In place of Form PTO-1595

12-04-2003

Docket No. RSW920030287US1

11.24.03

102615142

To the Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Ivame of conveying party(les).	Execution Date: 11/21/03
(1) ARTHUR THOMAS JOLIN	11/21/03
(2) YANG LEI	11/21/03
Application number(s) or patent number(s): If this document is being filed together with a ne (1) 11/21/03 (2) 11/21/03 (3) 11/24/03 (4) 1 A. Patent Application No.(s) Name and address of party to whom correspondence concerning document should be mailed: Duke W. Yee Carstens, Yee & Cahoon LLP. P.O. Box 802334 Dallas, Texas 75380 (972) 367-2001 A. Statement and signature. To the best of my knowledge and belief, the fore	11/24/03
(4) KEVIN JOE WILLIAMS	11/24/03
Additional name(s) of conveying party(ies) attached? Yes	x_No
2. Name and address of receiving party(ies):	3. Nature of conveyance:
International Business Machines Corporation New Orchard Road	X Assignment Merger
Armonk, New York 10504	Security Agreement Change of Name
	Other
7.1. 7 atom 1.1pp	
5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 1
correspondence concerning document should be mailed: Duke W. Yee	involved: 1
correspondence concerning document should be mailed: Duke W. Yee Carstens, Yee & Cahoon LLP.	
correspondence concerning document should be mailed: Duke W. Yee Carstens, Yee & Cahoon LLP. P.O. Box 802334	involved: 1 7. Total fee (37 CFR 3.41) \$ 40.00
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correspondence concerning document should be mailed: Duke W. Yee Carstens, Yee & Cahoon LLP. P.O. Box 802334 Dallas, Texas 75380 (972) 367-2001	7. Total fee (37 CFR 3.41) \$ 40.00 Enclosed X Authorized to be charged to deposit account 8. Deposit account number: 09-0461
correspondence concerning document should be mailed: Duke W. Yee Carstens, Yee & Cahoon LLP. P.O. Box 802334 Dallas, Texas 75380 (972) 367-2001	7. Total fee (37 CFR 3.41) \$ 40.00 Enclosed X Authorized to be charged to deposit account
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PATENT

Total number of pages including cover sheet: 10

REEL: 014746 FRAME: 0596

EXPRESS MAIL NO. BV333420437US

Whereas, we,

ASSIGNMENT

······	
(1) Timothy Marc Francis Providence of Ontario	of <u>Keswick</u> and Country of <u>Canada</u>
and	
(2) <u>Arthur Thomas Jolin</u> County of <u>Chatham</u>	of <u>Chapel Hill</u> and State of <u>North Carolina</u>
and	
(3) Yang Lei County of Wake	of <u>Cary</u> and State of <u>North Carolina</u>
and	
(4) Lawrence Scott Rich County of Wake	of <u>Cary</u> and State of <u>North Carolina</u>
and	
(5) Timo Juhani Salo County of Wake	of <u>Cary</u> and State of <u>North Carolina</u>
and	
(6) Kevin Joe Williams County of El Paso	of <u>Colorado</u> <u>Springs</u> and State of <u>Colorado</u>

have invented certain improvements in

Method and Apparatus for a Container Managed Persistent Entity Bean Support Architecture

and executed, respectively, a United States patent applica (Dates Inventors Signed Declaration) (1) (2) November 2 , 2003, (3) November 2	2002
12) November 2[, 2003, (3) November 21	2003.
(4), 2003, (5)	, 2003, and
(6), 2003.	,

Nhereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called TBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore;

Page 1 of 3

PATENT REEL: 014746 FRAME: 0597 Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(1)	Signed at		on	, 2003.
				Timothy Marc Francis
ənd	(2) Signed at		on 11/21	
		-	Most The	Arthur Thomas Jolin
and	(3) Signed at	Durham, NC	on 11/2)	,2003.
		-	Le. Jan	Yang Lei
and	(4) Signed at		оп	,2003.
				Lawrence Scott Rich
and	(5) Signed at		on	,2003.
		-		Timo Juhani Salo

Page 2 of 3

IBM DOCKET NO. RSW920030287US1

and	(6)	Signed	at	on	,2003.	
				 	Kevin Ioe	Williams

EXPRESS MAIL NO. EV333420437US

ASSIGNMENT

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(1) Timothy Marc Francis Providence of Ontario	of <u>Keswick</u> and Country of <u>Canada</u>
and	
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(Dates Inventors Signed Declarati	on) (1)	_		, 2003,	
	2003, (3)			, 2003,	
(4) November 24, 2	2003, (5)			, 2003,	and
(6), 2	2003.			_,	

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore;

Page 1 of 3

IBM DOCKET NO. RSW920030287US1

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(1)	Sign	edat		on	, 2003.
					Timothy Marc Francis
and	(2)	Signed a	at	on	,2003.
					Arthur Thomas Jolin
and	(3)	Signed a	1C	on	,2003.
					Yang Lei
and	(4) £	Signed a	t Research Triangle Pa	<u>k, VC</u> 0.1	November 74,2003. Suf 2 flawrence Scott Rich
				form	Soft 2 Lawrence Scott Rich
and	(5) \$	igned a	£	оп	,2003.
					Timo Juhani Salo

Page 2 of 3

PATENT REEL: 014746 FRAME: 0601

IBM DOC	KET NO.	RSW920	00302	287US
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ınd	(6)	Signed	at	 _ on	 ,2003.
				 	 Kevin Joe Williams

Page 3 of 3

EXPRESS MAIL NO. EV333420437US

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Page 1 of 3

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, 2003.	· · · · · · · · · · · · · · · · · · ·	on	 ned at	(1)
Timothy Marc Francis				
,2003.		on	Signed at	and
Arthur Thomas Jolin				
,2003.		on	Signed at	and
Yang Lei				
,2003.		on	 Signed at	and
Lawrence Scott Rich				
,2003.		on	Signed at	and
Timo Juhani Salo				

Page 2 of 3

PATENT REEL: 014746 FRAME: 0604

IBM DOCKET NO. RSW920030287USI

and (6) signed at Cobrado Springs, CO on November 24th, 2003.

Kevin Joe Williams

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PATENT REEL: 014746 FRAME: 0605

RECORDED: 11/24/2003