


Form PTO-1595 (Rev. 10/02) OMB No. 0651-0027 (exp. 6/30/2005) Tab settings ⇌ ⇌ ⇌ ▼ ▼ ▼ ▼ ▼ ▼ ▼		RECORDATION FORM COVER SHEET PATENTS ONLY		U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office	
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.					
<b>1. Name of conveying party(ies):</b> Fred D. Ehrman Mark B. Davis Ronald S. Eisinger Mark G. Goode Michael A. Kinnan Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		<b>2. Name and address of receiving party(ies)</b> Name: <u>Univation Technologies, LLC</u> Internal Address: _____ _____ _____ Street Address: <u>5555 San Felipe, Suite 1950</u> _____ _____ City: <u>Houston</u> State: <u>TX</u> Zip: <u>77056</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>3. Nature of conveyance:</b> <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ 06/23/2004, 06/24/2004, 06/24/2004, 06/24/2004, and 06/24/2004 Execution Date: _____					
<b>4. Application number(s) or patent number(s):</b> If this document is being filed together with a new application, the execution date of the application is: _____ A. Patent Application No.(s) <u>10/835,412</u> B. Patent No.(s) _____ _____ Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
<b>5. Name and address of party to whom correspondence concerning document should be mailed:</b> Name: <u>Kevin M. Faulkner</u> Internal Address: <u>Univation Technologies, LLC</u> _____ _____ Street Address: <u>5555 San Felipe, Suite 1950</u> _____ _____ City: <u>Houston</u> State: <u>TX</u> Zip: <u>77056</u>		<b>6. Total number of applications and patents involved:</b> <input type="checkbox"/> <b>7. Total fee (37 CFR 3.41).....\$</b> <u>40.00</u> <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account <b>8. Deposit account number:</b> <u>50-0589</u>			
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<b>9. Signature.</b>  Kevin M. Faulkner  <u>6-30-04</u> Name of Person Signing Signature Date Total number of pages including cover sheet, attachments, and documents: <input checked="" type="checkbox"/>					

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CH \$40.00 600689 10836412

700095453

PATENT  
REEL: 014801 FRAME: 0401

**ASSIGNMENT****INVENTOR or INVENTORS:**

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**Fred D. Ehrman**

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In consideration of One Thousand Dollars (\$1000.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned person(s) named above, herein referred to as UNDERSIGNED, hereby agree(s) as follows:

1) UNDERSIGNED hereby assign(s) to Univation Technologies, LLC, a Delaware company, its successors, legal representatives and assigns, herein referred to collectively as ASSIGNEE, the entire right, title and interest, for the United States and all foreign countries, in and to UNDERSIGNED'S invention or improvement and to all patent applications and patents covering same which is described in a patent application entitled

**Processes for Transitioning Between Various Polymerization Catalysts**

said application having been executed on the 23<sup>RD</sup> day(s) of June, 2004, and being further identified as **Case No. 2002U016.US-CIP, U.S.S.N. 10/835,412, filed April 29, 2004;** and all rights of priority created by said application under provisions of international conventions or treaties.


2) UNDERSIGNED agree(s) upon the request of ASSIGNEE to execute any and all applications for and documents relating to obtaining patents and the prosecution thereof for said invention or improvement, and any continuations of such applications or substitutes therefor or registrations thereof, and any specific separate assignments of any of the above required for recording in the United States Patent and Trademark Office and any foreign patent office that ASSIGNEE may deem necessary or expedient.

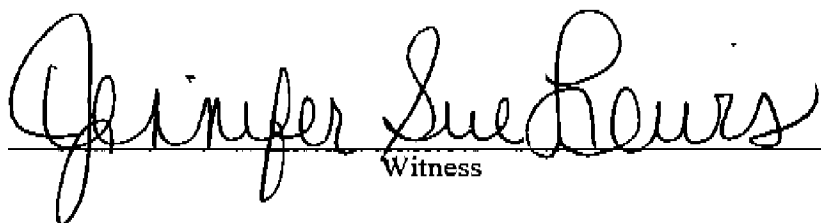
3) UNDERSIGNED agree(s) that in the event of any application based on said assigned invention or improvement, or patent issued thereon, or any reissue or application for the reissue thereof, becoming involved in priority of invention proceedings, UNDERSIGNED will cooperate with ASSIGNEE to the best of the ability of UNDERSIGNED in the matter of preparing and executing all documents and giving and producing evidence in support thereof.

4) UNDERSIGNED agree(s) to perform upon ASSIGNEE'S request any and all affirmative acts to obtain United States and foreign patents covering said invention or improvement and to vest all rights therein hereby conveyed to ASSIGNEE, as fully and as entirely as the same would have been held and enjoyed by UNDERSIGNED if this assignment and sale had not been made.

5) UNDERSIGNED hereby authorize(s) ASSIGNEE's attorney to insert at paragraph 1, in this instrument the date(s) on which UNDERSIGNED executed the Declaration for the above-referenced patent application, as well as the filing date and application number thereof when known.

IN WITNESS WHEREOF, this assignment has been executed by UNDERSIGNED on the date opposite UNDERSIGNED'S NAME.

DATE JUNE 23, 2004  (LS)  
Fred D. Ehrman

  
Witness

**ASSIGNMENT****INVENTOR or INVENTORS:**

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**Mark B. Davis**

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**Mark G. Goode**

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**Ronald S. Eisinger**

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**Michael A. Kinnan**

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IN WITNESS WHEREOF, this assignment has been executed by UNDERSIGNED on the date opposite UNDERSIGNED'S NAME.

DATE 6/24/04 Mark B. Davis (LS)  
Mark B. Davis

(PING CAI)  
Witness

DATE June 24, 2004 Ronald S. Eisinger (LS)  
Ronald S. Eisinger

(PING CAI)  
Witness

DATE June 24, 2004 Mark G. Goode (LS)  
Mark G. Goode

Nita J. Dunlap  
Witness

DATE 6/24/04 Michael A. Kinnan (LS)  
Michael A. Kinnan

Nita J. Dunlap  
Witness

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Date: Wednesday, June 30, 2004

Number of pages including cover sheet: 7

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**FROM: KEVIN M. FAULKNER**

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Houston, Texas 77056

Telephone (713) 892-3729

Facsimile (713) 892-3687

REMARKS: ☐ Urgent ☒ For your review ☐ Reply ASAP ☐ Please comment


Re: **Application No.: 10/835,412**  
**Filed: April 29, 2004**  
**Attorney Docket No. 2002U016.US-CIP**

**CERTIFICATE OF FACSIMILE TRANSMISSION**


I hereby certify that this correspondence, consisting of form PTO-1595 in duplicate and an Assignment (4 pages) are being facsimile transmitted to the United States Patent and Trademark Office, to facsimile number (703) 306-5995, on Wednesday, June 30, 2004.

Date

Jun 30, 2004

  
Kevin M. Faulkner  
Attorney for Applicant(s)  
Registration No. 45,427

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