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1. Name of conveying party(ies):

Koichi SHIMOKAWA  
Kota HARA

Additional name/s of conveying party/ies attached? ☐

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Assignment ☐ Change of Name  
☐ Other

Execution Date: October 6, 2003

2. Name and address of receiving party(ies):

Name: Hoya Corporation  
Internal Address:  
Street Address: 7-5, Naka-Ochiai 2-chome, Shinjuku-ku  
City: Tokyo  
State/Country: Japan  
Zip: 161-0032

Additional name/s & address/es attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

October 6, 2003

A. Patent Application No(s).

- (1) 10/653,429  
(2)  
(3)

B. Patent No(s).

- (1)  
(2)  
(3)

Additional numbers attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Arthur R. Crawford  
Internal Address:  
Street Address: Nixon & Vanderhye P.C.  
1100 North Glebe Road  
8<sup>th</sup> Floor  
City: Arlington State: VA Zip: 22201

6. Total number of applications & patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00  
☒ Enclosed  
☐ Authorized to be charged to deposit account #14-1140

8. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our Account No. 14-1140.

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9. Statements and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Arthur R. Crawford  
Name of Person Signing  
Reg. No. 25,327

Signature

December 4, 2003  
Date

Total number of pages including original cover sheet, attachments, and document: [2]

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PATENT  
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**ASSIGNMENT OF U.S. PATENT RIGHTS**

(Inventors) Koichi SHIMOKAWA  
Kota HARA

(Assignee) HOYA CORPORATION  
 (Address) 7-5, Naka-Ochiai 2-chome, Shinjuku-ku, Tokyo 161-0032 Japan  
 (hereinafter designated as the Assignee) the undersigned's entire right, title and interest for the United States, its territories, dependencies and possessions in the invention, and all applications for patent and any Letters Patent which may be granted therefore, known as

(Title) Magnetic recording disk and process for manufacture thereof  
 for which the undersigned has (have) executed on even date herewith an application for patent in the United States of America or, if not on even date, then has executed on \_\_\_\_\_ or has already filed U.S. application Serial No. 10/653,429 on September 3, 2003.  
 The undersigned acknowledges an obligation of assignment of this invention to said assignee at the time the invention was made.

The undersigned agree(s) to execute all papers and documents necessary in connection with the application or any interference which may be declared and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient and further to perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patent of the United States resulting from said application or any division or divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the firm of NIXON & VANDERHYE P.C. the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned signature(s).

Date	<u>October 6, 2003</u>	Signature of inventor	<u>Koichi Shimokawa</u>
Date	<u>October 6, 2003</u>	Signature of inventor	<u>Kota Hara</u>
Date	_____	Signature of inventor	_____
Date	_____	Signature of inventor	_____
Date	_____	Signature of inventor	_____

Witnessed by:	<u>Hiroshi Tomiyasu</u>	Date	<u>6 Oct. '03</u>
Witnessed by:	<u>Yonetaka Yokoyama</u>	Date	<u>October 7, 2003</u>