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12-1783  
FORM PTO-1595  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)

102629322  
PATENTS ONLY

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Patent and Trademark Office

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Nicholas G. Bacopoulos  
Judy H. Chiao  
Thomas A. Miller  
Carolyn M. Paradise  
Victoria M. Richon

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name:  
Aton Pharma, Inc.  
777 Old Saw Mill River Road  
Tarrytown, NY 10591

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other Change of address of the Assignee

Execution Dates: Nicholas G. Bacopoulos, December 12, 2003  
Judy H. Chiao, December 12, 2003  
Thomas A. Miller, December 11, 2003  
Carolyn M. Paradise, December 12, 2003  
Victoria M. Richon, December 12, 2003

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is \_\_\_\_\_

A. Patent Application No(s):

10/650,025

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

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5. Name and address of party to whom correspondence  
Concerning document should be mailed:

Name: Ivor R. Elrifi, Esq.  
Address: MINTZ, LEVIN, COHN, FERRIS, et al.  
Customer Number: 35437  
666 Third Avenue-24<sup>th</sup> Floor  
New York, New York 10017  
Telephone: (212) 935-3000  
Telefax: (212) 983-3115

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$40.00

- ☒ Enclosed  
☒ The Commissioner is authorized to charge Deposit Account No: 50-0311,  
Reference No. 24852-501 CIP3 for any deficiencies.

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Flora W. Feng, Reg. No. 51,673

Name of Person Signing

Signature

December 16, 2003

Date

Total number of pages including cover sheet, attachments, and document: (6)

Mail documents to be recorded with required cover sheet information to:

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PATENT  
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## ASSIGNMENT

I, **Nicholas G. BACOPOULOS, Judy H. CHIAO, Thomas A. MILLER, Carolyn M. PARADISE and Victoria M. RICHON**, the undersigned, for good and valuable consideration, the receipt of which is hereby acknowledged, hereby assign, sell and transfer to **Aton Pharma, Inc.**, with offices at **777 Old Saw Mill River Road, Tarrytown, New York 10591** and its successors, assigns and legal representatives, all hereinafter referred to as the ASSIGNEE: (1) my entire right, title and interest for the United States and in all countries, in and to any and all inventions, discoveries and applications which are disclosed in the application for United States Letters Patent entitled:

### METHODS OF TREATING CANCER WITH HDAC INHIBITORS

filed with the U.S. Patent and Trademark Office on **August 26, 2003** and assigned Serial No.: **10/650,025**, including any renewals, revivals, reissues, reexaminations, extensions, continuations and divisions thereof and any substitute applications therefor; (2) the full and complete right to file patent applications in the name of the ASSIGNEE, its designee, or in my/our names at the ASSIGNEE, or its designee's election, on the aforesaid inventions, discoveries and applications in all countries of the world; (3) the entire right, title and interest in and to any Letters Patent which may issue thereon in the United States or in any country, and any renewals, revivals, reissues, reexaminations and extensions thereof, and any patents of confirmation, registration and importation of the same; and (4) the entire right, title and interest in all Convention and Treaty Rights of all kinds thereon, including without limitation all rights of priority in any country of the world, in and to the above inventions, discoveries and applications.

I hereby authorize and request the competent authorities to grant and to issue any and all such Letters Patent in the United States and throughout the world to the ASSIGNEE of the entire right, title and interest therein, as fully and entirely as the same would have been held and enjoyed by me had this assignment, sale and transfer not been made.

I agree, at any time, upon the request of the ASSIGNEE, to execute and to deliver to the ASSIGNEE any additional applications for patents for said inventions and discoveries, or any part or parts thereof, and any applications for patents of confirmation, registration and importation based on any Letters Patent issuing on said inventions, discoveries, or applications and divisions, continuations, renewals, revivals, reissues, reexaminations and extensions thereof.

I further agree at any time to execute and to deliver upon request of the ASSIGNEE such additional documents, if any, as are necessary or desirable to secure patent protection on said inventions, discoveries and applications throughout all countries of the world, and otherwise to do the necessary to give full effect to and to perfect the rights of the ASSIGNEE under this Assignment, including the execution, delivery and procurement of any and all further documents evidencing this assignment, transfer and sale as may be necessary or desirable.

I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

I further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

Date: 12 Dec 03

N. G. Bacopoulos  
Signature of: Nicholas G. Bacopoulos

State of NEW YORK

Country of WESTCHESTER

Subscribed and sworn to before me this 12 day of December 2003

**ESTHER N. HENDRICKSON**  
Notary Public, State of New York  
No. 01-HE6082968  
Qualified in Westchester County  
Commission Expires November 4, 2006

Esther N. Hendrickson  
Notary Public

My commission expires Nov 4, 2006

Date: 12 Dec 03

Judy H. Chiao  
Signature of: Judy H. Chiao

State of NEW YORK

Country of WESTCHESTER

Subscribed and sworn to before me this 12 day of December, 2003.

**ESTHER N. HENDRICKSON**  
Notary Public, State of New York  
No. 01-HE6082968  
Qualified in Westchester County  
Commission Expires November 4, 2006

Esther N. Hendrickson  
Notary Public

My commission expires Nov. 4, 2006

Date: 11 Dec 03

Thomas A. Miller  
Signature of: Thomas A. Miller

State of NEW YORK

Country of WESTCHESTER

Subscribed and sworn to before me this 11 day of December 2003.

**ESTHER N. HENDRICKSON**  
Notary Public, State of New York  
No. 01-HE6082968  
Qualified in Westchester County  
Commission Expires November 4, 2006

Esther N. Hendrickson  
Notary Public

My commission expires Nov 4, 2006.

Date: 12 Dec 03

Carolyn M. Paradise  
Signature of: Carolyn M. Paradise

State of NEW YORK

Country of WESTCHESTER

Subscribed and sworn to before me this 12 day of December 2003.

**ESTHER N. HENDRICKSON**  
Notary Public, State of New York  
No. 01-HE6082968  
Qualified in Westchester County  
Commission Expires November 4, 2006

Esther N. Hendrickson  
Notary Public

My commission expires Nov. 4, 2006.

Date: 12 Dec 03

Victoria M. Richon  
Signature of: Victoria M. Richon

State of NEW YORK

Country of WESTCHESTER

Subscribed and sworn to before me this 12 day of December 2003.

**ESTHER N. HENDRICKSON**  
Notary Public, State of New York  
No. 01-HE6082968  
Qualified in Westchester County  
Commission Expires November 4, 2006

Esther N. Hendrickson  
Notary Public

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