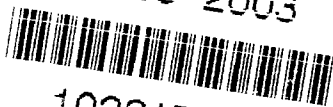


Form PTO-1595
(Rev. 10/02)
OMB No. 065-0027 (exp. 6/30/2005)

RE

12-09-2003



102617877

ET

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office
Docket No. 7123 (NU23058)

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please Return attached original documents or copy thereof.

1. Name of conveying party(ies):

Richard B. Silverman
Yue Pan

12.1.03

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: November 11, 2003 for both inventors

2. Name and address of receiving party(ies)

Name: Northwestern University

Internal Address: 633 Clark Street

Evanston, IL 60208

Street Address: 633 Clark Street

City: Evanston State: WI Zip: 60208

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/623,152

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Antonia M. Holland

Internal Address: Reinhart Boerner Van Deuren s.c.

1000 North Water Street, Suite 2100

Milwaukee, WI 53202

Street Address: 1000 North Water Street

Suite 2100

City: Milwaukee State: WI Zip: 53202

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

18-0882

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Antonia M. Holland

Name of Person Signing

Antonia M. Holland

Signature

December 1, 2003

Date

Total number of pages including cover sheet, attachments, and documents:

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Mail Stop Assignments
P.O. Box 1450, Alexandria, VA 22313-1450.

MW\1039142AMH:EFB 12/01/03

PATENT
REEL: 014756 FRAME: 0728

PATENT

Attorney Docket No. 7123

For: ☒ U.S. and/or ☒ Foreign Rights

For: ☒ U.S. Application or ☐ U.S. Patent

By: ☒ Inventor(s) or ☐ Present Owner

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

(inventor(s) or

person(s) or entity(ies)
who own the invention)

Richard B. Silverman

(Type or print name(s) of ASSIGNOR(S))

4106 Rutgers Lane

(Address)

Northbrook, IL 60062

US

(Nationality)

ASSIGNOR:

(inventor(s) or

person(s) or entity(ies)
who own the invention)

Yue Pan

(Type or print name(s) of ASSIGNOR(S))

1025 Garnett Place

(Address)

Evanston, IL 60201

China

(Nationality)

ASSIGNOR:

(inventor(s) or

person(s) or entity(ies)
who own the invention)

(Type or print name(s) of ASSIGNOR(S))

(Address)

(Nationality)

*(If assignment is by person or entity to whom invention was previously assigned
and this was recorded in PTO add the following)*

Recorded on _____

Reel _____

Frame _____

hereby sells, assigns and transfers to

ASSIGNEE:

Northwestern University
(Type or print name of ASSIGNEE)

633 Clark Street
(Address)

Evanston, IL 60208

United States
(Nationality)

and the successors, assigns and legal representatives of the ASSIGNEE
(complete one of the following)

☒ the entire right, title and interest

☐ an undivided _____ percent (_____%) interest
for the United States and its territorial possessions

(check the following box if foreign rights are also to be assigned)

☒ and in all foreign countries, including all rights to claim priority,
in and to any and all improvements which are disclosed in the invention entitled:

COMPOUNDS AND RELATED METHODS FOR
INHIBITION OF -AMINOBUTYRIC ACID AMINOTRANSFERASE
(check and complete (a), (b), (c) or (d))

and which is found in

(a) ☐ U.S. patent application executed on even date herewith

(b) ☐ U.S. patent application executed on _____

☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an
ASSIGNOR signing below, hereby authorize and request my attorney, as
named in the Declaration and Power of Attorney I executed for this
invention on the execution date stated above, to insert below the
filing date and application number when it becomes known.

(c) ☒ U.S. application serial no. 10/623,152 filed on July 18, 2003

(d) ☐ U.S. patent no. _____ issued _____

☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (e) if foreign application(s) is also being assigned)

(e) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof

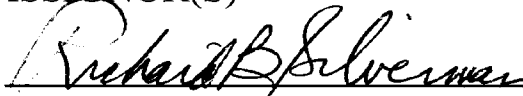

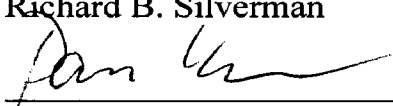
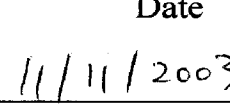
ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand the date indicated below.

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Signature of ASSIGNOR(S)

	
Richard B. Silverman	Date
	
Yue Pan	Date

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.