

12-22-2003



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NOTIFICATION FORM COVER SHEET PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

Tab settings ⇨ ⇨ ⇨

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Michel S. Simpson
William D. Peterson, III
Stephen R Carter
Nathan B. Jensen
William Street

12.12.03

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: December 11, 2003

2. Name and address of receiving party(ies)

Name: Novell, Inc.

Internal Address: _____

Street Address: 1800 South Novell Place

M/S PRV-F-331

City: Provo State: UT Zip: 84606

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 12/11/2003

A. Patent Application No.(s) _____

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Andrew S. Ehmke

Internal Address: Haynes and Boone, LLP

Street Address: 901 Main Street, Suite 3100

City: Dallas State: TX Zip: 75202-3789

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number:

08-1394

DO NOT USE THIS SPACE

9. Signature.

Andrew S. Ehmke / Reg. No. 50,271
Name of Person Signing

Signature

December 12, 2003
Date

12/22/2003

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LNUELLER 00000002 10734935

Total number of pages including cover sheet, attachments, and documents: 8

40.00

Mail documents to be recorded with required cover sheet information to:
Mail Stop Assignment, Commissioner of Patent, P.O. Box 1450
Alexandria, VA 22313-1450

D-1194178 / 26530.92

Cust. No. 27683

PATENT
REEL: 014800 FRAME: 0616

ASSIGNMENT

WHEREAS, we,

William D. Peterson, III of the United States, Michel S. Simpson of the United States, Stephen R Carter of the United States, Nathan B. Jensen of the United States and William Street of the United States, have invented certain improvements in

DISTRIBUTED DYNAMIC SECURITY CAPABILITIES WITH ACCESS CONTROLS

and filed a United States patent application herewith;

WHEREAS, NOVELL, INC., a Delaware corporation having a place of business at 122 East 1700 South, Provo, UT 84606, (hereinafter called NOVELL), desires to acquire the entire right, title and interest in the application and invention, and to any United States and foreign patents to be obtained therefor;

NOW, THEREFORE, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby acknowledge our prior existing obligation and hereby sell, assign, and transfer to NOVELL its successors and assigns, the entire right, title, and interest in the application and invention therein disclosed for the United States and foreign countries, to and under the said improvements, and the said application and all divisionals, renewals, continuing applications thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in the application to NOVELL, its successors and assigns; and we hereby agree that NOVELL may apply for foreign Letters Patent on the invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by NOVELL.

[SIGNATURE PAGES TO FOLLOW]

Signed and Sealed:

LOCATION

DATE

SIGNATURE

Provo, UT

Dec 11 - 2003

William D. Peterson, III
William D. Peterson, III

Michel Simpson
WITNESSED BY (printed name of Witness)

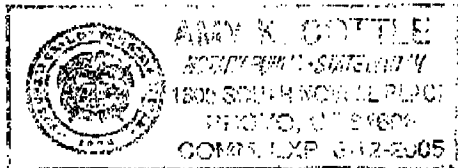
Michel Simpson
Signature of Witness

Date: Dec. 11 - 2003

STATE OF Utah §

COUNTY OF Utah §

On this 11th day of December, 2003, personally appeared William D. Peterson, III, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same for the purposes and consideration therein expressed.



Amy K. Cottle
Notary Public in and for the State of Utah
My Commission Expires: 6/12/05

Signed and Sealed:

LOCATION

DATE

SIGNATURE

Provo, Ut

12/11/03

Michel S. Simpson
Michel S. Simpson

William D Peterson III
WITNESSED BY (printed name of Witness)

William D Peterson III
Signature of Witness

Date: Dec 11 - 2003

STATE OF Utah

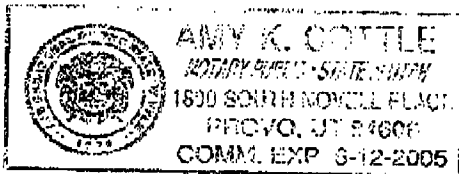
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COUNTY OF Utah

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On this 11th day of December, 2003, personally appeared Michel S. Simpson, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same for the purposes and consideration therein expressed.



Amy K Cottle
Notary Public in and for the State of Utah
My Commission Expires: 6/12/05

Signed and Sealed:

LOCATION

DATE

SIGNATURE

Provo, UT

11 Dec 2003

[Signature]

Stephen R Carter

Nathan B Jensen

WITNESSED BY (printed name of Witness)

[Signature]
Signature of Witness

Date: 12/11/03

STATE OF Utah

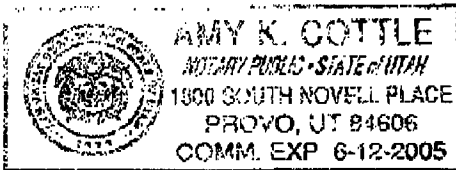
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COUNTY OF Utah

§

§

On this 11th day of December, 2003, personally appeared Stephen R. Carter, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same for the purposes and consideration therein expressed.



[Signature]
Notary Public in and for the State of Utah
My Commission Expires: 6/12/05

Signed and Sealed:

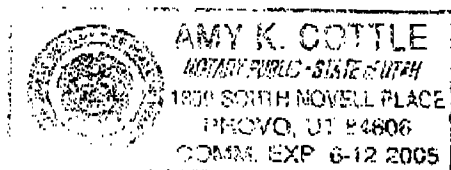
<u>LOCATION</u>	<u>DATE</u>	<u>SIGNATURE</u>
<u>Provo, UT</u>	<u>12/11/03</u>	<u>Nathan B. Jensen</u> Nathan B. Jensen
<u>William Street</u> WITNESSED BY (printed name of Witness)		<u>William Street</u> Signature of Witness

Date: 12/11/03

STATE OF Utah §

COUNTY OF Utah §

On this 11th day of December, 2003, personally appeared Nathan B. Jensen, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same for the purposes and consideration therein expressed.



Amy K. Cottle
Notary Public in and for the State of Utah
My Commission Expires: 6/12/05

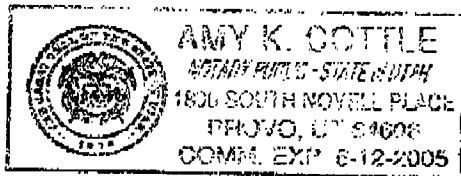
Signed and Sealed:

<u>LOCATION</u>	<u>DATE</u>	<u>SIGNATURE</u>
<u>Provo, UT</u>	<u>12/11/03</u>	<u>William Street</u> William Street
<u>Nathan B Jensen</u> WITNESSED BY (printed name of Witness)		<u>Nathan B Jensen</u> Signature of Witness

Date: 12/11/03

STATE OF Utah §
COUNTY OF Utah §

On this 11th day of December, 2003, personally appeared William Street, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same for the purposes and consideration therein expressed.



Amy K. Cottle
Notary Public in and for the State of Utah
My Commission Expires: 6/12/05

[END OF SIGNATURE PAGES]