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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- a. Bender, Theodore M.
b. DuBois, Brian R.
c. Pomeroy, Dan M.

12/11/03

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 12/10/2003

2. Name and address of receiving party(ies)

Name: Cardica, Inc.

Internal Address: _____

Street Address: 900 Saginaw Drive

City: Redwood City State: CA Zip: 94063

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 10/659,057

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Brian A. Schar

Internal Address: Cardica, Inc.

Street Address: 900 Saginaw Drive

City: Redwood City State: CA Zip: 94063

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number:

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9. Signature.

Brian A. Schar, Esq., Reg. No. 45,076
Name of Person Signing

Signature

12/11/2003

Date

Total number of pages including cover sheet, attachments, and documents: ☐Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231PATENT
REEL: 014790 FRAME: 0727

1. Name of conveying parties (continued):

d. Chamness, Scott O.

e. Donohoe, Brendan M.

ASSIGNMENT

For good and valuable consideration, receipt of which is hereby acknowledged, we

Theodore M. Bender	of	San Francisco, California
Brian R. DuBois	of	Redwood City, California
Dan M. Pomeroy	of	Menlo Park, California
Scott O. Chamness	of	Menlo Park, California
Brendan M. Donohoe	of	San Francisco, California

hereby sell, assign and transfer to Cardica, Inc., a Delaware corporation, having a place of business at 900 Saginaw Drive, Redwood City, CA 94063, its successors and assigns, the entire right, title and interest throughout the world in our invention in

Tool for Creating an Opening in Tissue

for which a United States Patent Application serial no. 10/659,057 was filed on September 9, 2003, and all patent applications and patents of every country for said invention, including divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said applications; we authorize the above-named assignee to apply for patents of foreign countries for said invention, and to claim all rights of priority without further authorization from us; we agree to execute all papers useful in connection with said United States and foreign applications, and generally to do everything possible to aid said assignee, their successors, assigns and nominees, at their request and expense, in obtaining and enforcing patents for said invention in all countries; and we request that the United States Patent and Trademark Office issue all patents granted for said invention to the above-named assignee, its successors and assigns.



Theodore M. Bender

12-9-03

Date



Brian R. DuBois

12-10-03

Date



Dan M. Pomeroy

12-10-03

Date



Scott O. Chamness

12-9-03

Date



Brendan M. Donohoe

12.7.03

Date