To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. 1. Name of conveying party(ies): Oak Ridge Associated Universities 2. Name and address of receiving party(ies) Name: UT-Battelle, LLC Internal Address: P.O. Box 2008 Additional name(s) of conveying party(ses) attached? Yes No. 3. Nature of conveyance: Additional name(s) of conveyance: Assignment Change of Name Security Agreement Change of Name Security Agreement Change of Name Number 102574904 Application Date: Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A Patent Application No.(s) 10/673,715 B. Patent No.(s) Additional numbers attached? Yes No. Additional numbers attached? Yes No. Additional numbers attached? Yes No. Additional number of applications and patents involved: Total fee (37 CFR 3.41)	OMB No. 0651-0027 (exp. 6/30/2005)	PATENT	S ONLY	U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office
Cake Ridge Associated Universities 2. Name and address of receiving party(ies)		V	<u> </u>	<u> </u>
Oak Ridge Associated Universities Name: UT-Battelle, LLC internal Address: P.O. Box 2008 MS 6498 3. Nature of conveyance: Assignment	Name of conveying party(ies):	ang trademarks;		
Additional name(s) of conveying party(es) attached? Yes No 3. Nature of conveyance: Assignment			Name and address Name; UT-Battelle	s of receiving party(ies) e, LLC
3. Nature of conveyance:			internal Address: _	P.O. Box 2008
3. Nature of conveyance:	Additional name(s) of conveying party(ies) attached?	Yes No	<u></u>	MS 6498
Street Address: One Bethel Valley Road Street	3. Nature of conveyance:		<u></u>	
Street Address: One Bethel Valley Road Street Address: One Bethel Valley Road Street Address: One Bethel Valley Road City: Oak Ridge State: TN Zip: 37831-6258 Additional name(s) & address(es) attached? Yes Vo Additional numbers attached? Yes Vo Additional numbers attached? Yes Vo Name: James M. Spicer MS-6258 Do Not Use This space Date: TN Zip: 37831-6258 Street Address: One Bethel Valley Road City: Oak Ridge State: TN Zip: 37831-6258 Additional name(s) & address(es) attached? Yes Vo No Additional numbers attached? Yes Vo B. Patent No.(s) City: Oak Ridge State: TN Zip: 37831-6258 Additional numbers attached? Yes Vo B. Patent No.(s) City: Oak Ridge State: TN Zip: 37831-6258 Street Address: One Bethel Valley Road Street Address: One Bethel Valley Road City: Oak Ridge State: TN Zip: 37831-6258 Do Not Use This space June 23, 2004 Signature Date	✓ AssigπmentMerge	er		
Execution Date: Additional name(s) & address(es) attached? Yes No	Security Agreement Change	ge of Name	Street Address: Or	ne Bethel Valley Road
Execution Date: State: TN zip: 37831-6258 Additional name(s) & address(es) attached? Yes No No Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) 10/673,715 B. Patent No.(s) Additional numbers attached? Yes No Name and address of party to whom correspondence concerning document should be mailed: Name: James M. Spicer Total number of applications and patents involved: T. Total fee (37 CFR 3.41)	XXX Other Correction of Docum	ent ID		
Additional name(s) & address(es) attached? Yes No A Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) 10/673,715 B. Patent No.(s) Additional numbers attached? Yes No Additional numbers attached? Yes No 6. Total number of applications and patents involved: 7. Total fee (37 CFR 3.41)	0/20/2002		city: Oak Ridge	State: TN Zip: 37831-6258
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Additional numbers attached? Yes No 5. Name and address of party to whom correspondence concerning document should be mailed: Name: James M. Spicer MS-6258 MS-6258 MS-6258 DO NOT USE THIS SPACE P. Date P. Date B. Patent No.(s) No 6. Total number of applications and patents involved: Total fee (37 CFR 3.41)				
Additional numbers attached? Yes \(\subseteq \) No 5. Name and address of party to whom correspondence concerning document should be mailed: Name: James M. Spicer Internal Address: P.O. Box 2008 MS-6258 MS-6258 MS-6258 Authorized to be charged to deposit account 8. Deposit account number: 13-1958 City: Oak Ridge State: TN Zip: 37831-6258 DO NOT USE THIS SPACE Signature. James M. Spicer Name of Person Signing Additional numbers attached? Yes \(\subseteq \) No 6. Total number of applications and patents involved: \(\subseteq \) . 40.00 Enclosed V Authorized to be charged to deposit account number: 13-1958	A. Patent Application No.(s) 10/673,715	th a new applica 5	ition, the execution dat	e of the application is:
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Date J		Jan	M. Spice	
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d with required cover sheet information to: Commissioner of Patents & Trademarks, Box Assignments Washington, D.C. 20231

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To the Honorable Commissioner of Paterns and Trademarks	74904 Please record the attached ori	ginal documents as annuals
i. Name of conveying party(ies);	2. Name and address of	
Oak Ridge Associated Universities	Name: UT-Battelle,	LLC
	Internal Address: P	O Box 2008
Additional name(s) of conveying party(les) attached? Yes V No	M	S 6498
Nature of conveyance:		
Assignment Merger		
Security Agreement Change of Name	Street Address: 111	B Union Valley Road
Other		
	City: Oak Ridge	State: TN Zip: 37831
Execution Date: 9/29/03		
4 Application www.b/-)		ess(es) attached? Yes 🗸 No
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A. Patent Application No.(s)	B Patent No. (a)	of the application is: 9/19/2003
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	7. Total fee (37 CFR 3.41)\$ <u>70.00</u>
Internal Address:	Enclosed	
P. O. Box 2008	Authorized to be ci	harged to deposit account
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Street Address: 1118 Union Valley Road	Deposit account number	er;
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City: Oak Ridge State: TN Zip: 37831		
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A maients & Tradomarks, Box Assignments Washington, D.C. 20231

Assignment Agreement ORAU to UT-Battelle, LLC

ASSIGNMENT AGREEMENT BETWEEN UT-BATTELLE, LLC AND ORAU

THIS AGREEMENT is by and between UT-Battelle, LLC a limited liability company organized and existing under the laws of the State of Tennessee (hereinafter "UT-BATTELLE") in accordance with its prime contract No. DE-AC05-00OR22725 (hereinafter "UT-Battelle's Prime Contract") with the U.S. Department of Energy (hereinafter DOE) and Oak Ridge Associated Universities (hereinafter "ORAU"), the not-for-profit operating contractor of the DOE's Oak Ridge Institute for Science and Education (hereinafter "ORISE") under its prime contract No. DE-AC05-00OR22750 (hereinafter "ORAU's Prime Contract") with the DOE, both being hereinafter jointly referred to as the "Parties" and singularly as a "Party."

WHEREAS:

A. The Parties have developed technology identified in the invention disclosures, patent applications, and/or patents listed in Exhibit A (hereinafter Proprietary Rights); and

B. The Parties desire that ORAU assign its undivided right, title, and interest to the Proprietary Rights to UT-BATTELLE to place ownership of all Proprietary Rights in a single Party, thereby promoting effective and successful commercialization of Proprietary Rights.

NOW THEREFORE THE PARTIES AGREE AS FOLLOWS:

- 1. In consideration of good and valuable consideration—including, but not limited to the promises contained in the Memorandum of Agreement between UT-BATTELLE and ORAU that was made effective September 28, 2001—the receipt and sufficiency of such consideration being hereby acknowledged, ORAU by these presents does hereby sell, assign, transfer and set over unto UT-BATTELLE, its successors, legal representatives and assigns, its entire right, title, and interest (if any) in, to, and under the Proprietary Rights.
- 2. UT-BATTELLE shall share with ORAU portions of royalties UT-BATTELLE receives from licensees, if any, of the Proprietary Rights in accordance with the aforesaid Memorandum of Agreement.
- 3. UT-BATTELLE shall obligate any licensees of the Proprietary Rights to indemnify ORAU for any liability arising from the making, using, importing or selling of a product, process or service by or on behalf of the Licensee, its assignees or licensees which was derived from the Proprietary Rights, except for any negligent acts or omissions of ORAU.
- 4. UT-BATTELLE shall obligate any licensees of the Proprietary Rights as listed in Exhibit A to comply with all U. S. export control laws and regulations applicable to the export of the Proprietary Rights.
- ORAU makes no warranties or representations of any kind regarding the Proprietary Rights.
- 6. The effective date of this agreement is 9/29/03 and it shall run to and terminate upon the end of the life of the last-to-expire Proprietary Rights of Exhibit A.

PATENT REEL: 014801 FRAME: 0824 Assignment Agreement ORAU to UT-Battelle, LLC

UT-B Docket No. 1213.0

In witness whereof the Parties have executed this agreement below:

UT-BA	ATTELLE, LLC
	4 0 0
By:	Heory L. C.
Name:	George L. Craig
Title:	General Patent Counsel
Date:	<u>69-26-63</u>
OAK RI	IDGE ASSOCIATED UNIVERSITIES
By:	Know D. Downers
Name:	Ronald D. Townsend
Title:	President
Date:	9/29/03

UN-30-2004 15:55

ORNL GEN PATENT COUNSEL

865 574 0381 P.07/07

Assignment Agreement ORAU to UT-Battelle, LLC

UT-B Docket No. 1213.0	UT-B	Docket No.	1213.0	
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EXHIBIT A, PROPRIETARY RIGHTS

Horizontal and Vertical Beam Profiles B&R#34053251 Charles C.Havener Riad Al-Rejoub Others		1213.0	Title (Patent Appl. No., Patent No.) Beam Profile Monitor with Accurate Horizontal and Vertical Beam Profiles	P&P#34053351	UT-Battelle Charles C.Havener	Inventors ORAU Riad Al-Rejoub	Others	
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PATENT 3 of 3 REEL: 014801 FRAME: 0826

RECORDED: 06/30/2004