charged to deposit account

The Commissioner is authorized to charge any
deficiencies in the enclosed payment to:

8. Deposit Account No: **50/0214**

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Elias Domingo

Name of Person Signing

Signature

June 16, 2004

Date

Total number of pages including cover sheet, attachments, and document: **[6]**

Mail documents to be recorded with required cover sheet information to (modify as appropriate):

**Mail Stop Assignment Recordation Services
Director of the U.S. Patent and Trademark Office
PO Box 1450, Alexandria, VA 22313-1450**

**PATENT
REEL: 014738 FRAME: 0013**

2004017-1189

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE
BUREAU OF PROFESSIONAL AND OCCUPATIONAL AFFAIRS
STATE REGISTRATION BOARD FOR PROFESSIONAL ENGINEERS, LAND SURVEYORS AND GEOLOGISTS
P O Box 2649
Harrisburg, Pa 17105-2649
www.dos.state.pa.us

February 18, 2004

VEOLIA WATER NORTH AMERICA ENGINEERING &
CONSTRUCTION INC
250 AIRSIDE DRIVE
MOON TOWNSHIP PA 15108

rest 64469

CORPORATE/FICTITIOUS NAME APPROVAL

Approval has been granted pursuant to Section 6 of the Engineer, Land Surveyor and Geologist Registration Law. This Corporate/Fictitious Name Approval is granted to the applicant named above.

Approved Corporate/Fictitious Name:

**VEOLIA WATER NORTH AMERICA ENGINEERING &
CONSTRUCTION INC**

APPROVAL NUMBER: 000387


Signature of Reviewing Officer

2/18/04
Date

FEB-26-2004 12:27 FROM CT CORPORATION SYSTEM TO HARRISBURG CT P.06/14
JAN-26-2004 09:22 2004017-1100 FROM CT CORPORATION SYSTEM TO HARRISBURG CT P.07/29

PENNSYLVANIA DEPARTMENT OF STATE
CORPORATION BUREAU
Articles of Amendment-Domestic Corporation
(15 Pa.C.S.)

Entity Number
64469


☒ Business Corporation (§ 1915)
☐ Nonprofit Corporation (§ 5915)

CT CORP. COUNTER

Document will be returned to the name and address you enter to the left.
=

Fee: \$70

Filed in the Department of State on 7 2004


Secretary of the Commonwealth

In compliance with the requirements of the applicable provisions (relating to articles of amendment), the undersigned, desiring to amend its articles, hereby states that:

1. The name of the corporation is:
USFilter Engineering & Construction, Inc.

2. The (a) address of this corporation's current registered office in this Commonwealth or (b) name of its commercial registered office provider and the county of venue is (the Department is hereby authorized to correct the following information to conform to the records of the Department):

(a) Number and Street City State Zip County

(b) Name of Commercial Registered Office Provider County
c/o CT Corporation System Philadelphia

3. The statute by or under which it was incorporated: P.A. Bus. Corp. Law 553

4. The date of its incorporation: 04/14/1969

5. Check, and if appropriate complete, one of the following:
☐ The amendment shall be effective upon filing these Articles of Amendment in the Department of State.
☒ The amendment shall be effective on: 02/02/2004 at 12:00 a.m.
Date Hour

CA009 - 01/07/2004 CT System Online

PATENT
REEL: 014738 FRAME: 0015

FEB-26-2004 12:27 FROM CT CORPORATION SYSTEM
JAN-26-2004 09:20 FROM CT CORPORATION SYSTEM

TO HARRISBURG CT
TO HARRISBURG CT

P.07/14
P.08/09

2004017-1191

DSCB:15-1915/5915-2

6. Check one of the following:

☐ The amendment was adopted by the shareholders or members pursuant to 15 Pa.C.S. § 1914(a) and (b) or § 5914(a).

☒ The amendment was adopted by the board of directors pursuant to 15 Pa.C.S. § 1914(c) or § 5914(b).

7. Check, and if appropriate, complete one of the following:

☒ The amendment adopted by the corporation, set forth in full, is as follows

Change the name of the corporation from USFilter Engineering & Construction, Inc. to Veolia Water North

America Engineering & Construction, Inc.

☒ The amendment adopted by the corporation is set forth in full in Exhibit A attached hereto and made a part hereof.

8. Check if the amendment restates the Articles:

☐ The restated Articles of Incorporation supersede the original articles and all amendments thereto.

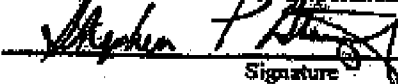
IN TESTIMONY WHEREOF, the undersigned
corporation has caused these Articles of Amendment to be
signed by a duly authorized officer thereof this

21st day of January

2004

USFilter Engineering & Construction, Inc.

Name of Corporation



Signature

Stephen P. Stanczak, Vice President

Title

FEB-26-2004 12:27 FROM CT CORPORATION SYSTEM

TO HARRISBURG CT

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TO HARRISBURG CT

P.09/09

EXHIBIT A
CERTIFICATE OF AMENDMENT
OF
CERTIFICATE OF INCORPORATION
USFILTER ENGINEERING & CONSTRUCTION, INC.


USFilter Engineering & Construction, Inc. (the "Corporation"), a corporation organized and existing under and by virtue of the Pennsylvania Business Corporation Law, DOES HEREBY CERTIFY:

By unanimous written consent, in accordance with 15 Pa.C.S. Section 1915 of the Pennsylvania Business Corporation Law, the directors of the Corporation have duly adopted, in accordance with the provisions of said Law to be effective immediately, an amendment to the Certificate of Incorporation of the Corporation whereby the first article thereof is changed so that, as amended, said Article shall be and read as follows:

The name of the Corporation is Veolia Water North America Engineering & Construction, Inc.

IN WITNESS WHEREOF, the Corporation has caused this Certificate of Amendment to be duly executed this 21st day of January, 2004.

USFilter Engineering & Construction, Inc.

By: 
Stephen P. Stanczak
Vice President

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE

April 20, 2004

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

VEOLIA WATER NORTH AMERICA ENGINEERING & CONSTRUCTION, INC.

I, Pedro A. Cortés, Secretary of the Commonwealth of Pennsylvania do hereby certify that the foregoing and annexed is a true and correct photocopy of Articles of Amendment

which appear of record in this department

IN TESTIMONY WHEREOF, I have
hereunto set my hand and caused
the Seal of the Secretary's Office to
be affixed, the day and year above
written.

Pedro A. Cortés

Secretary of the Commonwealth

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