

12-05-2003

EET
ONLY

TO THE ASSISTANT COMMISSIONER

SIR: PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENT

THEREOF.

1. NAME OF CONVEYING PARTY(IES) (ASSIGNORS(S)):

1. Shigetoshi SUGAWA

3.

5.

7.

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED? ☐ YES ☒ NO

2. PARTY(IES) (ASSIGNEE(S)) RECEIVING INTEREST:

NAME: Tadahiro OHMI

ADDRESS: 1-17-301 Komegafukuro 2-Chome, Aoba-Ku, Sendai-Shi, Miyagi 980-0813 JAPAN

ADDITIONAL NAME(S) & ADDRESS(ES) ATTACHED? ☐ YES ☒ NO

3. NATURE OF CONVEYANCE (DOCUMENT):

(Submit herewith only one document for recordation—multiple copies of same Assignment signed by different inventors is one document)

☒ ASSIGNMENT OF ☒ WHOLE ☐ PART INTEREST
☒ ORIGINAL ☐ FACSIMILE/PHOTOCOPY
☐ CHANGE OF NAME ☐ VERIFIED TRANSLATION
☐ SECURITY ☐ MERGER ☐ OTHER:

EXEC. DATE: June 28, 2001

EXECUTION DATE(S) ON THE DECLARATION IF FILED HEREWITH: (NOTE: IF DATES ON DECLARATION AND ASSIGNMENT DIFFER SEE ATTY!) June 28, 2001

4.5 APPL. NO.(S) OR PAT NO.(S). OTHERS ON ADDITIONAL SHEET(S) attached? ☐ YES ☒ NO

A. PAT. APP. NO.(S) series code/serial no	M#	1 st INVENTOR if not in item 1	B. PATENT NO(S)	M#	1 st INVENTOR if not in item 1
	306947	OHMI			

5. Name & Address of Party to Whom Correspondence
Concerning Document Should be Mailed:

Pillsbury Winthrop LLP
Intellectual Property Group
P.O. Box 10500
McLean, VA 22102

6. NUMBER INVOLVED:

APPLNS 1 + PATS 0 = TOTAL = 1

7. AMOUNT OF FEE ENCLOSED: (Code 581)

ABOVE TOTAL x \$40 = \$40

5.5 ATTY DKT: Tel: (703) 905-2000

8. IF ABOVE FEE IS MISSING OR INADEQUATE CHARGE
INSUFFICIENCY TO DEPOSIT ACCOUNT NUMBER: 03-3975

P 306947	EI01006CDC-Div5	UNDER ORDER NO	40258	306947
MATTER NO.	CLIENT REF.	dup. sheet not required	CLIENT NO.	MATTER NO.

9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

10. Total number of pages including this
cover sheet, attachments and document
(do not file dup. Cover sheet)

2

Signature

Attorney: Glenn J. Perry

Reg. No. 28458

Date: November 26, 2003

Atty/Sec: gjp/jrh

TEL: (703) 905-2161

FAX: (703) 905-2500

FILE WITH PTO RETURN RECEIPT (PAT-103A)

12/14/2003 ECDOPER 00000170 033975 10721513

01 FD:8021 40.00 DA

Please return signed/recorded to:

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USA Patent Appln.
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For Inventions made outside USA
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M#

Client Ref.

NONPROVISIONAL

ASSIGNMENT
OF NONPROVISIONAL APPLICATION

NONPROVISIONAL

In consideration of the sum of Ten Dollars (\$10.00) and other good and valuable consideration paid to each of the undersigned, to wit:

INSERT NAME(S) OF INVENTOR(S)	(1) Shigetoshi SUGAWA	(2)
	(3)	(4)
	(5)	<input type="checkbox"/> x box if continued on page 2

the receipt and sufficiency of which are hereby acknowledged by the undersigned who at the request of, hereby sell(s), assign(s) and transfer(s) unto:

INSERT NAME(S) OF ASSIGNEE(S) & ADDRESS(ES)	Tadahiro OHMI 1-17-301, Komegafukuro 2-Chome, Aoba-Ku, Sendai-Shi, Miyagi 980-0813 Japan
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(hereinafter designated "ASSIGNEE") the entire right, title and interest for the United States of America as defined in 35 U.S.C. 100, in the invention and all applications including any and all divisions, continuations, substitutes, and reissues thereof; and all resulting patents, known as

TITLE OF INVENTION	FLASH MEMORY DEVICE AND A FABRICATION PROCESS THEREOF, METHOD OF FORMING A DIELECTRIC FILM
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for which the undersigned executed an application for Letters Patents of the United States of America:

NOTE → → (A) ☐ even date herewith
(Complete) (B) ☒ on June 28, 2001
line A, B and/or C) (C) ☒ in U.S. Appln. No. 09 / 867,699 filed May 31, 2001

AND the undersigned hereby authorize(s) and request(s) the United States Commissioner of Patents and Trademarks to issue said Letters Patent to the said ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives; the undersigned agree(s) that the attorney of record in said application shall hereinafter act on behalf of said ASSIGNEE;

AND the undersigned hereby agree(s) to testify and execute any papers for ASSIGNEE, its successors, assigns and legal representatives, deemed essential by ASSIGNEE to ASSIGNEE'S full protection and title in and to the invention hereby transferred.

NOTE → → The undersigned hereby authorize(s) Pillsbury Madison & Suto LLP of the above address to insert hereon any further identification necessary or desirable for recordation of this document.

INVENTORS	DATE SIGNED	WITNESSES
1): <u>Shigetoshi Sugawa</u> Name: Shigetoshi SUGAWA	June 28, 2001	<u>[Signature]</u>
2): _____ Name: _____	_____	<u>[Signature]</u>
3): _____ Name: _____	_____	_____
4) Name: _____ Name: _____	_____	_____
5) Name: _____ Name: _____	_____	_____