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DOCKET: ARC920030097US1

OMB No. 0651-0027 (exp. 5/31/2002)

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Sandeep Madhav Uttamchandani  
Kaladhar Voruganti  
Sudarshan M. Srinivasan  
David Allan Pease  
Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies)  
Name: International Business Machines Corporation  
Internal Address: \_\_\_\_\_  
\_\_\_\_\_  
Street Address: New Orchard Road  
\_\_\_\_\_  
City: Armonk State: NY Zip: 10504  
Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_  
Execution Date: 04/14/04, 04/12/04, 04/19/04, 04/22/04


4. Application number(s) or patent number(s):  
If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_  
A. Patent Application No.(s) 10/737,519  
Filed: December 15, 2003  
Additional numbers attached?  Yes  No

B. Patent No.(s) \_\_\_\_\_  
\_\_\_\_\_

5. Name and address of party to whom correspondence concerning document should be mailed:  
Name: IBM Corporation  
Internal Address: \_\_\_\_\_  
Intellectual Property Law (C4TA/J2B)  
\_\_\_\_\_  
Street Address: 650 Harry Road  
\_\_\_\_\_  
City: San Jose State: CA Zip: 95120-6099

6. Total number of applications and patents involved:   
7. Total fee (37 CFR 3.41).....\$ \_\_\_\_\_  
 Enclosed  
 Authorized to be charged to deposit account  
8. Deposit account number:  
09-0441

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9. Signature.  
Mark C. McCabe Reg. No. 53,291  
Name of Person Signing  
 Signature  
6/24/04 Date  
Total number of pages including cover sheet, attachments, and documents:

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Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

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Docket ARC920030097US1

# ASSIGNMENT

Whereas, we,

(1) **Sandeep Madhav Uttamchandani**  
City of San Jose  
County of Santa Clara  
State of California

(2) **Kaladhar Voruganti**  
City of San Jose  
County of Santa Clara  
State of California

(3) **Sudarshan M. Srinivasan**  
City of Champaign  
County of Champaign  
State of Illinois

(4) **David Allan Pease**  
City of Redwood Estates  
County of Santa Clara  
State of California

have invented certain improvements in (Title of Invention):

## A SYSTEM AND METHOD FOR PROVIDING AUTONOMIC MANAGEMENT OF A NETWORKED SYSTEM USING AN ACTION-CENTRIC APPROACH

and executed a Declaration and Power of Attorney for a United States patent application therefor on

(1) 04/14/04

(2) 04/12/04

(3) \_\_\_\_\_

(4) 04/22/04

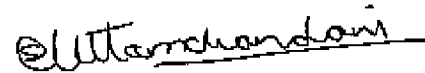
Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504 (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

### Execution by Inventors

(1) Inventor: **Sandeep Madhav Uttamchandani**

City/State: **SAN JOSE/CA**

Signature 

Date 04/14/04

Page 2 of 2

Docket ARC920030097US1

(2) Inventor: **Kaladhar Voruganti**

City/State: San Jose, CA

Signature Kaladhar Voruganti

Date 04/12/04

(3) Inventor: **Sudarshan M. Srinivasan**

City/State:

Signature \_\_\_\_\_

Date \_\_\_\_\_

(4) Inventor: **David Allan Pease**

City/State: SAN JOSE, CA

Signature David Allan Pease

Date 4-22-03

# ASSIGNMENT

Whereas, we,

(1) **Sandeep Madhav Uttamchandani**  
City of San Jose  
County of Santa Clara  
State of California

(2) **Kaladhar Voruganti**  
City of San Jose  
County of Santa Clara  
State of California

(3) **Sudarshan M. Srinivasan**  
City of Champaign  
County of Champaign  
State of Illinois

(4) **David Allan Pease**  
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(1) \_\_\_\_\_

(2) \_\_\_\_\_

(3) Apr 19, 2004 \_\_\_\_\_

(4) \_\_\_\_\_

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Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

### Execution by Inventors

(1) Inventor: **Sandeep Madhav Uttamchandani**

City/State: \_\_\_\_\_

Signature \_\_\_\_\_

Date \_\_\_\_\_

Page 2 of 2

Docket ARC920030097US1

(2) Inventor: **Kaladhar Voruganti**

City/State:

Signature \_\_\_\_\_

Date \_\_\_\_\_

(3) Inventor: **Sudarshan M. Srinivasan**

City/State: *CHAMPAIGN, IL*

Signature 

Date *Apr 19, 2004*

(4) Inventor: **David Allan Pease**

City/State:

Signature \_\_\_\_\_

Date \_\_\_\_\_