

FORM PTO-1595 (Modified)
(Rev. 03-01)
OMB No. 0651-0027 (exp.5/31/2002)
P08/REV03

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12-09-2003

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



102618192

11-26-03
Tab settings

To the Director of the United States Patent and Trademark Office: Please see attached original documents or copy thereof.

1. Name of conveying party(ies):
**William P. Collins;
Kazuo Saito; and
Albert P. Grasso**

Additional names(s) of conveying party(ies) Yes No

2. Name and address of receiving party(ies):

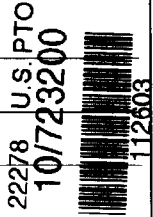
Name: **UTC Fuel Cells, LLC**

Internal Address: _____

Street Address: **195 Governor's Highway**

City: **South Windsor** State: **CT** ZIP: **06074**

Additional name(s) & address(es) attached? Yes No



3. Nature of conveyance:

Assignment Merger
 Security Agreement Change of Name
 Other _____

Execution Date: **November 24 and 25, 2003**

4. Application number(s) or patent numbers(s): **10723200**

If this document is being filed together with a new application, the execution date of the application is: **Nov. 24 & 25, 2003**

A. Patent Application No.(s)
**(C-2605) Moisture
Stabilization for a
Fuel Cell Power**

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Robert H. Kelly**

Internal Address: **UTC Fuel Cells, LLC**
Mail Stop 601-03

Street Address: **195 Governor's Highway**

City: **South Windsor** State: **CT** ZIP: **06074**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

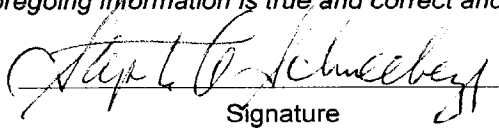
Enclosed - Any excess or insufficiency should be credited or debited to deposit account
 Authorized to be charged to deposit account

8. Deposit account number:
50-1307 (Order No. C-2605)

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Stephen A. Schneeberger  **November 26, 2003**

Name of Person Signing Signature Date

Total number of pages including cover sheet, attachments, and document: **5**

Mail documents to be recorded with required cover sheet information to:
Mail Stop Assignment Recordation Services

Director of the United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450

12/08/2003 6TON11 00000066 501307 10723200

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PATENT
REEL: 014752 FRAME: 0161

ASSIGNMENT

WHEREAS, We, William P. Collins of South Windsor, Connecticut, Kazuo Saito of Glastonbury, Connecticut, and Albert P. Grasso of Vernon, Connecticut, have invented certain improvements in "Moisture Stabilization for a Fuel Cell Power Plant System" identified as Attorney Docket No. C-2605, and described in a patent application executed by the undersigned on the date(s) set after the signature(s) hereto, being owner(s) of all right, title and interest in and to said application and in and to any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, UTC FUEL CELLS, LLC, of South Windsor, Connecticut, a limited liability company of the State of Delaware (assignee) is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned does (do) hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agree(s), without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned has(have) hereunto set his(their) hand(s) and seal(s) on the date after his(their) signature(s).

(L.S.) 

 WILLIAM P. COLLINS

24 November, 2003

(L.S.) Kazuo Saito
KAZUO SAITO

November 24, 2003

(L.S.) Albert P. Grasso
ALBERT P. GRASSO

Nov 25, 2003

State of Connecticut) ss: South Windsor
County of Hartford)

On this 24th day of November, 2003 before me personally appeared WILLIAM P. COLLINS to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his(their) free act and deed.

Darlene S. Sutton
Notary Public **DARLENE S. SUTTON**
NOTARY PUBLIC

My Commission Expires May 31, 2007

S E A L

State of Connecticut) ss: South Windsor
County of Hartford)

On this 24th day of November, 2003 before me personally appeared KAZUO SAITO to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his(their) free act and deed.

Darlene S. Sutton
Notary Public **DARLENE S. SUTTON**
NOTARY PUBLIC

My Commission Expires May 31, 2007

S E A L

State of Connecticut)
County of Hartford) ss: South Windsor

On this 25th day of November, 2003 before me personally appeared ALBERT P. GRASSO to me personally known, and known to me to be the person(s) who signed the foregoing assignment, and acknowledged the signing of same as his(their) free act and deed.

Darlene S. Sutton
Notary Public **DARLENE S. SUTTON**
NOTARY PUBLIC

S E A L

My Commission Expires ~~My Commission Expires~~ May 31, 2007

C:\M. D.\Appl\Assignment\C-2605