		U.S. DEPT. OF COMMERC
1-31-92	12-12-2003	Patent & Trademark Offic
To the Honorable Commissioner of Patents and Tradem		cuments or copy thereof.
<pre>1. Name of conveying party(ies):</pre>	10000	receiving party(ies):
Richard L. DUESTERBERG	Name: JDS UNIPHASE	CORPORATION O
Edmund L. WOLAK	Address: 1768 AUTOMATI	ON PARKWAY
Marc K. VON GUNTEN	SAN JOSE, CA	95131
Additional name(s) of conveying party(ies) attached (X)Yes ()No \\\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \	000 27.70
3. Nature of Conveyance:	V == -	223
(X) Assignment	() Merger	
() Security Agree		10
() Other	() Shange of Han	
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		O 1 / O J
Additional name(s) and address(s) attached? ()Yes	(X) No	
4. Application number(s) or patent numb	per(s):	
If this document is being filed together with a new	ew application, the execution date of the	application is: $\frac{11/18/03,11/21/03}{12/001/03,12/03/03}$
A. Patent application No.(s)	B. Patent No.(s)	
	onal numbers attached? ()Yes (X)No	
	• -	
5. Name and address of party to whom correspondence concerning document	onal numbers attached? ()Yes (X)No 6. Total number of and patents in	
5. Name and address of party to whom correspondence concerning document should be mailed:	onal numbers attached? ()Yes (X)No 6. Total number of and patents in	CFR 3.41)\$ 40.00
5. Name and address of party to whom correspondence concerning document should be mailed: Name: Charles E. Wands, Esq. Internal Address: Allen, Dyer,	6. Total number of and patents in 7. Total fee (37	CFR 3.41)\$ 40.00
5. Name and address of party to whom correspondence concerning document should be mailed: Name:Charles E. Wands, Esq.	6. Total number of and patents in 7. Total fee (37 [] Enclose P.A. [X] Author Deposit accessing a content of the content o	CFR 3.41)\$ 40.00 ed ized to be charged to
5. Name and address of party to whom correspondence concerning document should be mailed: Name: Charles E. Wands, Esq. Internal Address: Allen, Dyer, Doppelt, Milbrath & Gilchrist, F	6. Total number of and patents in 7. Total fee (37 [] Enclose P.A. [X] Author Deposit accords	cFR 3.41)\$ 40.00 ed ized to be charged to count.
5. Name and address of party to whom correspondence concerning document should be mailed: Name: Charles E. Wands, Esq. Internal Address: Allen, Dyer, Doppelt, Milbrath & Gilchrist, F Street Address: 1901 S. Harbor City E Suite 507	6. Total number of and patents in 7. Total fee (37 [] Enclose P.A. [X] Author Deposit accounts.	CFR 3.41)\$ 40.00 ed ized to be charged to count. at Number:
5. Name and address of party to whom correspondence concerning document should be mailed: Name: Charles E. Wands, Esq. Internal Address: Allen, Dyer, Doppelt, Milbrath & Gilchrist, F Street Address: 1901 S. Harbor City E Suite 507 City: Melbourne	7. Total fee (37 [] Enclose P.A. [X] Author Deposit accour 8. Deposit Accour	CFR 3.41)\$ 40.00 ed ized to be charged to count. at Number:
5. Name and address of party to whom correspondence concerning document should be mailed: Name:Charles E. Wands, Esq. Internal Address:Allen, Dyer, Doppelt, Milbrath & Gilchrist, F Street Address:1901 S. Harbor City E Suite 507	7. Total fee (37 [] Enclose P.A. [X] Author Deposit accour 50-146 If any additional exten	cFR 3.41)\$ 40.00 ed ized to be charged to count.
5. Name and address of party to whom correspondence concerning document should be mailed: Name: Charles E. Wands, Esq. Internal Address: Allen, Dyer, Doppelt, Milbrath & Gilchrist, F Street Address: 1901 S. Harbor City E Suite 507 City: Melbourne State: Florida Zip: 32901	6. Total number of and patents in 7. Total fee (37 [] Enclose P.A. [X] Author. Deposit accounts 8. Deposit Accounts 50-146. If any additional extension, if any additional for and patents in 1. Total fee (37) [X] Author. Deposit accounts 50-146.	CFR 3.41)\$ 40.00 ed ized to be charged to count. at Number: 5 sion and/or fee is required,
5. Name and address of party to whom correspondence concerning document should be mailed: Name:Charles E. Wands, Esq. Internal Address:Allen, Dyer,	7. Total fee (37 [] Enclose P.A. [X] Author Deposit accour 50-146 If any additional exten	CFR 3.41)\$ 40.00 ed ized to be charged to count. at Number: 5 sion and/or fee is required,
5. Name and address of party to whom correspondence concerning document should be mailed: Name: Charles E. Wands, Esq. Internal Address: Allen, Dyer, Doppelt, Milbrath & Gilchrist, F Street Address: 1901 S. Harbor City E Suite 507 City: Melbourne State: Florida Zip: 32901 DO 12/11/2003 BYRNE 00000192 501465 10727735	6. Total number of and patents in 7. Total fee (37 [] Enclose P.A. [X] Author. Deposit accounts 8. Deposit Accounts 50-146. If any additional extension, if any additional for and patents in 1. Total fee (37) [X] Author. Deposit accounts 50-146.	CFR 3.41)\$ 40.00 ed ized to be charged to count. at Number: 5 sion and/or fee is required,
5. Name and address of party to whom correspondence concerning document should be mailed: Name: Charles E. Wands, Esq. Internal Address: Allen, Dyer, Doppelt, Milbrath & Gilchrist, F. Street Address: 1901 S. Harbor City F. Suite 507 City: Melbourne State: Florida Zip: 32901 12/11/2003 BYRNE 00000192 501465 10727735	6. Total number of and patents in 7. Total fee (37 [] Enclose P.A. [X] Author. Deposit accounts 8. Deposit Accounts 50-146. If any additional extension, if any additional for and patents in 1. Total fee (37) [X] Author. Deposit accounts 50-146.	CFR 3.41)\$ 40.00 ed ized to be charged to count. at Number: 5 sion and/or fee is required,
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5. Name and address of party to whom correspondence concerning document should be mailed: Name: Charles E. Wands, Esq. Internal Address: Allen, Dyer, Doppelt, Milbrath & Gilchrist, F. Street Address: 1901 S. Harbor City F. Suite 507 City: Melbourne State: Florida Zip: 32901 12/11/2003 BYTNE 00000192 501465 19727735 01 FC:8021 40.00 M. 9. Statement and signature. To the best of my knowledge and correct and any attached copy in Charles E. Wands	6. Total number of and patents in 7. Total fee (37 [] Enclose P.A. [X] Author. Deposit accounts 8. Deposit Accounts 50-146. If any additional extentor, if any additional forms and additional forms at the copy of the ori	CFR 3.41)\$ 40.00 ed ized to be charged to count. It Number: sion and/or fee is required, see for claims is required. information is true an ginal document. December 4, 200
5. Name and address of party to whom correspondence concerning document should be mailed: Name: Charles E. Wands, Esq. Internal Address: Allen, Dyer, Doppelt, Milbrath & Gilchrist, F. Street Address: 1901 S. Harbor City F. Suite 507 City: Melbourne State: Florida Zip: 32901 DO 12/11/2003 BYTNE 00000192 501465 10727735 O1 FC:0021 40.00 M. 9. Statement and signature. To the best of my knowledge and correct and any attached copy in	6. Total number of and patents in 7. Total fee (37 [] Enclose P.A. [X] Author Deposit accounts 8. Deposit Accounts 50-146 If any additional extension, if any additional forms or, if any additional forms of the foregoing	cFR 3.41)\$ 40.00 ed ized to be charged to count. Int Number: sion and/or fee is required, ee for claims is required. information is true an ginal document.

1-,31-92

PATENTS ONLY

Patent & Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

ADDITIONAL NAMES OF CONVEYING PARTIES:

1. Nina MOROZOVA
Donald C. HARGREAVES
Prasad YALAMANCHILI
Hilary CLARKE
Jay A. SKIDMORE
Lei XU
Christopher L. HART
William BARDY
Jeffrey ZACK
Kuochou TAI

File No: P1673 US

ASSIGNMENT OF INVENTION UNIVERSAL

WHEREAS, we Richard L. Duesterberg, Edmund L. Wolak, Marc K. Von Gunten, Nina Morozova, citizens of the United States, Donald C. Hargreaves, a citizen of Canada, Prasad Yalamanchili, a citizen of India, Hilary Clarke, a citizen of Canada, Jay A. Skidmore, a citizen of the United States, Lei Xu, a citizen of China, Christopher L. Hart, William Bardy, Jeffrey Zack and Kuochou Tai, citizens of the United States whose full post office addresses are 2420 Our Lane, Mountain View, California, 94040, USA; 660 Alger Drive, Palo Alto, California, 94306-3605, USA; 691 Los Ninos Way, Los Altos, California, 94022, USA; 3777 Chilton Ct., San Jose, California, 95111, USA; 1292 Clayton Road, Sidney, British Columbia, V8L 5V3, Canada; 2233 Lenox Place, Santa Clara, California, 95054, USA; 846 Fascination Place, Milpitas, California, 95035, USA; #118, 460 Navaro Way, San Jose, California, 95134, USA; 395 Montecito Way, Milpitas, California, 95035, USA; 718 Wyeth St., West Melbourne, Florida, 32904, USA; 2720 School Dr. NE, Palm Bay, Florida, 32905, USA; 2625 Pineapple Ave., Melbourne, Florida, 32935, USA; and 2925 Middlefield Avenue, Fremont, California, 94539, USA, respectively, have made an invention as described in the patent application entitled

OPTICAL FIBER PIGTAIL ASSEMBLY

filed herewith.

]	I/we hereby authorize my/our attorney to insert here in parentheses					
(Application Nu	nber, filed),	the	filing	date	and
application numb	er of said application, when known.					

WHEREAS, JDS Uniphase Corporation (hereinafter referred to as the assignee), whose full post office address is 1768 Automation Parkway, San Jose, California, 95131, USA is desirous of acquiring the entire right, title and interest in and to said invention or inventions and in and to any and all patents to be obtained therefor;

NOW, THEREFORE, To All Whom It May Concern, be it known that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we have and by these presents do hereby sell, assign and transfer unto said assignee, its successors and assignees, the

1

entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application, and in and to any applications filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents, reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions, and any divisional, continuation, substitute application(s) or supplementary disclosure(s) which may be filed upon said invention or inventions, in any country; and we hereby authorize and request the issuing authority to issue any and all patents on said application or applications to said assignee.

I/We hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such wilful false statements may jeopardize the validity of the application or any patent issued thereon.

Exe	ecuted this 187 day o	of7	Nov.	,2003
at	San Jose,	CA	•	

Richard L. Duesterberg

I, Sarah Hickey, whose full post office address is P.O. Box 1048

Santa Clara, CA 95052 say:

That I was personally present and did see Richard L. Duesterberg, who is personally known to

me, duly sign and execute the above Assignment.

Signature of Witness

Executed this 18 day of November ,2003.
at San Jose, CA Edmund L. Wolak
I, Sarah Hickey, whose full post office address is P.O. Box 1048 Santa Clara, CA 9505, 2
That I was personally present and did see Edmund L. Wolak, who is personally known to me,
duly sign and execute the above Assignment. Signature of Witness
Executed this day of,2003.
at
Marc K. Von Gunten
Declaration by Witness
I,, whose full post office address is
say:
That I was personally present and did see Marc K. Von Gunten, who is personally known to me,
duly sign and execute the above Assignment.
Signature of Witness

Execute	ed this day of
at	·
	Edmund L. Wolak
	Declaration by Witness
	I,, whose full post office address is
say:	
	That I was personally present and did see Edmund L. Wolak, who is personally known to me,
	and execute the above Assignment.
	Signature of Witness
Execute	ed this Z/ST day of November, 2003.
at	ed this 21 st day of November, 2003.
	Marc K. Von Gunten
	Mapt K. Von Gunten
	Declaration by Witness
	I, Gaudine KROLL, whose full post office address is 5418 Old Redwood Hu
	1, Character (COV), whose full post office address is 5 4 to 110 1000 100 100 1000 1000 1000 1000
say:	2000 (11) (11)
	That I was personally present and did see Marc K. Von Gunten, who is personally known to me,
	n and execute the above Assignment.
	Tandite deall
	Signature of Witness

Executed this 20 day of November.2003.
at Sarasota, FL
home
Nina Morozova
Declaration by Witness
I. Valentin Morozal. whose full post office address is 5860 61 zon 9 Pl Sourasota FL 3423?
say: Sourasota Fls 3423?
That I was personally present and did see Nina Morozova, who is personally known to me. duly
sign and execute the above Assignment.
Morum
Signature of Witness
Executed this day of2003.
at
Donald C. Hargreaves
Declaration by Witness
I, whose full post office address is
say:
That I was personally present and did see Donald C. Hargreaves, who is personally known to
me, duly sign and execute the above Assignment.
C'and CW'
Signature of Witness

Executed this day of	,2003.
at	•
	Nina Morozova
	Declaration by Witness
I,	, whose full post office address is
say:	•
That I was personally presen	and did see Nina Morozova, who is personally known to me, duly
sign and execute the above Assignment	
	Signature of Witness
Executed this <u>B</u> day of <u>Nov</u> at <u>SAN</u> JOSE	Donald C. Hargreaves
	Declaration by Witness
I, Sarah Hickey say:	, whose full post office address is P.O. Box 1048 Sinta Clara, 64 9505
That I was personally presen	t and did see Donald C. Hargreaves, who is personally known to
me, duly sign and execute the above	

Executed this 18 day of November, 2003. at 1768 Automation Partney san Jose Prasad Yalamanchili
Declaration by Witness
I, Sarah Hickey, whose full post office address is P.O. Box 1048 Santa Clara, CA 95057
That I was personally present and did see Prasad Yalamanchili, who is personally known to me,
duly sign and execute the above Assignment. Signature of Witness
Executed this 18 day of November ,2003.
at 1768 Automation Pkny. Hilary Clarke
Declaration by Witness
I, Sarah Hickey, whose full post office address is f.d. Box 1048
I, Sarah Hickey, whose full post office address is f.d. Box 1048 Santa Clara, CA 950: 2
That I was personally present and did see Hilary Clarke, who is personally known to me, duly

sign and execute the above Assignment.

Signature of Witness

Executed this 18 day of Nov. ,2003. at 1768 Autumora Pork way SSCA Jay A. Skidmore
I, Sarah Hickey, whose full post office address is P.O. Box 1048 Santa Clara, CA 950.52
That I was personally present and did see Jay A. Skidmore, who is personally known to me, duly sign and execute the above Assignment. Signature of Witness
Executed this 18th day of Nov. ,2003. at 1768 Automation Parkery. San Jose CA Lei Xu
I, Sarah Hickey, whose full post office address is P.O. Box 1048 Santa Clara, CA 9575.
That I was personally present and did see Lei Xu, who is personally known to me, duly sign and execute the above Assignment. Signature of Witness

Executed this 20th day of November ,2003.
Executed this 20th day of November
Declaration by Witness
I, Alan McCleary, whose full post office address is 380 Norwood Ave Satellite Beach, FL 325,37
That I was personally present and did see Christopher L. Hart, who is personally known to me,
duly sign and execute the above Assignment. Signature of Witness
Executed this day of,2003.
at
William Bardy
Declaration by Witness
I,, whose full post office address is
say:
That I was personally present and did see William Bardy, who is personally known to me, duly sign and execute the above Assignment.
Signature of Witness

Executed this	s day of	,2003.	
at			
			Christopher L. Hart
		Declaration by	y Witness
1,		, whose full p	ost office address is
say:			
	I was personally present it is a personally present it is above As		stopher L. Hart, who is personally known to me,
		Signati	ure of Witness
		_	
	s <u>01</u> day of <u>Decel</u>		
at <u>MEL</u>	BOURNE, FL		William Bardy
		Declaration by	y Witness
I,(say:	Chris L. Hort	, whose full p	ost office address is 718 Wyoth St. W. Melbaune, 1. 32904
That	I was personally pres	ent and did see Will	iam Bardy, who is personally known to me, duly
sign and exe	cute the above Assigni	ment.	hin (Are)

Executed this	21 day of November, 2003.
at <u>M e 1</u>	21 day of November, 2003. bourne, FL
	Jeffrey Zack
	Declaration by Witness
I,	CAN SMITH, whose full post office address is 2647 Shool D-NE Palm Bay, FC 32905
say:	Palm Bay, FL 32903
	I was personally present and did see Jeffrey Zack, who is personally known to me, duly
sign and execu	ute the above Assignment. Signature of Witness
Executed this	day of,2003.
at	
	Kuochou Tai
	Declaration by Witness
Ι,	, whose full post office address is
say:	
That I	I was personally present and did see Kuochou Tai, who is personally known to me, duly
sign and execu	ute the above Assignment.
	Signature of Witness
	Signature of withess

Executed this	day of	,2003.	
at		·	
			Jeffrey Zack
		Declaration by	Witness
I,		, whose full po	ost office address is
say:			
	ras personally present the above Assignment	nt.	Frey Zack, who is personally known to me, duly
		Signati	ic of whites
Executed this 16 at 1768 1	8 day of Nov Automation se, CA	,2003. <u>Par</u> kway	Knoch on Tai
	,	Declaration by	Witness
I, <u>Safc</u> say:	ih Hickey	, whose full pe	Santa Clara, CA 95052
That I w	as personally presen	t and did see Kuo	chou Tai, who is personally known to me, duly
sign and execute	the above Assignme	Se	and Toky are of Witness

RECORDED: 12/04/2003